

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM

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ADMINISTRATION FOR CHILDREN AND FAMILIES

Low Income Home Energy Assistance Program

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981,
[\$1,800,500,000] *\$1,800,000,000.*

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981,
\$200,000,000, to remain available until expended: Provided, That these funds are for the
unanticipated home energy assistance needs of one or more States, as authorized by section
2604(e) of the Act, and notwithstanding the designation requirement of section 2602(e).

*Departments of Labor, Health and Human Services, and Education, and Related Agencies
Appropriations Bill, 2005*

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Low Income Home Energy Assistance Program

Amounts Available for Obligation

	<u>2004 Enacted</u>	<u>2005 Enacted</u>	<u>2006 Estimate</u>
Regular Appropriation.....	\$1,789,380,000	\$1,884,799,000	\$1,800,000,000
Contingency Fund.....	[99,410,000]	[297,600,000]	[200,000,000]
Contingency Funds Released.....	\$99,410,000	\$100,000,000	N/A

Low Income Home Energy Assistance Program

SUMMARY OF CHANGES

Regular Program

2005 Enacted	\$1,884,799,000
2006 Estimate	<u>\$1,800,000,000</u>
Net Change	-\$84,799,000

Contingency Funds

2005 Enacted	\$297,600,000
2006 Estimate	<u>\$200,000,000</u>
Net Change	-\$97,600,000

	<u>2005 Enacted</u>	<u>Change from Base</u>
<u>Decreases:</u>		
Block Grant	\$1,884,799,000	-\$84,799,000
Contingency Fund	\$297,600,000	<u>-\$97,600,000</u>
Total Decreases		-\$182,399,000
Net Change.....		-\$182,399,000

Low Income Home Energy Assistance Program

Budget Authority by Activity

	<u>2004 Enacted</u>	<u>2005 Enacted</u>	<u>2006 Estimate</u>
Program Funds	\$1,761,744,000	\$1,857,222,000	\$1,771,700,000
Leveraging Incentive Funds	27,338,000	27,280,000	27,500,000
Training and Technical Assistance	298,000	297,000	800,000
Contingency Funds	99,410,000	297,600,000	200,000,000
Contingency Funds Released	[99,410,000]	[100,000,000]	N/A
Total, Adjusted Budget Authority	\$1,888,790,000	\$2,182,399,000	\$2,000,000,000

Budget Authority by Object

	<u>2005 Enacted</u>	<u>2006 Estimate</u>	<u>Increase or Decrease</u>
Travel.....	\$11,000	\$12,000	+\$1,000
Training and technical assistance	286,000	788,000	+502,000
Grants, subsidies and contributions...	<u>2,182,102,000</u>	<u>1,999,200,000</u>	<u>-182,902,000</u>
Total, Budget Authority.....	\$2,182,399,000	\$2,000,000,000	-\$182,399,000

Low Income Home Energy Assistance Program

Authorizing Legislation

	2005 Amount <u>Authorized</u> ¹	2005 <u>Enacted</u>	2006 Amount <u>Authorized</u> ¹	2006 <u>Estimate</u>
<u>Home Energy Assistance Activity:</u>				
Section 2602(b) of the Low Income Home Energy Assistance Act	2,000,000,000	\$1,857,222,000	\$2,000,000,000	\$1,771,700,000
Leveraging Incentive Fund, Section 2602(d) of the Low Income Home Energy Assistance Act	30,000,000 (\$50M if amount approp. under (b) is not less than \$1.4B)	27,280,000	30,000,000 (\$50M if amount approp. under (b) is not less than \$1.4B)	27,500,000
Energy Emergency Contingency Fund, Section 2602(e) of the Low Income Home Energy Assistance Act	600,000,000	297,600,000	600,000,000	200,000,000
Training and Technical Assistance, Section 2609A(a) of the Low Income Home Energy Assistance Act	300,000	297,000	800,000	800,000
Appropriation		\$2,182,399,000		\$2,000,000,000

¹Program authority expired on September 30, 2004. Legislation has been proposed to reauthorize Section 2602(b) of the Low Income Home Energy Assistance Act.

Low Income Home Energy Assistance Program

APPROPRIATIONS HISTORY TABLE

	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
1997 Block Grant	1,000,000,000	1,000,000,000	1,000,000,000	1,000,000,000
Emergency Funding ¹	[300,000,000]	[300,000,000]	[300,000,000]	[420,000,000]
Emergency Funds Disbursed ²	---	---	---	215,000,000
1998 – Appropriated in FY 97 for obligation in FY 98	1,000,000,000	1,000,000,000	1,000,000,000	1,000,000,000
Emergency Funding	[300,000,000]	[300,000,000]	[300,000,000]	
Emergency Funds Disbursed	---	---	---	160,000,000 ³
1999 – Appropriated in FY 98 for obligation in FY 99	1,000,000,000	1,000,000,000	1,200,000,000	1,100,000,000
House Action on Advance Funding	---	(1,100,000,000)	---	1,100,000,000
Emergency Funding ⁴	[300,000,000]	[300,000,000]	[300,000,000]	[300,000,000]
Reallocation of PY Funds	---	---	---	2,207,431
Emergency Funds Disbursed	---	---	---	175,298,765 ⁵
2000				
Emergency Sup.	---	---	---	600,000,000
Emergency Funds Disbursed	---	---	---	744,350,000 ⁶

¹ In addition to the \$300,000,000 in emergency contingency funds made in FY 96 for FY 97, Congress added the balance of FY 96 funds (\$120,000,000) neither formally appropriated nor obligated in FY 96.

² \$5,000,000 released to North and South Dakota January 15, 1997; the balance released to all states January 31, with special relief for eight mid-west states hardest hit by particularly cold weather.

³ Because of extreme and life-threatening hot weather, \$150,000,000 was released during the summer of 1998 to: Alabama, Arkansas, Florida, Georgia, Louisiana, Mississippi, North Carolina, Oklahoma, South Carolina, Tennessee, and Texas. In addition, \$10,000,000 was released to Alaska to buy fuel oil for the coming winter for villages facing high heating costs that were difficult to meet due to the disastrously low salmon run.

⁴ Advance Funding requested in FY 99 for FY 00.

⁵ Due to excessively hot weather during late June and early July of 1999, \$100 million was released to 17 eastern states and the District of Columbia, including 15 Indian tribes. In August, an additional \$55 million was released to 9 additional states that had experienced extreme heat. In September, \$29,298,765 was released to North Carolina to assist with energy-related flood damage.

	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2001 – Appropriated in FY 00 for obligation in FY 01	1,100,000,000	1,100,000,000	1,100,000,000	1,100,000,000
Block Grant	---	---	---	300,000,000
Emergency Funding	[300,000,000]	[300,000,000]	[300,000,000]	[300,000,000]
Emergency Suppl. Emergency Funds	---	300,000,000	300,000,000	300,000,000
Disbursed	---	---	---	455,650,000 ⁷
2002 Block Grant	1,100,000,000	1,100,000,000	---	---
Emergency Funding	[300,000,000]	[300,000,000]	[300,000,000]	[300,000,000]
Emergency Sup. Carryover from FY 01	---	---	---	[300,000,000]
Emergency Funds Disbursed	---	---	---	100,000,000
2003 Block Grant	1,400,000,000	---	1,700,000,000	1,788,300,000
Emergency Funding	[300,000,000]	---	[300,000,000]	[0]
Emergency Sup. Carryover from FY 01	---	---	---	[300,000,000]
Emergency Sup. Funds Disbursed	---	---	---	200,000,000
2004 Block Grant	1,700,000,000	1,700,000,000	2,000,000,000	1,789,380,000
Emergency Funding	[300,000,000]	[100,000,000]	[0]	[99,410,000]
Emergency Funds Disbursed	---	---	---	99,410,000
2005 Block Grant	1,900,500,000	1,911,000,000	1,901,090,000	1,884,799,000
Emergency Funding	[300,000,000]	[100,000,000]	[99,410,000]	[297,600,000]
Emergency Funds Disbursed				100,000,000
2006 Block Grant	1,800,000,000			
Emergency Funding	[200,000,000]			
Emergency Funds Disbursed				

⁶ In October 1999, \$5,000,000 was released to New Jersey to assist with energy-related damage due to Hurricane Floyd. In January 2000, \$45,000,000 was released to 11 States who depend heavily on heating oil and liquid petroleum, whose costs were higher than the previous year's. By the end of the fiscal year, \$744,350,000 had been released to offset the increasing costs of fuel.

⁷ The emergency supplemental for FY 2001 was available until expended. A balance of \$155,650,000 was carried over into FY 2001 and that amount, together with the \$300,000,000 in new budget authority for emergency contingencies, had been obligated by the end of December 2000 to offset continuing increases in fuel prices and an unusually cold November and December.

Low Income Home Energy Assistance Program

Justification

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Program Grants	\$1,761,744,000	\$1,857,222,000	\$1,771,700,000	-\$85,522,000
Leveraging Grants	27,338,000	27,280,000	27,500,000	+220,000
Subtotal	1,789,082,000	1,884,502,000	1,799,700,000	-85,302,000
Contingency Fund	99,410,000	297,600,000	200,000,000	-97,600,000
Training and Technical Assistance	298,000	297,000	800,000	+503,000
Contingency Funds Released	[99,410,000]	[100,000,000]	N/A	N/A
Total, BA	\$1,888,790,000	\$2,182,399,000	\$2,000,000,000	-\$182,399,000

General Statement

Consistent with the Administration for Children and Families' strategic goal to build healthy, safe and supportive communities and tribes, the Low Income Home Energy Assistance Program (LIHEAP) provides assistance to low income households in meeting the costs of home energy heating and cooling their homes.

Approximately 24 percent of LIHEAP recipients are "working poor" households that do not receive any other public assistance through TANF, food stamps, SSI, or subsidized housing. Approximately 35 percent of LIHEAP recipients are elderly households. LIHEAP funds are not intended to meet the entire home energy costs of low-income households. Rather, LIHEAP funds are intended to supplement other available Federal assistance as well as the households' own resources and State resources.

Legislation enacted in 1994 made it easier for states to use LIHEAP funds more effectively to target assistance to households with high energy burdens or need and authorized States to use a portion of their funds to assist households in reducing their need for home energy. Through a collaborative process to develop performance objectives and measures, states increasingly are targeting resources more effectively.

A leveraging incentive fund has been successful in encouraging States to develop increased non-Federal energy assistance resources to be used in conjunction with LIHEAP funds. Beginning in FY 1996, a Residential Energy Assistance Challenge Grant program (REACH) became a component of the leveraging incentive fund. It assists a limited number of LIHEAP grantees in developing and operating programs to help LIHEAP-eligible households reduce their energy vulnerability.

The COATS Human Services Reauthorization Act of 1998 (Public Law 105-285) reauthorized this program for FY 2000 through FY 2004, at a level of “such sums” for FY 2000 and FY 2001, and at \$2 billion for FY 2002 through FY 2004. This legislation increased the allowable set-aside for training and technical assistance from \$250,000 to \$300,000, extended on a permanent basis the authority to set aside up to 25 percent of the funds earmarked for leveraging incentive award program, and directed the Comptroller General to conduct an evaluation of the REACH program.

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM

Authorizing Legislation – Legislation to reauthorize Section 2602(b) of the Low Income Home Energy Assistance Act is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$1,789,380,000	\$1,884,799,000	\$1,800,000,000	-\$84,799,000

2006 Authorization....\$2,000,000,000.

Statement of the Budget Request

The 2006 budget request of \$1,800,000,000 for the Low Income Home Energy Assistance Programs will continue to provide home energy assistance to low-income households.

Program Description

The Low Income Home Energy Assistance Program provides home energy assistance to low-income households through payments to eligible households and to energy suppliers. Funds are provided through block grants to states, Indian tribes and tribal organizations, Puerto Rico and four other territories for their use in programs tailored to meet the unique requirements of their jurisdictions. This program assists eligible households in meeting the costs of home energy, defined by the statute to include sources of residential heating and cooling.

States may give priority to households with the highest home energy costs or need in relation to income. States are allowed flexibility in determining payment levels and types of payments, including unrestricted cash payments, payments to vendors on behalf of eligible households, or energy vouchers. Generally states elect to provide benefits in the form of payments to vendors on behalf of recipient households. Up to ten percent of the funds payable to a state may be used to pay planning and administrative costs. A grantee may hold up to ten percent of the funds payable to it for obligation in the subsequent year.

Funding for the Low Income Home Energy Assistance Program during the last five years, exclusive of contingency funds, has been as follows:

2001 (advance appropriation in FY 2000)	\$1,100,000,000
2001 (regular appropriation)	\$300,000,000
2002 (regular appropriation)	\$1,700,000,000
2003 (regular appropriation)	\$1,788,300,000
2004 (regular appropriation)	\$1,789,380,000
2005 (regular appropriation)	\$1,884,799,000

Performance Analysis

Under our reauthorization proposal, pending Congressional action, \$500,000 is proposed for a feasibility study that would identify the program's strengths and the areas that need improvement. LIHEAP has two outcome performance measures to increase the "reciprocity targeting index score of households having at least one member 60 years or older, and increase the targeting index for households with children 5 years or younger." Baselines have been developed and data will be reported for these measures in mid-FY 2005.

Rationale for the Budget Request

In order to continue to provide home energy assistance, \$1,800,000,000 is requested for FY 2006, a decrease of \$84,799,000 from the FY 2005 enacted level. This request will enable states to meet the increasing demands for LIHEAP assistance, ensure that low-income households are not without heating or cooling, and provide protection to our most vulnerable populations, the elderly, households with small children, and person with disabilities.

Under our reauthorization proposal, \$500,000 will be available to conduct a feasibility study of a nationally representative evaluation of LIHEAP program operations. The feasibility study will identify options for a thorough and objective evaluation. The existing authority requires the Secretary to divide a small sum of \$300,000 with the responsibilities of evaluation, training and technical assistance. The current statute also limits spending by the LIHEAP grantees for administrative and planning costs to no more than 10 percent of their allotments. This limited funding has rendered the program incapable of collecting, tabulating, and analyzing reliable performance data.

LOW INCOME HOME ENERGY ASSISTANCE CONTINGENCY FUND

Authorizing Legislation – Legislation has been proposed to reauthorize Section 2602(e) and 2604(g) of the Low Income Home Energy Assistance Act of 1981.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$99,410,000	\$297,600,000	\$200,000,000	-\$97,600,000

2006 Authorization....\$600,000,000.

Statement of the Budget Request

The 2006 budget request of \$200,000,000 for the Low Income Home Energy Assistance Contingency Fund will enable states to meet energy emergencies due to extremes in temperature, either during severe cold weather in the winter or sustained heat waves in the summer.

Program Description

Consistent with the ACF goal to target energy assistance, this program is designed to provide additional funds to States that are adversely affected by extreme heat or cold, or other causes of energy-related emergencies. The 1994 amendments to the authorizing statute added language to provide a permanent authorization for the emergency fund and to permit targeting of grants to meet emergency needs.

Funding for the Low Income Home Energy Assistance Contingency Fund during the last five years has been as follows:

2001	(\$755,650,000)
2001 funds distributed	\$455,650,000
2002	(\$300,000,000)
2002 funds available to be distributed	(\$500,000,000)
2002 funds distributed	\$100,000,000
2003	(\$0)
2003 funds available to be distributed	(\$400,000,000)
2003 funds distributed	\$200,000,000
2004	(\$99,410,000)
2004 funds distributed	\$99,410,000
2005	(\$297,600,000)
2005 funds distributed	\$100,000,000

Performance Analysis

No performance measures have been established for the Low Income Home Energy Assistance Contingency Fund program.

Rationale for the Budget Request

The FY 2006 request for the Contingency Fund is \$200,000,000, a decrease of \$97,600,000 from the FY 2005 enacted level. This request will be sufficient to provide funds for emergency needs.

Resource and Program Data
Low Income Home Energy Assistance Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$1,761,744,020	1,857,222,400	1,771,700,000
Leveraging	20,503,313	20,460,000	20,625,000
REACH	6,834,437	6,820,000	6,875,000
Contingency Funds	99,410,000	297,600,000	200,000,000
Contingency Funds Released	[99,410,000]	[100,000,000]	NA
Research/Evaluation	0	0	0
Demonstration/Development	0	0	0
Training/Technical Assistance	267,088	285,600	788,000
Program Support ¹	31,142	11,000	12,000
Total, Resources	\$1,888,790,000	\$2,182,399,000	\$2,000,000,000
<u>Program Data:</u>			
Number of Grants	289	283	283
New Starts:			
#	276	270	270
\$	1,888,237,572	1,984,212,950 ²	1,798,910,550 ³
Continuations:			
#	13	13	13
\$	254,198	289,450	289,450
Contracts:			
#	3	3	3
\$	229,486	248,000	750,398
Interagency Agreements:			
#	2	1	1
\$	37,602	37,600	37,602

¹ Includes funding for information technology support, grant/panel reviews, travel and printing costs.

² \$100M was released in December, 2004 due to higher than normal energy prices. Additional funds remain available for release during FY 2005 and any unexpended funds remain available for use in FY 2006.

³ Does not reflect release of contingency funds since no decisions have been made at this time.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Low Income Home Energy Assistance Program - Block Grants (CFDA # 93.568)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$15,038,613	\$15,853,635	\$15,123,599	-\$730,036
Alaska	6,772,916	8,735,348	8,333,099	-402,249
Arizona	6,720,081	7,084,279	6,758,059	-326,220
Arkansas	11,545,876	12,171,609	11,611,124	-560,485
California	80,650,470	84,985,108	81,071,665	-3,913,443
Colorado	28,278,146	29,812,046	28,438,093	-1,373,953
Connecticut	36,922,453	38,923,479	37,131,109	-1,792,370
Delaware	4,900,745	5,166,343	4,928,440	-237,903
District of Columbia	5,734,118	6,044,880	5,766,522	-278,358
Florida	23,936,053	25,233,276	24,071,320	-1,161,956
Georgia	18,929,972	19,955,889	19,036,949	-918,940
Hawaii	1,906,352	2,009,668	1,917,126	-92,542
Idaho	10,825,659	11,073,681	10,563,754	-509,927
Illinois	102,194,973	107,733,468	102,772,498	-4,960,970
Indiana	46,264,349	48,772,024	46,525,836	-2,246,188
Iowa	32,792,887	34,570,110	32,978,207	-1,591,903
Kansas	15,053,967	15,870,147	15,139,074	-731,073
Kentucky	24,079,279	25,384,265	24,215,356	-1,168,909
Louisiana	15,469,403	16,307,773	15,556,823	-750,950
Maine	23,045,594	24,294,556	23,175,829	-1,118,727
Maryland	28,271,055	29,803,216	28,430,821	-1,372,395
Massachusetts	73,827,590	77,828,704	74,244,805	-3,583,899
Michigan	96,425,811	101,670,611	96,972,711	-4,697,900
Minnesota	69,901,146	73,689,465	70,296,171	-3,393,294
Mississippi	12,951,039	13,652,925	13,024,229	-628,696
Missouri	40,820,662	43,032,954	41,051,348	-1,981,606
Montana	10,988,728	11,584,267	11,050,827	-533,440
Nebraska	16,213,741	17,092,646	16,305,389	-787,257
Nevada	3,436,889	3,623,152	3,456,311	-166,841
New Hampshire	13,979,649	14,737,281	14,058,650	-678,631
New Jersey	68,393,438	72,100,047	68,779,945	-3,320,102
New Mexico	8,474,722	8,934,013	8,522,615	-411,398
New York	223,498,763	235,609,872	224,747,849	-10,862,023
North Carolina	32,827,862	34,546,781	32,955,952	-1,590,829
North Dakota	11,401,233	12,108,102	11,550,542	-557,560

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	90,406,728	95,306,355	90,917,636	-4,388,719
Oklahoma	12,687,049	13,357,116	12,741,211	-615,905
Oregon	21,537,072	22,717,587	21,660,169	-1,057,418
Pennsylvania	120,253,711	126,770,906	120,933,290	-5,837,616
Rhode Island	12,122,858	12,779,861	12,191,367	-588,494
South Carolina	12,017,313	12,668,596	12,085,226	-583,370
South Dakota	9,393,468	9,902,550	9,446,551	-455,999
Tennessee	24,391,794	25,713,716	24,529,637	-1,184,079
Texas	39,831,815	41,990,515	40,056,913	-1,933,602
Utah	12,894,280	13,580,677	12,947,939	-632,738
Vermont	10,478,244	11,046,117	10,537,459	-508,658
Virginia	34,437,306	36,303,649	34,631,919	-1,671,730
Washington	34,609,508	36,485,641	34,805,140	-1,680,501
West Virginia	15,935,087	16,798,695	16,025,140	-773,555
Wisconsin	62,921,068	66,331,099	63,276,648	-3,054,451
Wyoming	5,055,988	5,341,380	5,085,747	-255,633
Subtotal	1,741,447,523	1,837,090,080	1,752,434,639	-84,655,441
Tribes	17,910,602	17,617,121	16,865,983	-751,138
American Samoa	39,469	41,608	39,692	-1,916
Guam	86,534	91,224	87,023	-4,201
Northern Mariana Islands	30,056	31,684	30,225	-1,459
Puerto Rico	2,148,008	2,264,421	2,160,148	-104,273
Virgin Islands	81,828	86,262	82,290	-3,972
Subtotal	20,296,497	20,132,320	19,265,361	-866,959
Total States/Territories	1,761,744,020	1,857,222,400	1,771,700,000	-85,522,400
Discretionary Funds	27,337,750	27,280,000	27,500,000	220,000
Technical Assistance	298,174	296,608	800,000	502,392
Subtotal adjustments	27,635,924	27,576,608	28,300,000	722,392
TOTAL RESOURCES	\$1,789,379,944	\$1,884,799,008	\$1,800,000,000	-\$84,799,008

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Low Income Home Energy Assistance Program - Contingency Funds (CFDA #93.568)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$339,433	\$812,623	n/a	n/a
Alaska	602,327	588,520	n/a	n/a
Arizona	151,678	245,599	n/a	n/a
Arkansas	260,600	537,450	n/a	n/a
California	1,820,347	2,702,177	n/a	n/a
Colorado	638,261	1,022,743	n/a	n/a
Connecticut	3,283,574	3,164,657	n/a	n/a
Delaware	435,831	432,491	n/a	n/a
District of Columbia	509,945	246,070	n/a	n/a
Florida	540,256	1,142,739	n/a	n/a
Georgia	427,265	1,019,286	n/a	n/a
Hawaii	43,028	60,991	n/a	n/a
Idaho	244,344	458,790	n/a	n/a
Illinois	2,306,624	3,795,847	n/a	n/a
Indiana	1,044,225	2,039,965	n/a	n/a
Iowa	740,162	1,723,123	n/a	n/a
Kansas	339,686	605,646	n/a	n/a
Kentucky	543,489	1,097,906	n/a	n/a
Louisiana	349,157	600,587	n/a	n/a
Maine	2,049,484	2,532,146	n/a	n/a
Maryland	2,514,192	1,772,334	n/a	n/a
Massachusetts	6,565,609	5,635,170	n/a	n/a
Michigan	8,575,305	4,350,258	n/a	n/a
Minnesota	1,577,726	4,133,824	n/a	n/a
Mississippi	292,316	760,959	n/a	n/a
Missouri	921,356	2,013,067	n/a	n/a
Montana	248,024	479,028	n/a	n/a
Nebraska	365,227	772,527	n/a	n/a
Nevada	77,573	139,335	n/a	n/a
New Hampshire	1,243,233	1,410,030	n/a	n/a
New Jersey	6,082,341	4,735,259	n/a	n/a
New Mexico	191,282	393,076	n/a	n/a
New York	19,876,108	16,918,067	n/a	n/a
North Carolina	740,951	2,426,734	n/a	n/a
North Dakota	1,013,931	775,636	n/a	n/a

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	8,040,019	3,758,925	n/a	n/a
Oklahoma	286,355	547,352	n/a	n/a
Oregon	486,109	913,718	n/a	n/a
Pennsylvania	10,694,360	7,475,715	n/a	n/a
Rhode Island	1,078,106	943,966	n/a	n/a
South Carolina	271,241	777,299	n/a	n/a
South Dakota	212,019	696,723	n/a	n/a
Tennessee	550,543	1,027,828	n/a	n/a
Texas	899,037	1,667,995	n/a	n/a
Utah	1,146,710	448,549	n/a	n/a
Vermont	931,848	1,081,976	n/a	n/a
Virginia	3,062,566	2,165,878	n/a	n/a
Washington	781,165	1,364,331	n/a	n/a
West Virginia	1,417,135	672,807	n/a	n/a
Wisconsin	1,420,180	3,591,267	n/a	n/a
Wyoming	114,118	216,344	n/a	n/a
Subtotal	98,346,401	98,895,333	0	0
Tribes	928,970	\$969,239	n/a	n/a
American Samoa	2,227	\$2,240	n/a	n/a
Guam	4,883	\$4,912	n/a	n/a
Northern Mariana Islands	1,697	\$1,706	n/a	n/a
Puerto Rico	121,205	\$121,925	n/a	n/a
Virgin Islands	4,617	\$4,645	n/a	n/a
Subtotal	1,063,599	1,104,667	0	0
Total States/Territories	99,410,000	100,000,000	0	0
TOTAL RESOURCES	\$99,410,000	\$100,000,000¹	\$200,000,000	\$0

¹ \$100 million was released in December, 2004 due to higher than normal energy prices. Additional funds (\$197.6 million) remain available for release during FY 2005 and any unexpended funds remain available for use in FY 2006.

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

CHILD CARE AND DEVELOPMENT BLOCK GRANT

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ADMINISTRATION FOR CHILDREN AND FAMILIES
Payments to States for Child Care and Development Block Grant

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), [\$2,099,729,000] \$2,082,910,000 shall be used to supplement, not supplant state general revenue funds for child care assistance for low-income families: *Provided*, That [\$19,120,000] \$18,967,040 shall be available for child care resource and referral and school-aged child care activities, of which [\$1,000,000] \$992,000 shall be for the Child Care Aware toll-free hotline: *Provided further*, That in addition to the amounts required to be reserved by the States under section 658G, [\$272,672,000] \$270,490,624 shall be reserved by the States for activities authorized under 658G of which [\$100,000,000] \$99,200,000 shall be for activities that improve the quality of infant and toddler care: *Provided further*, That [\$10,000,000] \$9,920,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities.

*Departments of Labor, Health and Human Services, and Education, and Related Agencies
Appropriations Bill, 2005*

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and families
Child Care and Development Block Grant

Amounts Available for Obligation

	<u>2004</u> <u>Enacted</u>	<u>2005</u> <u>Enacted</u>	<u>2006</u> <u>Estimate</u>
Child Care and Development Block Grant	\$2,089,865,000	\$2,089,729,000	\$2,072,990,000
Research and Evaluation Fund	9,864,000	10,000,000	9,920,000
Enacted Rescission	-12,419,000	-16,808,000	
Subtotal, Adjusted Appropriation	\$2,087,310,000	\$2,082,921,000	\$2,082,910,000
Total Obligations	\$2,087,310,000	\$2,082,921,000	\$2,082,910,000

Child Care and Development Block Grant

Budget Authority by Activity

	<u>2004 Enacted</u>	<u>2005 Enacted</u>	<u>2006 Estimate</u>
Child Care and Development Block Grant	\$2,077,504,000	\$2,073,001,000	\$2,072,990,000
Research and Evaluation Fund	9,806,000	9,920,000	9,920,000
Total, BA	\$2,087,310,000	\$2,082,921,000	\$2,082,910,000

Budget Authority by Object

	<u>2005 Enacted</u>	<u>2006 Estimate</u>	<u>Increase or Decrease</u>
Rental Payments to GSA...	\$14,000	\$14,000	\$0
Advisory and assistance services (25.1).....	6,140,000	6,140,000	0
Other Services.....	130,000	130,000	0
Purchase of goods and services from government accounts (25.3).....	901,000	880,000	-21,000
Grants, subsidies and contributions (41.0).....	2,075,736,000	2,075,746,000	+10,000
Total, BA	\$2,082,921,000	\$2,082,910,000	-\$11,000

Child Care and Development Block Grant

Authorizing Legislation

	2005 Amount Authorized ¹	2005 Enacted	2006 Amount Authorized	2006 Estimate
Section 658B of the Child Care and Development Block Grant Act	\$1,000,000,000	\$2,082,921,000	\$2,099,994,000	\$2,082,910,000

¹ Authorizing legislation for the Child Care and Development Block Grant expired at the end of FY 2002.

APPROPRIATIONS HISTORY TABLE
Child Care and Development Block Grant

<u>Year</u>	<u>Budget Estimate To Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
1996	1,048,825,000	934,642,000	934,642,000	934,642,000
1997	1,048,825,000	950,000,000	956,120,000	956,120,000
1998	65,672,000	937,000,000	963,120,000	1,002,672,000
1999	1,182,672,000	1,000,000,000	1,000,000,000	999,974,000
2000	1,182,672,000	1,182,672,000	1,182,672,000	1,182,672,000
2001				
Advance	1,182,672,000	1,182,672,000	1,182,672,000	1,182,672,000
Appropriation	817,328,000	400,000,000	817,328,000	817,196,000
Advance FY02	2,000,000,000	2,000,000,000	0	0
2002	2,199,987,000	2,199,987,000	2,000,000,000	2,099,994,000
<i>Rescission</i>				-15,000
				2,099,976,000
2003	2,099,994,000	2,099,994,000	2,099,994,000	2,099,994,000
<i>Rescission</i>				-13,649,961
				2,086,344,039
2004	2,099,729,000	2,099,729,000	2,099,729,000	2,099,729,000
<i>Rescission</i>				-12,419,000
				2,087,310,000
2005	2,099,729,000	2,099,729,000	2,099,729,000	2,099,729,000-
<i>Rescission</i>				16,808,000
				2,082,921,000
2006	2,082,910,000			

Child Care and Development Block Grant

Justification

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Child Care and Development Block Grant	\$2,077,504,000	\$2,073,001,000	\$2,072,990,000	-\$11,000
Research and Evaluation Fund	9,806,000	9,920,000	9,920,000	0
Total, BA	\$2,087,310,000	\$2,082,921,000	\$2,082,910,000	-\$11,000

General Statement

The Child Care and Development Block Grant was created by the Omnibus Budget Reconciliation Act of 1990 (OBRA 1990) as a discretionary funded program. The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193) made changes to this program by combining the child care entitlement programs (AFDC Child Care, Transitional Child Care and At-Risk Child Care) into the Child Care and Development Block Grant (CCDBG) Act of 1990, as amended. The entitlement portion consisted of mandatory and matching funds made available under section 418 of the Social Security Act, while the discretionary funding was authorized by the Child Care and Development Block Grant Act. The combined funding from these streams was designated the Child Care and Development Fund (CCDF).

The Child Care and Development Block Grant makes funds available for child care services and activities to improve the availability, accessibility, and affordability of child care. The program allows states maximum flexibility in developing child care programs and enables states to use resources most effectively to meet local needs. Child care subsidies funded through the Block Grant assist low-income families who are working or attending training/education. A minimum of four percent of funds are set aside for activities to provide comprehensive consumer education to parents and the public, activities that increase parental choice and activities designed to improve the quality and availability of child care (such as implementing state health and safety and licensing regulations and resource and referral services). Additional appropriation earmarks also designate funds for improving the quality and availability of care. Quality child care promotes literacy and prepares children to succeed in school.

Two percent of the funds are reserved for Indian tribes, and one half of one percent is reserved for the Territories. A quarter of a percent is reserved for technical assistance. The 2006 request

includes funding (\$9,920,000) for research and evaluation to promote the generation of critically-needed information for and about parents, children, and the child care market.

CHILD CARE AND DEVELOPMENT BLOCK GRANT

Authorizing Legislation – Legislation to reauthorize Section 658B of the Child Care and Development Block Grant Act is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$2,077,504,000	\$2,073,001,000	\$2,072,990,000	-\$11,000

2006 Authorization.....\$2,100,000,000 (reflects reauthorization level pending before Congress).

Statement of the Budget Request

The 2006 budget of \$2,072,990,000 for the Child Care and Development Block Grant program will provide funding to assist states in meeting the critical child care needs of families.

Program Description

The Child Care and Development Block Grant provides grants to states, federally recognized tribes, and territories for the purposes of: providing low-income families with financial assistance for child care; improving the quality and availability of child care; and establishing or expanding and conducting early child development programs and before- and after-school programs. Federal funds enable states, tribes and territories to provide child care services through grants, contracts, and certificates to low-income families for a parent who is working or attending training or educational programs. This program is designed to help low-income families succeed at work and remain self-sufficient.

Funding for the Child Care and Development Block Grant for the last five years has been as follows:

2001.....	\$1,989,927,500
2002.....	\$2,089,970,000
2003.....	\$2,076,409,000
2004.....	\$2,077,504,000
2005.....	\$2,073,001,000

These child care funds will:

- Allow each state maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within each state;
- Promote parental choice to empower working parents to make their own decisions on child care that best suits their family's needs;

- Encourage states to provide consumer education information to help parents make informed choices about child care;
- Assist states in providing child care to parents trying to achieve and maintain independence from public assistance and assist other low-income working parents to maintain quality child care services for their children; and
- Assist states in implementing the health, safety, licensing, and registration standards established in state regulations.

Performance Analysis

The child care program has two long-term goals. One, designed to promote employment and self-sufficiency, measures the extent to which lack of child care is a barrier for employment. Under this goal, there are two short-term measures: (1) maintain the proportion of eligible children served through CCDF, TANF, and SSBG as compared to the number of children in families with income under 150 percent of the Federal Poverty level, and (2) increase the proportion of centers and homes willing to serve families receiving child care subsidies.

The second long-term goal, designed to promote the quality of care and school-readiness of children, is to increase the percentage of young children (ages 3 to 5 not yet in kindergarten) from families under 150% of poverty receiving regular non-parental care showing three or more school readiness skills. Under this goal, there are two short term measures: (1) increase the number of states that have implemented state early learning guidelines in literacy, language, pre-reading and numeracy for children ages 3 to 5 that align with State K-12 standards and are linked to the education and training of caregivers, preschool and administrators, and (2) increase by ten percent each year the number of regulated child care centers and homes nationwide accredited by a recognized early childhood development professional organization.

In FY 2004, CCDF was assessed using the OMB Program Assessment Rating Tool. This process resulted in the Child Care Bureau (CCB) modifying most of its long-term goals and short-term measures to improve their precision as indicators of performance. CCB is now working to develop baselines for three out of four of its measures against which to assess future performance. For the second measure under long-term goal two (which remains unchanged) the Child Care Bureau exceeded its target in FY 2003 to increase the number of regulated child care centers and homes nationwide accredited by a recognized early childhood development professional organization. The FY 2003 target was 9,822, and the actual number accredited was 10,945. Accreditation of child care facilities has been linked to better outcomes for children and is accepted as marker of good quality care.

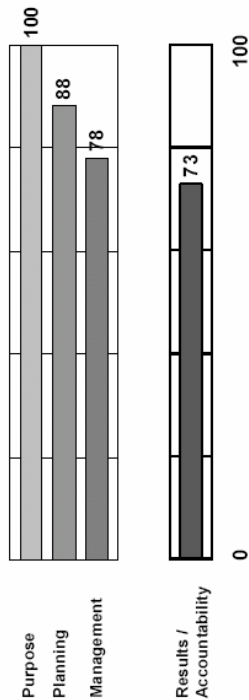
A PART review was conducted as part of the FY 2006 budget process, and the PART Summary and PART Recommendation charts follow the Rationale for the Budget Request.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will continue ACF's commitment to providing resources that allow families to become and remain self-sufficient and productive members of society. These funds also allow families to access quality child care, which promotes child development, literacy, and school readiness.

Program: *Child Care and Development Fund*

Agency: *Department of Health and Human Services*
Bureau: *Child Care Bureau*



<i>Key Performance Measures from Latest PART</i>		Year	Target	Actual
Long-term Measure: Measure Under Development		2009	1%	
		2003	Baseline	2.1%
Long-term Measure: Increase the percentage of young children (ages 3 to 5 not yet in kindergarten) from families under 150% of poverty receiving regular non-parental care showing three or more school readiness skills.		2011	42%	
		2001	32%	
Annual Measure: Increase the number of States that have implemented State early learning guidelines in literacy, language, pre-reading and numeracy for children ages 3 to 5 that align with State K-12 standards and are linked to the education and training of caregivers, preschool teachers, and administrators.		2007	25	
		2005	15	
		2004	10	
		2005	Baseline	3

Rating: *Moderately Effective*
Program Type: *Block/Formula Grant*

Program Summary:

The Child Care and Development Fund (CCDF) provides funds to States to improve the quality, accessibility and affordability of child care services for low-income families. It promotes economic self-sufficiency by enabling low-income families to gain and maintain employment.

The assessment found that CCDF plays a critical role for families transitioning from welfare to work and that child care subsidies expand parental access to a range of care options. Additional findings include:

- The program structure and use of vouchers maximizes parental choice and creates incentives for States to develop a single coherent system for families.
- The program's long-term goals and annual performance measures have been restructured to measure progress in improving the quality, accessibility and affordability of child care services for low-income families.
- The program's annual measures report only small progress towards long-term goals.
- The Agency cannot adequately demonstrate that the level of erroneous payments in the program is insignificant and oversight of grantee activities needs to be strengthened.

In response to these findings, the Administration will:

1. Continue to provide record high funding levels for the Child Care Development Fund.
2. The agency is implementing improved performance measures intended to provide more accurate assessments of annual progress towards long-term goals.
3. The Agency has initiated a series of activities to measure erroneous payments and improve grantee oversight.

Program Funding Level (in millions of dollars)

2004 Actual	2005 Estimate	2006 Estimate
4,804	4,801	4,801

Child Care				
1.	Recommendation	Completion Date	On Track? (Y/N)	Comments on Status
	Continue to measure and show progress in achieving long-term performance goals; test and refine the long-term measure to "reduce percentage of TANF families with children that are exempt from employment because child care is unavailable."		Y	In August and September, met with ACF Performance Management Office, Program Managers, ASPE officials, and others to examine more appropriate data sources and versions of the measure.
	Next Milestone	Next Milestone Date	Lead Organization	Lead Official
	Examine alternative data sources and versions of this measure	07/01/05	Child Care	Shannon Christian

Resource and Program Data
Child Care and Development Block Grant

	2004 Enacted	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$2,072,285,725	\$2,067,793,697	\$2,067,828,472
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	4,718,275	4,707,303	4,682,528
Program Support ¹	500,000	500,000	479,000
Total, Resources	\$2,077,504,000	\$2,073,001,000	\$2,072,990,000
<u>Program Data:</u>			
Number of Grants	320	322	322
New Starts:			
#	0	0	0
\$			
Continuations:			
#	320	322	322
\$	\$2,072,285,725	\$2,067,793,697	\$2,067,828,472
Contracts:			
#	4	4	4
\$	\$4,718,275	\$4,707,303	\$4,682,528
Interagency Agreements:			
#	0	0	0
\$			

¹ Includes funding for information technology support and other associated overhead.

RESEARCH AND EVALUATION FUND

Authorizing Legislation – Legislation to reauthorize Section 658B of the Child Care and Development Block Grant Act is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$9,806,000	\$9,920,000	\$9,920,000	\$0

2006 Authorization.....\$10M (reflects reauthorization level pending before Congress).

Statement of the Budget Request

The 2006 budget of \$9,920,000 for the Child Care and Development Block Grant Research and Evaluation Fund will provide funding to allow the continuation of efforts to further document emerging trends in the child care field and encourage evidence-based practices.

Program Description

In accordance with ACF's goal of ensuring healthy, safe and affordable child care for America's working families, this program will support research, demonstration, and evaluation activities designed to provide a sound basis for policy development, consumer education, and innovation toward improved child care services and systems for the 21st century.

Funding for the Child Care and Development Block Grant Research and Evaluation Fund has been as follows:

2001.....	\$9,991,000
2002.....	\$9,972,000
2003.....	\$9,935,000
2004.....	\$9,806,000
2005.....	\$9,920,000

Prior year funds have been used for a number of critical efforts, including:

- Rigorous evaluation of alternative state child care subsidy policies designed to identify effective strategies for improving outcomes for families and children.
- A multi-year, multi-site study of alternative approaches that show promise for improving the knowledge, skills, and performance of child care providers.
- Enhancement of states' capacity to collect administrative data and conduct policy-relevant research and analysis.

- Development of the Child Care and Early Education Research Connections archive to provide web-based access to reports, papers, briefs, data and other research-related information to child care researchers and policymakers.
- Promotion of research partnerships involving a variety of stakeholders to link research, policy and practice.

Performance Analysis

See the Child Care and Development Block Grant Performance Analysis section for a description of performance measures.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will support continuing research, demonstration, and evaluation activities. Increasing our knowledge of what child care services and systems work best and disseminating that knowledge throughout the country are important steps in improving the quality of care provided to our children. Research and evaluation will provide information and data for policy makers to make decisions about how best to use resources and develop innovative child care strategies. In consultation with researchers, policy makers and practitioners, ACF developed a comprehensive research agenda to develop the capacity to support ongoing and future child care research while at the same time answering key questions for child care policy, planning, and program administration.

Resource and Program Data
Research and Evaluation Fund

	2004 Enacted	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation	7,071,000	7,129,000	7,277,000
Demonstration/Development	2,435,000	2,491,000	2,343,000
Training/Technical Assistance	0	0	0
Program Support ¹	300,000	300,000	300,000
Total, Resources	9,806,000	\$9,920,000	\$9,920,000
<u>Program Data:</u>			
Number of Grants	28	23	29
New Starts:			
#	17	3	12
\$	\$2,849,211	\$90,000	\$2,430,000
Continuations:			
#	11	20	17
\$	\$4,615,543	\$6,600,000	\$5,201,000
Contracts:			
#	4	2	1
\$	\$1,280,988	\$2,330,000	\$1,468,000
Interagency Agreements:			
#	3	4	4
\$	\$465,000	\$600,000	\$600,000

¹ Includes funding for information technology support and other associated overhead.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Child Care & Development Block Grant (CFDA #93.575)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$41,347,694	\$41,574,362	\$41,573,969	-\$393
Alaska	4,238,361	4,058,874	4,058,836	-38
Arizona	47,827,110	49,264,832	49,264,367	-465
Arkansas	24,828,236	25,160,651	25,160,414	-237
California	236,072,938	229,313,555	229,311,391	-2,164
Colorado	23,901,292	23,439,958	23,439,737	-221
Connecticut	14,833,415	14,528,318	14,528,180	-138
Delaware	4,405,655	4,605,062	4,605,019	-43
District of Columbia	3,419,790	3,278,602	3,278,572	-30
Florida	113,431,958	113,701,293	113,700,221	-1,072
Georgia	74,026,303	74,992,988	74,992,280	-708
Hawaii	8,539,970	8,440,286	8,440,206	-80
Idaho	11,282,123	11,593,332	11,593,224	-108
Illinois	78,796,424	78,276,335	78,275,597	-738
Indiana	40,675,116	41,625,800	41,625,408	-392
Iowa	18,451,602	18,472,550	18,472,376	-174
Kansas	18,816,422	19,029,645	19,029,465	-180
Kentucky	34,865,897	36,065,643	36,065,302	-341
Louisiana	48,317,712	48,102,061	48,101,607	-454
Maine	7,274,434	6,963,613	6,963,548	-65
Maryland	28,257,196	27,004,464	27,004,209	-255
Massachusetts	26,968,527	26,244,647	26,244,400	-247
Michigan	59,304,102	59,473,984	59,473,423	-561
Minnesota	25,791,089	25,913,854	25,913,609	-245
Mississippi	33,350,381	33,165,186	33,164,873	-313
Missouri	39,717,544	39,484,161	39,483,788	-373
Montana	5,850,415	5,877,949	5,877,893	-56
Nebraska	11,786,473	12,008,236	12,008,123	-113
Nevada	12,666,448	13,303,688	13,303,562	-126
New Hampshire	4,953,144	4,892,086	4,892,040	-46
New Jersey	38,635,288	37,390,537	37,390,184	-353
New Mexico	18,661,819	18,814,211	18,814,033	-178
New York	112,927,457	109,664,681	109,663,646	-1,035
North Carolina	64,050,795	65,039,493	65,038,879	-614
North Dakota	4,128,478	4,027,359	4,027,321	-38

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	69,472,724	68,799,842	68,799,194	-648
Oklahoma	30,891,348	31,772,547	31,772,247	-300
Oregon	22,511,389	22,330,947	22,330,736	-211
Pennsylvania	63,998,114	62,847,805	62,847,212	-593
Rhode Island	5,557,576	5,964,250	5,964,194	-56
South Carolina	36,762,237	37,591,497	37,591,142	-355
South Dakota	5,934,932	5,875,210	5,875,154	-56
Tennessee	45,806,620	45,485,688	45,485,258	-430
Texas	206,706,015	210,973,077	210,971,087	-1,990
Utah	21,301,089	22,446,029	22,445,817	-212
Vermont	3,159,672	2,993,744	2,993,716	-28
Virginia	40,721,683	40,273,777	40,273,397	-380
Washington	34,112,913	33,570,676	33,570,360	-316
West Virginia	13,655,595	14,288,728	14,288,592	-136
Wisconsin	30,503,926	30,374,011	30,373,726	-285
Wyoming	3,073,606	2,885,501	2,885,473	-28
Subtotal	1,976,571,047	1,973,265,625	1,973,247,007	-18,618
Indian Tribes	41,746,196	41,658,425	41,658,200	-225
American Samoa	2,751,540	2,514,556	2,514,543	-13
Guam	3,937,305	4,190,927	4,190,904	-23
Northern Mariana Islands	1,722,749	1,594,221	1,594,212	-9
Puerto Rico	42,537,814	41,463,358	41,462,968	-390
Virgin Islands	2,024,955	2,114,902	2,114,891	-11
Subtotal	94,720,559	93,536,389	93,535,718	-671
Total States/Territories	2,071,291,606	2,066,802,014	2,066,782,725	-19,289
Technical Assistance	5,218,274	5,207,303	5,207,275	-28
Research Set-Aside	9,805,802	9,920,000	9,920,000	0
Child Care Aware	994,100	991,931	1,000,000	8,069
Subtotal Adjustments	16,018,176	16,119,234	16,127,275	8,041
TOTAL RESOURCES	\$2,087,309,782	\$2,082,921,248	\$2,082,910,000	-\$11,248

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

CHILDREN AND FAMILIES SERVICES PROGRAMS

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ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 310 and 316 of the Family Violence Prevention and Services Act, as amended, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Adoption and Safe Families Act of 1997 (Public Law 105-89), sections 1201 and 1211 of the Children's Health Act of 2000, the Abandoned Infants Assistance Act of 1988, sections 261 and 291 of the Help America Vote Act of 2002, [the Early Learning Opportunities Act, part B(1) of title IV and]¹ sections 413, 429A, 1110, [and] 1115 [of the Social Security Act, and sections 40155, 40211, and 40241 of Public Law 103-322; for making payments under the Community Services Block Grant Act, sections]², 439(h), 473A, and 477(i) of the Social Security Act, and title IV of Public Law 105-285, and for necessary administrative expenses to carry out said Acts and titles I, IV, V, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, [sections 40155, 40211, and 40241 of Public Law 103-322,] and section 126 and titles IV and V of Public Law 100-485, [\$9,069,853,000] \$8,386,293,000, of which [\$32,103,000] \$31,846,000, to remain available until September 30, [2006] 2007, shall be for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679) and may be made for

¹ No funds are being requested in FY 2006 for the Early Learning Opportunities Program. The FY 2006 President's Budget request proposes to fund similar activities in the Department of Education through the Early Reading First program and the Early Childhood Education Professional Development Grant.

² This language is proposed for deletion because no funding is being requested for the Community Services Programs in FY 2006.

adoptions completed before September 30, [2005] 2006: *Provided further*, That

[\$6,898,580,000] \$6,888,136,000 shall be for making payments under the Head Start Act, of which \$45,000,000 shall be for grants to States that directly administer the Head Start program, notwithstanding section 640 of such Act³; and of which [\$1,400,000,000] \$1,388,800,000 shall become available October 1, [2005] 2006 and remain available through September 30, [2006]

2007: *Provided further*, [That \$732,385,000 shall be for making payments under the Community Services Block Grant Act: *Provided further*, That not less than \$7,300,000 shall be for section 680(3)(B) of the Community Services Block Grant Act, *Provided further*, That within amounts provided herein for abstinence education for adolescents, up to \$10,000,000 may be available for a national abstinence education campaign: *Provided further*,] That in addition to amounts provided herein, \$6,000,000 shall be available from amounts available under section 241 of the Public Health Service Act to carry out the provisions of section 1110 of the Social Security Act: [*Provided further*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act, as amended, to become the sole property of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant: *Provided further*, That funds appropriated for section 680(a)(2) of the Community Services Block Grant Act, as amended, shall be available for

³ This language is added to authorize the proposed pilot project to allow up to nine states to coordinate their Pre-K, Head Start, and child care programs in a comprehensive system to address the needs of low-income pre-school age children and their families.

financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations:]⁴ *Provided further*, That [\$55,000,000] \$100,000,000 is for a compassion capital fund to provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations: *Provided further*, That [\$15,000,000] \$14,879,000 shall be for activities authorized by the Help America Vote Act of 2002, of which [\$10,000,000] \$9,919,000 shall be for payments to States to promote access for voters with disabilities, and of which [\$5,000,000] \$4,960,000 shall be for payments to States for protection and advocacy systems for voters with disabilities: *Provided further*, That [\$100,000,000] \$138,045,000 shall be for making competitive grants to provide abstinence education (as defined by section 510(b)(2) of the Social Security Act) to adolescents, and for Federal costs of administering the grant: *Provided further*, That grants under the immediately preceding proviso shall be made only to public and private entities which agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which abstinence education was provided: *Provided further*, That within amounts provided herein for abstinence education for adolescents, up to \$10,000,000 may be available for a national abstinence education campaign:⁵ *Provided further*, That in addition to amounts provided herein for abstinence education for adolescents, \$4,500,000 shall be available from amounts available under section 241 of the Public Health Services Act to carry out evaluations (including

⁴ This language is proposed for deletion because no funding is being requested for the Community Services Programs in FY 2006.

⁵ This language places all abstinence education appropriations together.

longitudinal evaluations) of adolescent pregnancy prevention approaches: *Provided further*, That \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness.

Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Bill, 2005

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

Children and Families Services Programs

Amounts Available for Obligation¹

	2004 <u>Enacted</u>	2005 <u>Enacted</u>	2006 <u>Estimate</u>
Appropriation:			
Annual	\$8,816,601,000	\$9,069,853,000	\$8,386,293,000
Pre-Appropriated Mandatory ²	50,000,000	50,000,000	50,000,000
Advance funding of Head Start included in annual appropriation.....	[1,391,740,000]	[1,388,800,000]	[1,388,800,000]
Enacted rescission.....	<u>-53,409,000</u>	<u>-73,284,000</u>	<u>0</u>
Subtotal, Adjusted Appropriation.....	\$8,813,192,000	\$9,046,569,000	\$8,436,293,000
Comparable transfer from: HRSA	70,049,000	0	0
Unobligated balance start of year.....	\$35,249,250	\$7,455,750	0
Unobligated balance Lapsing.....	-\$9,807,500	0	
Unobligated balance end of year.....	-\$7,455,750	0	
Total, obligations discretionary only	\$8,851,227,000	\$9,004,024,750	\$8,386,293,000
Total, obligations	\$8,901,227,000	\$9,054,024,750	\$8,436,293,000

¹ Excludes the following amounts for reimbursements: FY 2004 \$13,620,000, FY 2005 \$21,873,000, FY 2006 \$21,873,000.

² Reflects the reassignment of the Abstinence Education programs from HRSA to ACF. These mandatory pre-appropriated funds are shown in this discretionary account for display purposes.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs
(Discretionary only)

SUMMARY OF CHANGES

FY 2005 Enacted	
Total estimated budget authority	\$8,996,569,000
(Obligations).....	(\$9,004,024,750)
FY 2006 Estimate	
Total estimated budget authority	\$8,386,293,000
(Obligations).....	(\$8,386,293,000)
Net change budget authority	-\$610,276,000

	<u>2005 Current Budget Base</u>		<u>Change from Base</u>	
	<u>(FTE)</u>	<u>Budget Authority</u>	<u>(FTE)</u>	<u>Budget Authority</u>
<u>Increases:</u>				
A. <u>Built-in:</u>				
1) Increase in personnel compensation and related benefit costs associated with January 2006 civilian pay raise, annualization of FY 2005 pay raise, and within grade increase, and one day less pay.....				\$5,127,000
2) Inflationary increases in rent and other non-pay costs.....				+354,000
Subtotal, Built-in Increases	1,382	\$8,996,569,000		+5,481,000
B. <u>Program:</u>				
1) Compassion Capital Fund program increase.....				+45,451,000
2) Head Start increase.....				+45,022,000
3) Community-Based Abstinence Education increase.....				+38,847,000
4) Independent Living Education and Training Vouchers program				

	2005 Current <u>Budget Base</u>		<u>Change from Base</u>	
	<u>(FTE)</u>	<u>Budget Authority</u>	<u>(FTE)</u>	<u>Budget Authority</u>
increase.....				+13,376,000
5) Runaway and Homeless Youth Program increase.....				+10,004,000
6) Increased funding for Unified Financial Management System (UFMS) and the IT Service Center (Federal Administration share only).....				+2,046,000
7) Mentoring Children of Prisoners increase.....				+395,000
8) Violent Crime Reduction programs increase.....				+137,000
9) Program increases of \$5,000 or less				+10,000
Subtotal, Program Increases				+\$155,288,000
<i>Total Increases</i>				+\$160,769,000

Decreases:

B. Program

1) Reduction in Community Services Programs.....				-701,806,000
2) Elimination of Early Learning Opportunities Program.....				-35,712,000
3) Reduction in Social Services Research and Demonstration.....				-26,012,000
4) Reductions in staff due to program eliminations and productivity improvements.....				-7,495,000
5) Reduction in non-recurring program				

	2005 Current <u>Budget Base</u>		<u>Change from Base</u>	
	<u>(FTE)</u>	<u>Budget Authority</u>	<u>(FTE)</u>	<u>Budget Authority</u>
costs.....				-20,000
Subtotal, Program Decreases				-\$771,045,000
<i>Total Decreases</i>				-\$771,045,000
Net Change.....				<u>-\$610,276,000</u>

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Budget Authority by Activity
(Dollars in thousands)

	<u>2004</u>	<u>2005</u>	<u>2006</u>
	<u>Enacted</u>	<u>Enacted</u>	<u>Estimate</u>
	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>
Head Start			
	\$6,774,848	\$6,843,114	\$6,888,136
Early Learning Opportunities Program	33,580	35,712	0
Runaway and Homeless Youth Program	89,431	88,724	88,728
Maternity Group Homes	0	0	10,000
Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth	15,302	15,178	15,179
Community-Based Abstinence Education	70,049	99,198	138,045
Abstinence Education (Pre-appropriated Mandatory funds)	50,000	50,000	50,000
Mentoring Children of Prisoners	49,701	49,598	49,993
CAPTA State Grants	21,883	27,280	27,280
Child Abuse Discretionary Activities	34,386	31,640	31,645
Community-Based Child Abuse Prevention	33,205	42,858	42,859
Child Welfare Services	289,320	289,650	289,650

	<u>2004</u>	<u>2005</u>	<u>2006</u>
	<u>Enacted</u>	<u>Enacted</u>	<u>Estimate</u>
	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>
Child Welfare Training	7,411	7,409	7,409
Adoption Opportunities	27,103	27,116	27,119
Abandoned Infants Assistance Program	12,052	11,955	11,955
Independent Living Education and Training Vouchers	44,734	46,623	59,999
Adoption Incentives	7,456	31,846	31,846
Children's Health Act Programs	12,785	12,802	12,802
Developmental Disabilities: State Councils	73,081	72,496	72,496
Developmental Disabilities: Protection and Advocacy	38,416	38,109	38,109
Developmental Disabilities: Projects of National Significance	11,562	11,542	11,529
Developmental Disabilities: University Centers For Excellence	26,803	31,549	31,548
Voting Access for Individuals with Disabilities	14,912	14,879	14,879
Native American Programs	45,157	44,786	44,780
Social Services Research and Demonstration	13,168	26,012	0
Compassion Capital Fund	47,702	54,549	100,000

	<u>2004</u>	<u>2005</u>	<u>2006</u>
	<u>Enacted</u>	<u>Enacted</u>	<u>Estimate</u>
	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>	<u>FTE</u> <u>Amount</u>
Community Services Block Grant	641,935	636,793	0
Community Services Discretionary Activities	39,522	39,972	0
National Youth Sports	17,894	17,856	0
Community Food and Nutrition	7,238	7,180	0
Individual Development Accounts	24,695	24,704	24,699
Family Violence Prevention and Services/Battered Women's Shelters	125,648	125,630	125,991
Domestic Violence Hotline and Internet Grant	2,982	3,224	3,000
Federal Administration	177,894	185,210	185,217
Center for Faith-Based and Community Initiatives	1,386	1,375	1,400
Total, BA (Discretionary)	\$8,833,241	\$8,996,569	\$8,386,293
Total, BA (Discretionary and Mandatory)	\$8,883,241	\$9,046,569	\$8,436,293

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

Budget Authority by Object

	<u>2005</u> <u>Enacted</u>	<u>2006</u> <u>Estimate</u>	<u>Increase or</u> <u>Decrease</u>
Full-time equivalent employment ceiling ...	1,382	1,313	(69)
Full-time equivalent of overtime and holiday hours	0	0	0
Average GS grade.....	12.4	12.4	0
Average GS salary	68,452	70,382	1,930
<u>Personnel Compensation:</u>			
Full-Time Permanent (11.1)	112,532,000	109,840,000	(2,692,000)
Other Than Full-Time Permanent (11.3) .	1,551,000	1,486,000	(65,000)
Other Personnel Compensation (11.5)	1,174,000	1,147,000	(27,000)
Total Personnel Compensation (11.9)	115,257,000	112,473,000	(2,784,000)
Civilian Personnel Benefits (12.1)	22,898,000	22,412,000	(486,000)
Subtotal, Pay Costs	138,155,000	134,885,000	(3,270,000)
Travel (21.0)	4,167,000	4,022,000	(145,000)
Rental Payments to GSA (23.1)	17,932,000	18,761,000	829,000
Rental Payments to Others (23.2)	517,000	525,000	8,000
Communications, Utilities and			
Miscellaneous Charges (23.3)	3,277,000	3,326,000	49,000
Printing and Reproduction (24.0)	2,571,000	2,576,000	5,000
<u>Other Contractual Services:</u>			
Advisory and Assistance Services (25.1) .	131,951,000	132,268,000	317,000
Other Services (25.2)	19,278,000	18,783,000	(495,000)
Purchases from Govt. Accounts (25.3)	74,336,000	73,860,000	(476,000)
Operation & Maintenance of Facilities			
(25.4)	450,000	450,000	0
Subtotal Other Contractual Services.....	226,015,000	225,361,000	(654,000)
Supplies and Materials (26.0)	761,000	716,000	(45,000)
Equipment (31.0)	155,000	156,000	1,000
Grants (41.0)	8,603,019,000	7,995,965,000	(607,054,000)
Subtotal, Non-Pay Costs.....	8,858,414,000	8,251,408,000	(607,006,000)
Total.....	\$8,996,569,000	\$8,386,293,000	(\$610,276,000)

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services
Salaries and Expenses
(Budget Authority)

	2005 <u>Enacted</u>	2006 <u>Estimate</u>	Increase or <u>Decrease</u>
<u>Personnel Compensation:</u>			
Full-Time Permanent (11.1)	112,532,000	109,840,000	(2,692,000)
Other Than Full-Time Permanent (11.3)	1,551,000	1,486,000	(65,000)
Other Personnel Compensation (11.5)	1,174,000	1,147,000	(27,000)
Total Personnel Compensation (11.9)	115,257,000	112,473,000	(2,784,000)
Civilian Personnel Benefits (12.1)	22,898,000	22,412,000	(486,000)
Subtotal Pay Costs	138,155,000	134,885,000	(3,270,000)
Travel (21.0)	4,167,000	4,022,000	(145,000)
Rental Payments to Others (23.2)	517,000	525,000	8,000
Communications, Utilities and Miscellaneous Charges (23.3)	3,277,000	3,326,000	49,000
Printing and Reproduction (24.0)	2,571,000	2,576,000	5,000
<u>Other Contractual Services:</u>			
Consulting Services (25.1)	131,951,000	132,268,000	317,000
Other Services (25.2)	19,278,000	18,783,000	(495,000)
Purchases from Govt. Accounts (25.3)	74,336,000	73,860,000	(476,000)
Operation & Maintenance of Facilities (25.4)	450,000	450,000	0
Subtotal Other Contractual Services	226,015,000	225,361,000	(654,000)
Supplies and Materials (26.0)	761,000	716,000	(45,000)
Subtotal Non-pay Costs	237,308,000	236,526,000	(782,000)
Total Current Law Salaries and Expenses	\$375,463,000	\$371,411,000	(\$4,052,000)

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Program

SIGNIFICANT ITEMS IN HOUSE AND SENATE

APPROPRIATIONS COMMITTEE REPORTS

FY 2005 House Appropriations Committee Report Language (H. Rpt 108-636)

Item

[Dental services to children] – The Committee encourages the Head Start Bureau and the Maternal and Child Health Bureau to continue and expand their successful interagency agreement to jointly address dental disease. (p. 132 and p. 202)

Action taken or to be taken

The Department agrees with the Committee on the importance of this agreement, believing it will make a significant difference in improving the quality of services provided to Head Start children. The Department intends to continue this agreement in FY 2005 and will assure it is funded at an appropriate level.

Item

[Migrant and Seasonal Head Start] – The Committee is aware that only 19% of the children eligible for Migrant and Seasonal Head Start (MSHS) are able to access services due to limited funding in contrast to Regional Head Start programs that are able to serve 60% of their eligible children. Existing MSHS programs maintain significant wait lists and, in many areas of the country, there are not MSHS programs to provide services to migrant farmworker children when they move into a state. The Committee directs the department, within 90 days of enactment of the bill, to provide a plan to the Committee describing how it intends to serve a greater percentage of migrant children. (p. 132 and p. 201)

Action taken or to be taken

HHS will continue to explore ways to provide adequate support for migrant and seasonal children who participate in Head Start programs. In the FY 2005 budget, the Head Start program received a \$68 million increase in funding over the FY 2004 Head Start budget. HHS will ensure that migrant and seasonal Head Start programs receive a full and proportional funding increase coinciding with the increased amount appropriated for Head Start in the FY 2005 budget. In addition, earlier this year as part of the Head Start Management Initiative, unallotted funding was identified to serve up to 4,000 more low-income children nationwide. Approximately \$2 million of these funds will be targeted exclusively to migrant programs. This funding will serve more than 300 additional children in migrant and seasonal Head Start programs.

Beyond this increased funding for migrants in 2005, HHS will continue to expand our support for migrant and seasonal Head Start programs by working with regionally-funded local Head

Start programs that serve seasonal and migrant communities to develop new and innovative ways to increase Head Start enrollment of seasonal families.

Finally, HHS plans to review current demographic data on migrant and seasonal families served by the program to better understand how well these populations are being served by Head Start programs. A review of current demographic data will help to ensure that like numbers of eligible children are being compared when calculating the percentage of migrant and seasonal children being served.

Item

[Independent panel assessment of national reporting system] – The Committee recognizes that there is concern among the educational and Head Start community about the administration of a standardized test for 4- and 5-year olds. The Committee directs the Department of Health and Human Services to submit the report from the independent panel appointed in March of 2004 assessing the effectiveness of the National Reporting System no later than **November 30, 2004**. (p. 132 and p. 202)

Action taken or to be taken

HHS announced earlier this year the creation of the Head Start Advisory Committee on Accountability and Educational Performance Measures which will be composed of distinguished individuals in the field of early childhood. This Committee will be charged with reviewing all aspects of the current Head Start National Reporting System (HSNRS), including an analysis of the current test's validity and its impact on instruction. The Department is currently completing the selection and appointment phase for this Committee, and will publish shortly the slate of members. Therefore, no report is available at this time. We will share the report with the Committee when the Advisory Committee completes its work.

Item

[Transitional living program] – It is the Committee's expectation that current transitional living program grantees will continue to provide transitional living opportunities and supports to pregnant and parenting homeless youth, as is their current practice. To further ensure that pregnant and parenting homeless youth are able to access transitional living opportunities and supports in their communities, the Committee encourages the Secretary, acting through the network of federally-funded runaway and homeless youth training and technical assistance providers, to offer guidance to grantees and others on the programmatic modifications required to address the unique needs of pregnant and parenting youth and on the various sources of funding available for residential services to this population. (p. 133)

Action taken or to be taken

The Family and Youth Services Bureau (FYSB) incorporated language into each Training and Technical Cooperative Agreement to provide intensive, skill-based training and technical assistance (including on-site when appropriate and as resources permit) to newly funded and inexperienced Runaway and Homeless Youth program grantees. One of the Core Services in this agreement is to provide training and technical assistance to Transitional Living Program grantees on the programmatic modifications required to address the unique need of pregnant and parenting youth and the various sources of funding available for residential services to this

population. The types of training and technical services that will be provided to grantees are: state licensing requirements; alternative funding sources; orientation materials for pregnant and parenting teens; general transitional living program information; best practices training; and guidelines for teen parents living in traditional TLP facilities.

FY 2005 Senate Appropriations Committee Report Language (S. Rpt 108-345)

Item

[Head Start] — The Committee strongly supports the effort to strengthen the qualifications of Head Start teachers. The Committee encourages Head Start to continue to work toward the goal of having all of their teachers in center-based Head Start programs have an associate, baccalaureate, or advanced degree in early childhood education, or a degree in a related field with experience in teaching preschool children. The Committee expects the Department to focus staff development efforts on increasing the educational level of Head Start teachers in order to meet this goal. (p. 201)

Action taken or to be taken

The Department remains committed to strengthening the qualifications of Head Start teachers. The Department is pleased to report that, in the 2003-2004 program year, the percentage of Head Start teachers with a degree in early childhood education (or a related field with preschool teaching experience) has increased to 65%, an increase of 8% over the previous year and 18% over a 2 year period.

Item

[Head Start Program – Transportation Services for Enrolled Children] — The Head Start Bureau shall provide the Committee with the number and cost of buses purchased, by region, in fiscal years 2002, 2003, and the first half of 2004 with Head Start funds no later than March 31, 2005. (p. 201)

Action taken or to be taken

During the 2001-2002 program year, Head Start programs purchased 1,330 buses at an estimated cost of \$59.9 million. In 2002-2003, 997 buses were purchased at an estimated cost of \$44.9 million. In the 2003-2004 program year, 757 buses were purchased for an estimated cost of \$34.1 million. Specific data on the number and cost of buses purchased by year and by ACF region is provided below.

01-02 Program Year	# Buses	
Region I	17	\$765,000
Region II	88	\$3,960,000
Region III	175	\$7,875,000
Region IV	300	\$13,500,000
Region V	264	\$11,880,000
Region VI	118	\$5,310,000
Region VII	65	\$2,925,000
Region VIII	45	\$2,025,000
Region IX	49	\$2,205,000
Region X	49	\$2,205,000
American Indians	95	\$4,275,000

02-03 Program Year	# Buses	
Region I	35	\$1,575,000
Region II	106	\$4,770,000
Region III	150	\$6,750,000
Region IV	234	\$10,530,000
Region V	133	\$5,985,000
Region VI	126	\$5,670,000
Region VII	36	\$1,620,000
Region VIII	31	\$1,395,000
Region IX	25	\$1,125,000
Region X	23	\$1,035,000
American Indians	67	\$3,015,000

Migrants	65	\$2,925,000	Migrants	31	\$1,395,000
TOTAL	1330	\$59,850,000	TOTAL	997	\$44,865,000
03-04 Program Year	# Buses				
Region I	14	\$630,000			
Region II	58	\$2,610,000			
Region III	91	\$4,095,000			
Region IV	188	\$8,460,000			
Region V	140	\$6,300,000			
Region VI	94	\$4,230,000			
Region VII	39	\$1,755,000			
Region VIII	17	\$765,000			
Region IX	43	\$1,935,000			
Region X	17	\$765,000			
American Indians	47	\$2,115,000			
Migrants	9	\$405,000			
TOTAL	757	\$34,065,000			

Item

[Head Start language policy] — The Committee acknowledges Head Start's effort to test both English and Spanish language speakers. Currently, the determining factor for which language version will be administered is the child's repeated failure to comprehend questions posed in English. The Committee urges ACF to review this policy in order to minimize any potential unintended consequences for children and the teacher-child relationship. (p. 202)

Action taken or to be taken

The Department will ask the National Reporting System Advisory Committee to review this policy.

Item

[Parental consent prior to health screening] — It has come to the Committee's attention that one Head Start grantee did not adequately obtain parental consent before performing a health screening on Head Start students which included intrusive physical examinations. The Committee believes that Head Start agencies receiving financial assistance available through this Act should obtain written parental consent before administration of, or referral for, any health care service provided or arranged to be provided, including any non-emergency intrusive physical examination of a child in connection with participation in a Head Start program. This should not in any way be interpreted to prohibit agencies from using established methods for handling cases of suspected or known child abuse and neglect that are in compliance with applicable Federal, State, or tribal law. (p. 202)

Action taken or to be taken

It is current Head Start policy (45 CFR Part 1304.20) that Head Start agencies should obtain written parental consent before providing any non-emergency health care services. We will remind all Head Start agencies of this policy.

Item

[Timely release of funds] **B** The Committee expects the Office of Community Services to release funding to States in the most timely manner. The Committee also expects States to make funds

available promptly. The Committee is aware that the Office of Community Services and some States have been extraordinarily delinquent in providing funds to local eligible entities. (p. 208)

Action taken or to be taken

Last fiscal year all grant awards were made to the States from the Office of Community Services as soon as funds were available. The OCS will continue to work diligently with all parties within the Department to ensure timely funding to the States and encourage the States to fund local grantees as soon as they receive their CSBG grants. This year in coordination with the National Association of State Community Services Programs, information regarding awards was communicated electronically instantly to States and to local agencies.

Item

[CSBG carryover funds] – The Committee expects the Office of Community Services to release funding to States in the most timely manner. The Committee also expects States to make funds available promptly. The Committee is aware that the Office of Community Services and some States have been extraordinarily delinquent in providing funds to local eligible entities. In addition, the Committee again expects the Office of Community Services to inform the State CSBG grantees of any policy changes affecting carryover CSBG funds within a reasonable time after the beginning of the Federal fiscal year. (p. 210)

Action taken or to be taken

This past fiscal year, the OCS in coordination with the National Association of State Community Services Programs began an intensive technical assistance effort to assist some States and the Commonwealth of Puerto Rico to develop improved timely methods of contracting with Community Action Agencies. As a result, all States issued grant/contract awards accurately and timely. Since 1996, the Appropriation language has contained information which allows carryover to be retained by the eligible entities. Each year, the OCS immediately sends an Information Memorandum to the State CSBG agency reminding them of this policy change as soon as the Appropriation language is known.

Item

[Water and wastewater treatment] – The Committee is concerned that many small and very small community water and wastewater treatment systems might be most vulnerable to terrorist attack, and yet least prepared to deal with the issue. The Committee urges OCS to continue to support RCAP Small Community Infrastructure Safety and Security Training and Technical Assistance project, which provides State, regional and national infrastructure safety and security training workshops and on-site technical assistance targeted to small and very small community water and wastewater treatment systems. The goal of the project is to improve the capacity of small systems to better prepare for emergencies, develop emergency preparedness training manuals for small water systems, identify appropriate technologies to secure such systems, and provide technical assistance to small communities struggling to deal with these issues. (p. 212)

Action taken or to be taken

In FY 2004 the Office of Community Services (OCS) provided funding for the Rural Community Assistance Program (RCAP) Small Community Infrastructure Safety and Security Training and Technical Assistance project to address the Committee concern that many small and very small community water and wastewater treatment systems might be most vulnerable to

terrorist attack, and yet least prepared to deal with the issue. OCS plans to continue to support RCAP funding for the aforementioned project in FY 2005. This will enable the organization to continue to provide technical assistance and training to the State, regional and national infrastructure through safety and security training workshops and on-site technical assistance targeted to small and very small community water and wastewater treatment systems.

Item

[Program Performance] – The Committee urges ACF to continue to make progress in improving its Annual Performance Plan and Annual Performance Report. The Committee notes that many programs proposed for funding do not have solid data for baseline or performance outcome measures. This lack of objective data makes more difficult the Committee’s decisions regarding the allocations of limited resources. The Committee believes that the Agency should work with program grantees and relevant associations to identify the most objective ways in which to evaluate the effectiveness of ACF programs and establish a timeline for producing meaningful data by which programs can be assessed. The Committee urges that steps be taken to improve this situation and expects information regarding such actions to be included in the fiscal year 2006 congressional justification. (p, 214)

Action taken or to be taken

ACF agrees that some agency programs do not have solid data for baselines or performance outcome measures. We continue to work with program grantees and relevant associations to identify the most objective ways in which to evaluate the effectiveness of ACF programs. These efforts are discussed in more detail in the Partnerships and Coordination discussion in the Supporting Information section of this document as well as in the respective programs’ performance analyses.

Item

[Child and Family Services reviews]– The Committee continues its interest in the Department’s Child and Family Services reviews. These reviews are an effective method for monitoring the progress States are making in assuring the safety, health, and permanency for children in child welfare and foster care as required in the Adoption and Safe Families Act. The Committee encourages the Department to make available sufficient resources to ensure full implementation of the new collaborative monitoring system. (p. 214)

Action taken or to be taken

The Administration for Children and Families is committed to providing sufficient resources for our comprehensive child welfare monitoring system. ACF completed the initial round of Child and Family Service Reviews (CFSR) in all 50 States, the District of Columbia, and Puerto Rico (“the States”) in March 2004. All States were required to develop and implement Program Improvement Plans (PIP) to address those areas identified in the CFSR as not being in substantial conformity with Federal requirements. Currently, ACF has approved PIPs for 46 States and is working with the remaining 6 States to complete the development of their PIPs. Of the 46 States with approved PIPs, 11 have completed the 2-year implementation period for the PIP. ACF has completed its evaluation of 5 of the 11 States and has determined that those 5 States successfully implemented all required improvements in their PIPs and reached their approved goals (Vermont, Delaware, Minnesota, Oregon, and Kansas). ACF is in the process of

evaluating the remaining 6 States that have completed their 2-year PIP implementation periods and will begin the second round of reviews this Summer.

FY 2005 Conference Report Language (Conference Report 108-792)

Item

[Community Services use of training and technical assistance funds] – The conferees direct the Secretary to prepare a 3-year strategic plan for the office of community services use of training and technical assistance funds in the fiscal years 2005-2008, as requested by the House, and [that plan] should be provided to the House and Senate Committees on Appropriations by no later than June 15, 2005. (p. H10661)

Action taken or to be taken

The President's Budget request for 2006 does not include funding for the Community Services programs. Under these circumstances, it would not be appropriate to develop and submit a 3-year Training and Technical Assistance strategic plan.

ADMINISTRATION FOR CHILDREN AND FAMILIES

Children and Families Services Programs

Authorizing Legislation¹

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
1. Head Start [Section 639 of the Head Start Act] **	Such sums	\$6,843,114,000	Such sums	\$6,888,136,000
2. Head Start Transition Grants [Section 639(b)(1)] **	Not more than \$35,000,000 and not less than the amount obligated for FY 1998	(35,000,000)	Not more than \$35,000,000 and not less than the amount obligated for FY 1998	(35,000,000)
3. Indian and Migrant Program [Section 640(a)(2)(A)] **	Not less than the amount obligated for FY 1998	(455,838,000)	Not less than the amount obligated for FY 1998	(455,838,000)
4. Training and Technical Assistance [Section 640(a)(2)(C)] **	Not less than 2% of the appropriated amount under section 639(a); not less than \$3M to Family Literacy program	(139,862,000)	Up to 2% of the appropriated amount under section 639(a); not less than \$3M to Family Literacy program	(71,431,000)
5. Collaboration grants [Section 640(a)(5) (A)] **	Such sums	(8,175,000)	Such sums	(8,175,000)
6. Infants and Toddlers Program [Section	10% of the amount	(684,311,000)	10% of the amount	(688,814,000)

¹ This table includes annotations for expiring legislation, indicating the date that authorization expired as follows: a single asterisk (*) indicates that the program expired at the end of FY 2002; a double asterisk (**) indicates that the program expired at the end of FY 2003; and a triple asterisk (***) indicates that the program expired at the end of FY 2005. None expired at the end of FY 2004.

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
640(a)(6)(A)] **	appropriated under section 639(a)		appropriated under section 639(a)	
7. Quality Improvement [Section 640(a)(3) (A)(i)] **	At least 25 % of amount exceeding previous year's adjusted appropriation	0	Up to 25 % of amount exceeding previous year's adjusted appropriation	0
8. Head Start Fellowships [Section 648A(d)(6)] **	No more than \$1,000,000	(1,000,000)	No more than \$1,000,000	(1,000,000)
9. Head Start Research, Demonstration, Evaluation [Section 639(b)(3)] **	Such sums	(20,000,000)	Such sums	(20,000,000)
10. National Head Start Impact Research [Section 639(b)(2)] **	No more than \$5,000,000	(5,000,000)	No more than \$5,000,000	(5,000,000)
11. State Pilot Grants (proposed legislation)**	0	0	\$45,000,000	(\$45,000,000)
12. Early Head Start Training and Technical Assistance [Section 645 (A)(g)(2)(A)] **	No less than 5% and not more than 10% of appropriated total under section 640(a)(6)(A)	(34,216,000)	No less than 5% and not more than 10% of appropriated total under section 640(a)(6)(A)	(34,217,000)

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
13. Runaway and Homeless Youth Program Basic Center Program [Section 388(a)(1) of the Runaway and Homeless Youth Act]	Such sums	48,786,000	Such sums	48,787,000
14. Runaway and Homeless Youth Transitional Living Programs and Maternity Group Home [Section 388(a)(1)(B) of the Runaway and Homeless Youth Act]	45% of the RHY appropriated amount and increasing to 55% as warranted	39,938,000	45% of the RHY appropriated amount and increasing to 55% as warranted	39,941,000
15. Maternity Group Homes, [Section 388(a)(1) of the Runaway and Homeless Youth Act]	Such sums	0	Such sums	10,000,000
16. Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless Street Youth [Section 388(a)(4) of the Runaway and Homeless Youth Act]	Such sums	15,178,000	Such sums	15,179,000

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
17. Community-Based Abstinence Education Program [Section 1110 of the Social Security Act using definitions contained in Section 510 (b)(2) of the Act]	Such sums	99,198,000	Such sums	138,045,000
18. Mentoring Children of Prisoners [Section 439(h) of the Social Security Act]	Such sums	49,598,000	Such sums	49,993,000
19. CAPTA State Grants [Section 112(a)(1) of the Child Abuse Prevention and Treatment Act]	Such sums	27,280,000	Such sums	27,280,000
20. Child Abuse Discretionary Activities [Section 112 (a)(2) of the Child Abuse Prevention and Treatment Act]	30% of amount appropriation (no more than 40% of this amount is available for demonstrations)	31,640,000	30% of amount appropriation (no more than 40% of this amount is available for demonstrations)	31,645,000
21. Community-Based Child Abuse Grants for the Prevention of Child Abuse and Neglect [Section 210 of the Child Abuse Prevention and Treatment Act]	Such sums	42,858,000	Such sums	42,859,000

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
22. Child Welfare Services [Section 420 of the Social Security Act]	\$325,000,000	289,650,000	\$325,000,000	289,650,000
23. Child Welfare Training [Section 426 of the Social Security Act]	Such sums	7,409,000	Such sums	7,409,000
24. Adoption Opportunities [Section 205 of the Child Abuse Prevention and Treatment and Adoption Reform Act]	Such sums	27,116,000	Such sums	27,119,000
25. Abandoned Infants Assistance [Section 104(a)(1) of the Abandoned Infants Assistance Act]	Such sums	11,955,000	Such sums	11,955,000
26. Independent Living Training Vouchers [Section 477 (h)(2) of the Social Security Act]	\$60,000,000	46,623,000	\$60,000,000	59,999,000
27. Adoption Incentives [Section 473(h) of Social Security Act]	\$43,000,000	31,846,000	\$43,000,000	31,846,000
28. Children's Health Activities [Section 330 F & G of title III of the Public Health Service Act] ***	Such sums	12,802,000	Such sums	12,802,000

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
29. State Councils [Section 129(a) of the Developmental Disabilities Assistance and Bill of Rights Act]	Such sums	72,496,000	Such sums	72,496,000
30. Protection and Advocacy [Section 145 of the Developmental Disabilities Assistance and Bill of Rights Act]	Such sums	38,109,000	Such sums	38,109,000
31. Projects of National Significance [Section 163 of the Developmental Disabilities Assistance and Bill of Rights Act]	Such sums	11,542,000	Such sums	11,529,000
32. University Centers for Excellence [Section 156 of the Developmental Disabilities Assistance and Bill Rights Act]	Such sums	31,549,000	Such sums	31,548,000
33. Voters Access for Individuals with Disabilities [Section 264 and 292 of the Help America Vote Act of 2002] ***	\$35,000,000	14,879,000	\$35,000,000	14,879,000

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
34. Programs for Native Americans [Section 816(a) of the Native American Programs Act of 1974] *	Such sums	44,786,000	Such sums	44,780,000
35. Compassion Capital Fund [Section 1110 of the Social Security Act]	Such sums	54,549,000	Such sums	100,000,000
36. Individual Development Accounts [Section 416 of the Assets for Independence Act] **	\$25,000,000	24,704,000	\$25,000,000	24,699,000
37. Battered Women's Shelters [Section 310 of the Family Violence Prevention Act]	\$175,000,000	125,630,000	Such sums	125,991,000
38. Domestic Violence Hotline and Internet Grant [Section 316 (g) of the Family Violence Prevention and Services Act]	\$3,500,000 (Secretary shall make a portion available for internet grants to the extent the appropriated amount exceeds \$3M)	3,224,000	\$3,500,000 (Secretary shall make a portion available for internet grants to the extent the appropriated amount exceeds \$3M)	3,000,000

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
39. Projects to Address Needs of Children Who Witness Domestic Violence [Section 310 (a)(2) of the Family Violence Prevention and Services Act]	When appropriated amounts under Section 310 of the FVPSA exceeds \$130M the Secretary shall reserve and make available a portion of the excess amount	(224,000)	When appropriated amounts under Section 310 of the FVPSA exceeds \$130M the Secretary shall reserve and make available a portion of the excess amount	0
40. Federal Administration	Such sums	186,585,000	Such sums	186,617,000
Unfunded Authorizations:				
1. Early Learning Opportunities Program [Omnibus Consolidated Appropriations Act of 2001]	Such sums	35,712,000	Such sums	0
2. Social Services Research and Demonstration [Section 1110 of the Social Security Act]	Such sums	26,012,000	Such sums	0
3. National Youth Sports [Section 682(g) of the Community Services Block Grant Act] **	\$15,000,000	17,856,000	\$15,000,000	0

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
4. Community Food and Nutrition [Section 681(d) of the Community Services Block Grant Act] **	Such sums	7,180,000	Such sums	0
5. Rural Community Facilities Program [Section 680(a)(3) of the Community Services Block Grant Act, as amended] **	From amounts reserved under 674(b)(3) of the Community Services Block Grant Act	(7,241,000)	From amounts reserved under 674(b)(3) of the Community Services Block Grant Act	0
6. Transitional Housing Assistance Program [Section 319 of the Family Violence Prevention and Services Act]	\$25,000,000	0	\$25,000,000	0
7. Community Services Block Grant [Section 674(a) Community Services Block Grant Act] **	Such sums	636,793,000	Such sums	0
8. Community Services Discretionary Activities [Section 674(b)(3) of the Community Services Block Grant Act] **	Not more than 9% of section 674(a)	34,536,000	Not more than 9% of section 674(a)	0

	2005 Amount Authorized	2005 Appropriation	2006 Amount Authorized	2006 Budget Request
9. Job Opportunities for Low Income Individuals [Title V, Section 505 of the FSA of 1998, P.L. 100-485 and Section 112 of the PRWORA 1996, P.L. 104-193]	\$25,000,000	5,436,000	\$25,000,000	0
Mandatory Authorization:				
1. Abstinence Education Program [Section 510 of the Social Security Act]	Such sums	\$50,000,000	Such sums	\$50,000,000
Total discretionary request level		\$8,996,569,000		\$8,386,293,000 0
Total request level		\$9,046,569,000		\$8,436,293,000 0
Total request level against definite authorizations		\$559,848,000		\$406,073,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Children and Families Services Programs

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
1996	4,878,625,000	4,862,286,000	4,877,550,000	4,849,730,000
Recession				628,887
1997	5,234,257,263	4,544,643,000	4,560,652,000	4,765,969,428
Rescission				508,000
1998	5,251,298,000	4,856,435,000	4,779,434,000	5,363,061,000
1999	5,498,900,000	5,598,052,000	5,611,094,000	5,676,058,614
2000	5,944,100,000	5,946,820,683	6,113,784,000	6,032,087,000
Rescission				6,142,000
2001	6,587,953,000	6,135,216,000	6,684,635,000	7,956,354,000
Rescission				506,000
2002	8,181,492,000	8,275,442,000	8,592,496,000	8,429,183,000
Rescission				2,327,000
2003	8,519,632,000	8,505,723,000	8,649,392,000	8,645,275,00
Rescission				12,843,000
2004	8,577,382,000	8,679,670,000	8,855,501,000	8,763,192,000
Rescission				53,409,000
2005	9,106,025,000	8,985,633,000	9,094,146,000	8,996,569,000
Rescission				73,284,000
2006	8,386,293,000			

Children and Families Services Programs

Justification

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Budget Authority	\$8,833,241,000	\$8,996,569,000	\$8,386,293,000	-\$610,276,000
FTE	1,346	1,382	1,313	-69

General Statement

The FY 2006 request for Children and Families Services Programs is \$8,386,293,000, a net decrease of \$610,276,000 from the 2005 enacted level. This budget would support 1,313 FTE in FY 2006, a decrease of 69 from the 2005 enacted level.

Highlights of the FY 2006 request for Children and Families Services Programs include:

- Head Start (+\$45 million) – This increase will be used to support a \$45 million state pilot project.
- Community-Based Abstinence Education (+\$38.8 million) – This increase would fund 96 more grants than in FY 2005.
- Compassion Capital Fund (+\$45.4 million) – This increase will support 40 more intermediary organizations serving grassroots faith-based and community organizations nationwide under the Demonstration program and 100 more faith and community-based organizations under the Targeted Capacity Building program than funded in FY 2005.
- Independent Living Education and Training Vouchers (+\$13.3 million) – This increase would provide an additional 2,600 youth who “age out” of foster care with \$5,000 vouchers for college tuition or vocational training.
- Maternity Group Homes (+\$10 million) – This increase would support an increase of 46 Maternity Group Homes in the Transitional Living Program.

HEAD START

Authorizing Legislation –Legislation to reauthorize section 639 of the Head Start Act is pending Congressional action.

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Current Funding	\$5,383,108,000	\$5,454,314,000	\$5,499,336,000	+45,022,000
Advance Funding	1,391,740,000	1,388,800,000	1,388,800,000	0
Total, BA	\$6,774,848,000	\$6,843,114,000	\$6,888,136,000	+\$45,022,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request for the Head Start program of \$6,888,136,000 will ensure sufficient funds to provide comprehensive, high quality Head Start services for enrolled children.

Program Description

The Head Start program provides grants to local public and private non-profit and for-profit agencies to provide comprehensive child development services to economically disadvantaged children and families, with a special focus on helping children develop the early reading and math skills they need to be successful in school. Intended primarily for preschoolers from low-income families, Head Start promotes school readiness by enhancing the social and cognitive development of children through the provision of educational, health, nutritional, social and other services to enrolled children and families. Head Start programs engage parents in their children's learning and help them in making progress toward their educational, literacy and employment goals. The Head Start Program also emphasizes the significant involvement of parents in the administration of local Head Start programs. Each Head Start program must make at least ten percent of its enrollment opportunities available to children with disabilities.

Head Start grantees must, unless a waiver is granted, contribute 20 percent of the total cost of the program from non-federal funds. No more than 15 percent of total costs may be for program administration. Many Head Start programs provide part-day, center-based services or home-based services for eight or nine months a year. However, grantees have the option of providing full-day, full-year services and, in recent years, an increased number of grantees have been offering this option, often through collaborations with local child care providers to help meet the child care needs of parents who are either working or in job training.

In FY 1995, the Early Head Start program was established in recognition of the mounting evidence that the earliest years, from birth to three years of age, matter a great deal to children's growth and development. In FY 2004, Early Head Start grants were awarded to approximately

775 projects, which served nearly 62,000 children under the age of three. In FY 2005 and FY 2006, it is estimated that this enrollment level will stay approximately the same.

Funding for the Head Start program during the last five years has been as follows:

2001	\$4,799,123,000
Advance appropriation from FY 2000	[\$1,400,000,000]
2002	\$5,136,570,000
Advance appropriation from FY 2001	[\$1,400,000,000]
2003	\$5,267,533,000
Advance appropriation from FY 2002	[\$1,400,000,000]
2004	\$5,383,108,000
Advance appropriation from FY 2003	[\$1,391,740,000]
2005	\$5,454,314,000
Advance appropriation from FY 2004	[\$1,388,800,000]

Performance Analysis

Head Start has a total of eleven performance measures that assess children's achievements in literacy, numeracy, social skills, and health. Seven of these measures have targets, for which actual data will not be available until December, 2005. Of the remaining four measures, one – the National Reporting System - is still in the developmental stage and targets for another measure - underenrollment - will be established for the first time this year. Of the remaining two initiatives, the FY 2004 target was exceeded for one and not met for the other.

HHS has increased the percentage (and exceeded the target) of teachers with AA, BA, advanced degree, or a degree in a field related to early childhood education. The FY 2004 target, consistent with the requirements of the Head Start Act, was 50 percent. The actual percentage in 2004 was 65 percent.

Head Start did not meet its target of increasing the percentage of Head Start children who receive necessary treatment for emotional or behavioral problems. The Head Start Bureau will, in FY 2005, mandate its T/TA providers to increase the assistance provided to grantees in this area. We will, as well, reevaluate our current efforts in the area of mental health and determine what other changes or improvements can be implemented that will help Head Start programs better work with children who have emotional or behavioral problems.

HHS continues to explore the data available from the Head Start National Reporting System (NRS) and will seek to find the best ways to use NRS information in conjunction with other Head Start research, program data, and other efforts such as program review monitoring. It is anticipated that with continued evaluation and consistency of implementation and reporting, the NRS will be an increasingly valuable part of the broader system of program performance measurement, reporting, and program reviews in Head Start. The Secretary's Advisory Committee on Head Start Accountability and Educational Performance Measures will inform HHS' decisions about how best to use NRS data in ways that will help grantees improve the school readiness of Head Start children.

Rationale for the Budget Request

The FY 2006 request of \$6,888,136,000 is an increase of \$45,000,000 above the FY 2005 enacted level. This increase, coupled with legislation proposed in the FY 2004 budget as part of the Head Start Act reauthorization package, will be used to support a \$45 million state pilot project and increase Head Start enrollment by almost 10,000 children for a total of approximately 919,000 children.

The Administration is proposing appropriation language in the FY 2006 budget which would target the \$45 million increase to support state implementation of a new pilot project. This pilot would allow up to nine states to coordinate their state Pre-K, Head Start and child care programs in a comprehensive system which would address the needs of low-income pre-school age children and their families. This pilot project (which builds on the comprehensive reauthorization proposal submitted to Congress in FY 2004) would assess the extent to which states could better meet the needs of low-income children and their families, by increasing coordination, reducing bureaucratic overlap, and achieving more cost efficiencies through a greater role in administering all the programs in their state focused on pre-school age children.

In addition, the budget request assumes enactment of the Administration's reauthorization proposal for the Head Start program that was presented to the last Congress. As part of the Head Start reauthorization, we are proposing to strengthen the program by eliminating some of the extremely prescriptive provisions that have been added to the statute over the years in order to provide greater flexibility to target the dollars where they are needed most. Of particular note, we are proposing to change the current statutory set-aside for training and technical assistance (T/TA) to afford the Secretary more discretionary authority to allocate these resources each year in a manner that would maximize benefits to children and families. In FY 2006, we would use this new flexibility to serve an additional 10,000 children in those areas of the country with the greatest unmet need for Head Start services. This will include areas which have seen recent demographic changes that have resulted in significant increases in their number of low-income families; areas in remote parts of the country where there are few, if any, alternative services providers; and areas with large numbers of unserved but eligible children.

Head Start will maintain its strong commitment to providing comprehensive child development services to economically disadvantaged children and families, with a special focus on helping children develop the early reading and math skills they need to be successful in school. As part of this commitment, we will continue to fund the two comprehensive evaluation efforts designed to measure Head Start's overall effectiveness—the Family and Children Experiences Survey (FACES) and the National Impact Study.

Further, Head Start will continue the National Reporting System (NRS) in which all four and five year old children will be assessed at the beginning and end of the program year in order to determine some of the skills with which they enter Head Start, their levels of achievement when they leave Head Start and the progress they make during the Head Start year. Children will be assessed in such areas as language comprehension, vocabulary, phonemic and numeracy awareness, letter recognition and, for children whose native language is other than English, progress toward acquisition of the English language.

Resource and Program Data
Head Start

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	6,542,293,000	6,609,290,000	6,722,743,000
(Head Start)	(5,898,682,000)	(5,959,194,000)	(6,072,645,000)
(Early Head Start)	(643,611,000)	(650,096,000)	(650,098,000)
Research/Evaluation	20,000,000	20,000,000	20,000,000
Demonstration/Development	0	0	
Training/Technical Assistance	172,371,000	174,078,000	105,647,000
(Head Start)	(138,497,000)	(139,862,000)	(71,431,000)
(Early Head Start)	(33,874,000)	(34,216,000)	(34,216,000)
Program Support ¹	39,246,000	39,746,000	39,746,000
Total, Resources	\$6,773,910,000	\$6,843,114,000	\$6,888,136,000
<u>Program Data:</u>			
Number of Grants	1,738	1,759	1,765
New Starts:			
#	50	30	9
\$	\$5,827,000	\$4,500,000	\$45,000,000
Continuations:			
#	1,688	1,729	1,756
\$	\$6,647,826,000	\$6,718,414,000	\$6,722,936,000
Contracts:			
#	27	27	27
\$	\$78,557,000	\$78,000,000	\$78,000,000
Interagency Agreements:			
#	4	5	5
\$	\$41,700,000	\$42,200,000	\$42,200,000

¹ Includes funding for grants/panel review costs, federal oversight responsibilities and technology costs.

Additional Head Start Program Data

	2004 Actual	2005 Enacted	2006 Estimate
Number of Grantees	1,604	1,604	1,604
Children in Head Start Projects:	905,851	909,851	919,580
(Head Start)	843,946	847,946	857,675
(Early Head Start)	61,905	61,905	61,905
Average ACYF Cost Per Child	\$7,222	\$7,264	\$7,262
(Head Start)	\$6,989	\$7,028	\$7,028
(Early Head Start)	\$10,397	\$10,502	\$10,502
Number of Staff	211,950	213,000	215,000
Volunteers	1,353,000	1,360,000	1,375,000
Number of Classrooms	48,260	48,520	49,050

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Head Start (CFDA #93.600)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$105,500,307	\$106,555,000	\$106,555,000	\$0
Alaska	12,352,697	12,476,000	12,476,000	0
Arizona	102,022,603	103,043,000	103,043,000	0
Arkansas	63,808,419	64,447,000	64,447,000	0
California	823,694,368	831,931,000	831,931,000	0
Colorado	67,676,158	68,353,000	68,353,000	0
Connecticut	51,400,659	51,915,000	51,915,000	0
Delaware	12,770,909	12,899,000	12,899,000	0
District of Columbia	24,864,991	25,114,000	25,114,000	0
Florida	260,307,421	262,910,000	262,910,000	0
Georgia	166,837,016	168,505,000	168,505,000	0
Hawaii	22,664,976	22,892,000	22,892,000	0
Idaho	22,410,937	22,635,000	22,635,000	0
Illinois	267,111,453	269,783,000	269,783,000	0
Indiana	95,093,413	96,044,000	96,044,000	0
Iowa	51,049,850	51,560,000	51,560,000	0
Kansas	50,433,097	50,937,000	50,937,000	0
Kentucky	106,799,358	107,867,000	107,867,000	0
Louisiana	144,497,478	145,942,000	145,942,000	0
Maine	27,343,732	27,617,000	27,617,000	0
Maryland	77,277,126	78,050,000	78,050,000	0
Massachusetts	107,298,837	108,372,000	108,372,000	0
Michigan	232,214,668	234,537,000	234,537,000	0
Minnesota	71,119,492	71,831,000	71,831,000	0
Mississippi	160,120,548	161,722,000	161,722,000	0
Missouri	117,837,078	119,015,000	119,015,000	0
Montana	20,746,775	20,954,000	20,954,000	0
Nebraska	35,709,352	36,066,000	36,066,000	0
Nevada	23,698,194	23,953,000	23,953,000	0
New Hampshire	13,257,126	13,390,000	13,390,000	0
New Jersey	127,761,210	129,039,000	129,039,000	0
New Mexico	51,789,732	52,308,000	52,308,000	0
New York	430,086,285	434,387,000	434,387,000	0
North Carolina	139,359,686	140,753,000	140,753,000	0
North Dakota	17,009,140	17,179,000	17,179,000	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	244,101,839	246,543,000	246,543,000	0
Oklahoma	80,249,056	81,052,000	81,052,000	0
Oregon	58,892,507	59,481,000	59,481,000	0
Pennsylvania	226,002,253	228,262,000	228,262,000	0
Rhode Island	21,802,422	22,020,000	22,020,000	0
South Carolina	81,718,067	82,565,000	82,565,000	0
South Dakota	18,643,605	18,830,000	18,830,000	0
Tennessee	118,216,822	119,399,000	119,399,000	0
Texas	474,091,773	478,833,000	478,833,000	0
Utah	37,398,515	37,773,000	37,773,000	0
Vermont	13,428,786	13,563,000	13,563,000	0
Virginia	98,142,388	99,124,000	99,124,000	0
Washington	100,192,902	101,195,000	101,195,000	0
West Virginia	50,152,151	50,654,000	50,654,000	0
Wisconsin	89,783,879	90,682,000	90,682,000	0
Wyoming	12,252,314	12,375,000	12,375,000	0
Subtotal	5,828,994,369	5,887,332,000	5,887,332,000	0
Indian Tribes	186,704,429	188,571,000	188,571,000	0
Migrant Program	264,620,660	267,267,000	267,267,000	0
Outer Pacific	7,261,843	7,334,000	7,334,000	0
Puerto Rico	246,791,885	249,260,000	249,260,000	0
Virgin Islands	7,919,222	7,998,000	7,998,000	0
Subtotal	713,298,040	720,430,000	720,430,000	0
Total States/Territories	6,542,292,409	6,607,762,000	6,607,762,000	0
Secretary's Reserve	0	1,527,600	1,550,000	22,400
Unallocated Expansion	0	0	68,431,000	68,431,000
State Demonstration	0	0	45,000,000	45,000,000
	0	1,527,600	114,981,000	113,453,400
Technical Assistance	172,371,200	174,078,000	105,647,000	-68,431,000
RD&E	20,000,000	20,000,000	20,000,000	0
Program Support	39,246,000	39,746,000	39,746,000	0
Subtotal Adjustments	231,617,200	233,824,000	165,393,000	-68,431,000
TOTAL RESOURCES	\$6,773,909,609	\$6,843,113,600	\$6,888,136,000	\$45,022,400

EARLY LEARNING OPPORTUNITIES PROGRAM

Authorizing Legislation – Omnibus Consolidated Appropriations Act of 2001.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$33,580,000	\$35,712,000	\$0	-\$35,712,000

2006 Authorization....(Authorization for the Early Learning Opportunities program expires at the end of FY 2005. The Administration is not seeking reauthorization of this program).

Statement of Budget Request

No funds are being requested in FY 2006 for the Early Learning Opportunities Program.

Program Description

The Early Learning Opportunities Program provides grants to local community councils comprised of representatives from agencies involved in early learning programs, parent organizations and key community leaders. The grants support programs that help parents, caregivers, child care providers and educators increase their capacity to facilitate development of cognitive skills, early childhood language skills, social-emotional and motor skills, learning readiness, and development linkages among early learning programs within a community. Grants range from \$268,000 to \$1 million.

Funding for the Early Learning Opportunities Program has been as follows:

2001	\$19,995,000
2002	\$24,988,000
2003	\$33,779,000
2004	\$33,580,000
2005	\$35,712,000

Performance Analysis

See the Child Care Development Block Grant Performance Analysis section for performance measures related to the Early Learning Opportunities Program.

Rationale for the Budget Request

No funds are being requested in 2006. The 2006 budget proposes to fund similar activities in the Department of Education through the Early Reading First program and the Early Childhood Education Professional Development Grant program.

Resource and Program Data
Early Learning Opportunities Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary ¹	\$32,572,630	\$34,640,640	\$0
Research/Evaluation			
Demonstration/Development			
Training/Technical		0	0
Program Support ²	1,007,400	1,071,360	0
Total, Resources	\$33,580,000	\$35,712,000	\$0
<u>Program Data:</u>			
Number of Grants	40	42	0
New Starts:			
#	40	42	0
\$	\$31,890,253	\$34,029,000	0
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	1	1	0
\$	\$1,035,421	\$1,036,000	\$0
Interagency Agreements:			
#	1	1	0
\$	\$266,948	\$267,000	\$0

¹ Includes funds for discretionary grants and panel review of grants in the making of the awards.

² Includes funding for information technology support, technical assistance, salaries/benefits, and associated overhead.

RUNAWAY AND HOMELESS YOUTH PROGRAM

Authorizing Legislation – Section 388(a)(1) of the Runaway and Homeless Youth Act.

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Basic Centers	\$49,171,000	\$48,786,000	\$48,787,000	+\$1,000
Transitional Living (including Maternity Group Homes)	40,260,000	39,938,000	49,941,000	+10,003,000
Total, BA	\$89,431,000	\$88,724,000	\$98,728,000	+\$10,004,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request for the Runaway and Homeless Youth Program of \$98,728,000 will provide continued funding for Basic Centers and Transitional Living services to youth who find themselves homeless. The additional funding request for FY 2006 would provide support for the Maternity Group Homes component under the Transitional Living Program.

Program Description

The Runaway and Homeless Youth Program provides grants to public and private organizations to establish and operate runaway and homeless youth shelters and Transitional Living Programs including maternity group homes. Grants are used to develop or strengthen community-based shelters that are not a part of the law enforcement, juvenile justice, child welfare and mental health systems. According to the National Incidence Studies of Missing, Abducted, Runaway and Throwaway Children, (NISMART-2) published by the Office of Juvenile Justice and Delinquency Prevention in 2002, there were approximately 1.7 million youth who experienced a runaway/throwaway episode in 1999.

Under Part A, the **Basic Center Program** funds grants to community-based public and private agencies for the provision of outreach, crisis intervention, temporary shelter, counseling, family unification and aftercare services to runaway and homeless youth and their families. Basic Centers can provide 15 days of shelter for up to 20 youth with an exception in those jurisdictions that require a higher limit in order to be licensed as a Basic Center program. Funds available for the Basic Center Program are allotted among the states using a formula based on the population of youth not more than 18 years of age as a proportion of the national population.

Under Part B, the **Transitional Living Program** funds grants to public and private organizations to support projects that provide not more than 20 youth with stable, safe longer-term residential services for 540 days to homeless youth ages 16-21. An additional 180 days is allowed if the youth has not reached age 18. These services include counseling in basic life skills, interpersonal skill building, educational advancement, job attainment skills, and physical and mental health care. These services are designed to help youth that are homeless develop the skills necessary to make a successful transition to self-sufficient living. These community-based, adult-supervised group homes provide safe, stable, nurturing environments for youth who cannot live safely with their own families.

In addition, under the recently reauthorized Transitional Living Program a **Maternity Group Homes** component was established to provide women who are vulnerable to abuse, neglect and poverty targeted community-based, adult-supervised group homes for them and their children. Maternity Group Home programs provide a range of coordinated services such as child care, education, job training, health and nutrition, counseling and advice on parenting and life skills to young mothers to assist them in moving forward with their lives.

Funding also is provided for a national toll-free runaway and homeless youth crisis hotline that responds to approximately 120,000 calls a year. Of these calls, 44 percent come from youth, 36 percent come from parents and the remaining 20 percent are general information and client-related calls.

Funding for the Runaway and Homeless Youth program during the last five years has been as follows:

2001	\$69,123,000
2002	\$88,023,000
2003	\$89,978,000
2004	\$89,431,000
2005	\$88,724,000

Performance Analysis

The RHY program continues to track the percentage of youth living in safe and appropriate settings after exiting RHY services. For two years in a row (FY 2003 and FY 2004) it has maintained a level of 89.5 percent, exceeding the target of 86 percent for FY 2003; however missing the FY 2004 target of 91 percent.

Other outcome measures still are in development, including improvements in educational and employment status during tenure in the TLP program. The combined TLP caseload of FY 2002-2003 will provide the baseline, since these are the first two full years of complete national data after the reengineering of the data collection/information system.

In this baseline period, involving 5,234 youth who entered and exited from the TLP, 2,111 TLP youth (or 51.5 percent) improved their educational status (e.g., returned to school or graduated) or maintained a satisfactory status (remained in school).

Rationale for the Budget Request

The FY 2006 budget request for the Runaway and Homeless Youth Program is \$98,728,000; an increase of \$10,004,000 from the FY 2005 enacted level. This increase would support 46 additional grants for Maternity Group Homes under the Transitional Living Program.

The Runaway and Homeless Youth Program received a PART score of 42% in FY 2005 and the agency is working toward improving that score through implementation of the PART recommendations.

Resource and Program Data
Basic Centers Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	44,413,236	43,669,000	43,908,000
Research/Evaluation	197,619	181,000	190,000
Demonstration/Development	361,000	960,000	960,000
Training/Technical Assistance ¹	4,032,145	3,796,000	3,505,000
Program Support ²	161,000	180,000	224,000
Total, Resources	\$49,165,000	\$48,786,000	\$48,787,000
<u>Program Data:</u>			
Number of Grants	356	357	359
New Starts:			
#	144	119	226
\$	\$19,675,502	\$16,548,145	\$29,901,000
Continuations:			
#	212	238	133
\$	\$27,230,691	\$30,413,000	\$17,299,000
Contracts:			
#	3	3	3
\$	\$2,258,807	\$1,824,855	\$1,587,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes training and technical assistance, the National Clearinghouse, logistical support, management information system and hotline.

² Includes information technology support, printing, and grants/panel reviews.

Resource and Program Data
Transitional Living Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	36,744,000	35,875,000	44,876,000
Research/Evaluation	147,000	200,000	400,000
Demonstration/Development	719,000	1,020,000	1,020,000
Training/Technical Assistance ¹	2,126,000	2,335,000	3,173,000
Program Support ²	524,000	508,000	472,000
Total, Resources	\$40,260,000	\$39,938,000	\$49,941,000
<u>Program Data:</u>			
Number of Grants	196	199	245
New Starts:			
#	47	14	60
\$	\$9,257,000	\$2,584,000	\$11,085,000
Continuations:			
#	149	185	185
\$	\$29,533,000	\$35,876,000	\$35,876,000
Contracts:			
#	3	3	4
\$	\$1,470,000	\$1,478,000	\$2,980,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for logistical support, clearinghouse and demonstrations.

² Includes funding for information technology, printing, and grants/panel reviews.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Runaway and Homeless Youth - Basic Center (CFDA #93.623)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$653,305	\$653,305	\$670,619	\$17,314
Alaska	318,835	224,000	224,000	0
Arizona	807,990	827,004	831,549	4,545
Arkansas	412,070	412,070	406,135	-5,935
California	5,365,925	5,258,337	5,087,237	-171,100
Colorado	661,169	674,353	678,059	3,706
Connecticut	499,645	516,191	519,028	2,837
Delaware	118,601	118,601	121,807	3,206
District of Columbia	112,500	112,500	100,000	-12,500
Florida	2,363,285	2,522,006	2,535,867	13,861
Georgia	1,288,466	1,286,963	1,294,036	7,073
Hawaii	174,214	174,214	187,385	13,171
Idaho	224,955	224,955	224,955	0
Illinois	1,711,333	1,875,092	1,885,397	10,305
Indiana	938,653	918,114	923,160	5,046
Iowa	554,979	381,022	438,670	57,648
Kansas	433,737	403,589	405,807	2,218
Kentucky	550,000	550,000	613,562	63,562
Louisiana	841,489	666,299	669,961	3,662
Maine	334,371	334,371	200,000	-134,371
Maryland	300,000	816,349	820,836	4,487
Massachusetts	820,892	953,350	958,590	5,240
Michigan	1,882,172	1,493,724	1,501,933	8,209
Minnesota	1,031,519	749,734	753,854	4,120
Mississippi	447,299	426,969	429,315	2,346
Missouri	673,000	845,331	849,977	4,646
Montana	144,106	144,106	144,106	0
Nebraska	358,475	257,740	259,157	1,417
Nevada	295,710	295,710	333,935	38,225
New Hampshire	190,923	190,923	190,923	0
New Jersey	921,721	1,280,099	1,287,134	7,035
New Mexico	370,071	277,794	279,320	1,526
New York	3,240,494	2,672,417	2,859,356	186,939
North Carolina	1,176,521	1,245,846	1,252,693	6,847
North Dakota	158,910	158,910	102,485	-56,425

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,679,219	1,694,638	1,650,951	-43,687
Oklahoma	627,900	457,900	523,224	65,324
Oregon	798,521	473,431	530,385	56,954
Pennsylvania	1,482,385	1,832,401	1,809,471	-22,930
Rhode Island	221,382	221,382	160,350	-61,032
South Carolina	619,705	614,554	617,932	3,378
South Dakota	100,000	100,000	113,883	13,883
Tennessee	762,981	856,672	870,429	13,757
Texas	3,501,147	3,060,823	3,195,691	134,868
Utah	415,000	300,000	350,372	50,372
Vermont	199,992	199,992	100,000	-99,992
Virginia	950,175	1,084,559	1,100,574	16,015
Washington	1,067,939	908,601	912,348	3,747
West Virginia	251,054	251,254	251,254	0
Wisconsin	845,372	798,057	805,381	7,324
Wyoming	118,000	118,000	118,000	0
Subtotal	44,018,107	42,914,252	43,151,093	236,841
American Samoa	0	45,000	45,000	0
Guam	45,000	45,000	45,000	0
Northern Mariana Islands	0	45,000	45,000	0
Puerto Rico	344,149	574,748	577,907	3,159
Virgin Islands	0	45,000	45,000	0
Subtotal	389,149	754,748	757,907	3,159
Total States/Territories	44,407,256	43,669,000	43,909,000	240,000
Technical Assistance	4,032,000	3,796,000	3,505,000	-291,000
Other	725,932	1,320,568	1,373,000	52,432
Subtotal Adjustments	4,757,932	5,116,568	4,878,000	-238,568
TOTAL RESOURCES	\$49,165,188	\$48,785,568	\$48,787,000	\$1,432

EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF
RUNAWAY, HOMELESS AND STREET YOUTH

Authorizing Legislation – Section 388 (a)(4) the Runaway and Homeless Youth Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$15,302,000	\$15,178,000	\$15,179,000	+\$1,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request for the Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth program of \$15,179,000 will provide funding to assist private, non-profit agencies in meeting the critical needs of runaway, homeless and street youth population.

Program Description

The Runaway and Homeless Youth Act (RHY) provides grants to private, non-profit agencies for street-based outreach and education, including treatment, counseling, provision of information, and referral for runaway, homeless and street youth who have been subjected to or are at risk of being subjected to sexual abuse. The goal of these efforts is to help young people leave the streets.

This street outreach program for runaway, homeless and street youth is a lifeline to youth living on the streets that have either been sexually abused or are at risk for sexual abuse and exploitation. Due to the transient and sometimes anonymous characteristics of such youth, who often avoid contact with service providers until trust has been established, little is known about the unduplicated numbers served by these grantees. However, a new data collection tool will for the first time record the number of contacts, as well as the tangible assistance provided in the form of food, hygiene packages, and information or referrals given to street youth, many of whom eventually enter RHY shelters for more services. These services will be coordinated with existing services for runaway and homeless youth, namely emergency shelter and transitional living program efforts. The coordination of these resources and programs will increase the capacity of service providers to provide outreach to street youth.

Funding for the program during the last five years has been as follows:

2001	\$14,999,000
2002	\$14,999,000
2003	\$15,399,000

2004	\$15,302,000
2005	\$15,178,000

Performance Analysis

Performance measurement for this program is part of a broader Runaway and Homeless Youth Program performance area. Overall performance information is under Youth Programs in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. These funds would continue assisting 128 grantees in designing programs that build relationships between grantee staff and street youth for street-based outreach and education.

Resource and Program Data
Education & Prevention Grants to Reduce Sexual Abuse of
Runaway, Homeless and Street Youth

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	13,984,000	13,984,000	13,684,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	1,318,000	1,194,000	1,495,000
Total, Resources	\$15,302,000	\$15,178,000	\$15,179,000
<u>Program Data:</u>			
Number of Grants	128	128	128
New Starts:			
#	91	0	47
\$	\$8,858,762	\$0	\$5,025,000
Continuations:			
#	37	128	81
\$	\$5,125,238	\$13,984,000	\$8,659,000
Contracts:			
#	1	1	1
\$	\$1,318,000	\$1,194,000	\$1,495,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for information technology support, printing, and grants/panel review costs.

COMMUNITY-BASED ABSTINENCE EDUCATION (Discretionary funds)

Authorizing Legislation – Section 1110 of the Social Security Act (using the definitions contained in Section 510 (b)(2) of the Act).

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Budget Authority	\$70,049,000	\$99,198,000	\$138,045,000	+\$38,847,000
PHS Evaluation Funds	4,500,000	4,500,000	4,500,000	0
Total Program Level	\$74,549,000	\$103,698,000	\$142,545,000	+\$38,847,000

2006 Authorization....\$142,545,000

Statement of the Budget Request

The FY 2006 budget request for the Community-Based Abstinence Education program of \$142,545,000 will provide funding to assist public and private entities in providing abstinence education to the adolescent population and to promote abstinence awareness through a national abstinence education campaign.

Program Description

Community-Based Abstinence Education project grants provide support to public and private entities for the development and implementation of abstinence education programs that conform with the A-H standards contained in Section 510(b)(2) of the Social Security Act for adolescents, ages 12 through 18, in communities nationwide. While community-based projects also can be funded under the Section 510 Abstinence Education program, states and jurisdictions are not required to fund such interventions. Based on annual Section 510 program state plan summaries, states and jurisdictions use multiple strategies to promote abstinence education.

The community-based programs are unique in that their entire focus is to educate the adolescent population and create an environment within communities that supports adolescents in making decisions to postpone sexual activity. There is no match requirement for these grants. In their grant applications, projects must clearly focus on the definition of “adolescent pregnancy prevention approaches” in Section 510 of the Social Security Act and agree not to provide or promote a participating adolescent with any other education regarding sexual conduct in the same setting. Priority funding is given to entities that demonstrate a strong record for abstinence education among adolescents.

Since the program’s initiation, the number of Community-Based Abstinence Education project grants has increased from 53 grants (20 one-year planning grants and 33 three-year

implementation grants) in FY 2001 to approximately 177 implementation grants anticipated in FY 2005.

In addition to the community-based project grants, the funding will provide for comprehensive evaluations (including longitudinal evaluations) of abstinence education programs. Further, in FY 2005, Congress directed that up to \$10 million be used to support a national abstinence education campaign. This new initiative will increase awareness of the importance of parental involvement and will develop strategies on strengthening families through effective communication. These funds also will be used to develop research-based standards for model abstinence education curricula. ACF will partner with the Office of Public Health and Science (OPHS), Office of Population Affairs (OPA), in developing and implementing this education campaign.

Funding for the Community-Based Abstinence Education program in previous years has been as follows:

2001	\$20,000,000
2002	\$39,985,000
2003	\$54,643,000
2004	\$74,549,000
2005	\$103,698,000

Performance Analysis

The Family and Youth Services Bureau (FYSB) recently assumed responsibility for the Community-Based Abstinence Education program. FYSB plans to develop a long range performance measure by working with grantees and experts towards a consensus on how to define and collect objective outcome data that parallel the adolescent pregnancy rate outcome in the state measures. Future performance reports will document progress milestones until the measures, and the means to collect the data, are in place. FYSB will also explore whether an independent evaluation would be cost effective.

Rationale for the Budget Request

The FY 2006 budget request of \$142,545,000 is an increase of \$38,847,000 from the FY 2005 enacted level and would fund approximately 273 development and implementation grants (96 more grants than in FY 2005), as well as up to \$10 million for continued funding of the national abstinence education campaign. The Community-Based Abstinence Education program funds are available for the exclusive purpose of providing abstinence education to adolescents, as defined in Section 510 of the Social Security Act, and for associated program administration costs and program-related evaluations.

The Community-Based Abstinence Education program is a key component of the comprehensive positive youth development approach currently underway in ACF. This approach will provide adolescents with healthy messages about their bodies, their behaviors and their interactions; safe and structured places for adolescent to study, recreate, and socialize; positive adult role models

such as parents, mentors, coaches, or community leaders; skill development in literacy, competence, work readiness and social skills; and opportunities to serve others and build self-esteem. Research indicates that adolescent who are involved with these types of structured activities that build competencies and self-confidence are more likely to abstain from sexual activity. At the same time, the Department's health agencies will continue their scientific inquiry into what works best to further support the success of our comprehensive positive youth development efforts.

In addition, funding the national abstinence education campaign will help parents communicate with their children about the health risks associated with premarital sexual activity. Research has shown the important impact that parents and family have on their children in curbing the onset of premarital sexual activity, pregnancy, sexually transmitted infections, and other health risk behaviors.

Resource and Program Data
Community-Based Abstinence Education

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	64,623,000	84,236,000	118,502,000
Research/Evaluation	4,500,000	4,500,000	4,500,000
Demonstration/Development ¹		10,000,000	10,000,000
Training/Technical Assistance ²		3,038,000	6,729,000
Program Support ³	4,426,000	1,924,000	2,814,000
Total, Resources	\$74,549,000	\$103,698,000	\$142,545,000
<u>Program Data:</u>			
Number of Grants	119	177	273
New Starts:			
#	38	70	177
\$	\$16,819,415	\$36,823,000	\$22,219,000
Continuations:			
#	81	107	96
\$	\$47,803,591	\$47,413,000	\$96,283,000
Contracts:			
#	1	4	4
\$	\$4,620,994	\$4,293,000	\$8,565,000
Interagency Agreements:			
#	2	2	2
\$	\$4,500,000	\$14,500,000	\$14,500,000

¹ Funding is provided to develop a national abstinence education campaign.

² Funding is provided for technical assistance capacity-building grants and clearinghouse support.

³ Includes funding for information technology support, grant/paneling review, contract fees, travel, printing and staff and associated overhead costs.

ABSTINENCE EDUCATION PROGRAM (Pre-appropriated mandatory funds)

Authorizing Legislation – Section 510 of the Social Security Act is pending Congressional action.

2004 Enacted	2005 ¹ Enacted	2006 Estimate	Increase or Decrease
\$50,000,000	\$50,000,000	\$50,000,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request for the Abstinence Education Program of \$50,000,000 will provide funding to assist states in providing abstinence education to those groups most likely to bear children out of wedlock.

Program Description

The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 authorized and appropriated \$50,000,000 each year "...to enable the states to provide abstinence education, and at the option of the states, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock." Section 510 Abstinence Education funds must be used exclusively for the teaching of abstinence education.

This formula grant program to states is allocated using a pro-rata method based on the ratio of the number of low income children in each state to the total of all low income children in all states. States are required to match every four dollars they receive of federal abstinence education funds with three non-federal dollars. For example, if a total of \$84 dollars were spent, then \$48 dollars would be Federal dollars and \$36 dollars would be state dollars. The non-Federal match must be used solely for the activities enumerated under Section 510 and may be state dollars, local government dollars, and/or private dollars such as foundation or in-kind support.

Funding for the Abstinence Education program during the last five years has been as follows:

2001	\$50,000,000
2002	\$50,000,000
2003	\$50,000,000
2004	\$50,000,000

¹ The FY 2005 funding level assumes enactment of the President's welfare reform reauthorization proposal. P.L. 108-308 authorizes the Abstinence Education Program through March 31, 2005.

2005 \$50,000,000

Performance Analysis

ACF evaluates the success of abstinence programs through two outcome measures that assess whether states achieve set targets for reducing the proportion of adolescents engaged in sexual intercourse and achieve set targets for reducing adolescent birthrates. As questions related to the sexual behavior of the adolescent population are sensitive, and the program is still relatively new, states are still working out data collection issues, which ultimately affect reliable performance target setting (targets are currently in place, but are subject to review). Due to these data issues, information over the past few years has been somewhat variable, however, as of the latest reported information for FY 2001, 34.6 percent of states had achieved the state-set targets to reduce the proportion of adolescent who have engaged in sexual intercourse (the target was 50 percent), and 79.2 percent of states achieved state-set targets to reduce births to adolescents ages 15 through 17 (exceeding the targeted 50 percent).

Rationale for the Budget Request

The budget request for FY 2006 reflects the continued operation of these existing state programs. Building on the success of the 1996 welfare reform program, the FY 2006 budget follows the framework proposed in the reauthorization of PRWORA.

Resource and Program Data
Abstinence Education Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula ¹	\$40,895,000	\$50,000,000	\$50,000,000
Discretionary	0		
Research/Evaluation	0		
Demonstration/Development	0		
Training/Technical Assistance	0		
Program Support	0		
Total, Resources	\$40,895,000	\$50,000,000	\$50,000,000
<u>Program Data:</u>			
Number of Grants	52	59	59
New Starts:			
#			
\$	\$0	\$0	\$0
Continuations:			
#	52	59	59
\$	\$40,895,000	\$50,000,000	\$50,000,000
Contracts:			
#			
\$	\$0	\$0	\$0
Interagency Agreements:			
#			
\$	\$0	\$0	\$0

¹ In FY 2004, \$9.1 million is undistributed because States/Territories did not request funding.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 MANDATORY STATE/FORMULA GRANTS

PROGRAM: Abstinence Education (CFDA #93.235)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$975,583	\$955,158	\$955,158	\$0
Alaska	90,393	88,501	88,501	0
Arizona	1,056,905	1,034,776	1,034,776	0
Arkansas	600,083	587,519	587,519	0
California	0	7,055,240	7,055,240	0
Colorado	498,756	488,314	488,314	0
Connecticut	352,321	344,944	344,944	0
Delaware	95,987	93,978	93,978	0
District of Columbia	145,045	142,008	142,008	0
Florida	2,575,503	2,521,580	2,521,580	0
Georgia	1,498,581	1,467,205	1,467,205	0
Hawaii	166,268	162,787	162,787	0
Idaho	212,718	208,264	208,264	0
Illinois	1,873,815	1,834,583	1,834,583	0
Indiana	770,198	754,073	754,073	0
Iowa	325,003	318,198	318,198	0
Kansas	344,319	337,110	337,110	0
Kentucky	834,775	817,297	817,297	0
Louisiana	1,311,011	1,283,563	1,283,563	0
Maine	164,747	161,298	161,298	0
Maryland	581,857	569,675	569,675	0
Massachusetts	727,472	712,241	712,241	0
Michigan	1,447,436	1,417,131	1,417,131	0
Minnesota	499,072	488,623	488,623	0
Mississippi	846,680	828,953	828,953	0
Missouri	904,531	885,593	885,593	0
Montana	175,988	172,303	172,303	0
Nebraska	223,418	218,740	218,740	0
Nevada	286,165	280,174	280,174	0
New Hampshire	96,930	94,901	94,901	0
New Jersey	934,051	914,495	914,495	0
New Mexico	513,536	502,785	502,785	0
New York	3,755,454	3,676,827	3,676,827	0
North Carolina	1,275,672	1,248,963	1,248,963	0
North Dakota	90,894	88,991	88,991	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,676,074	1,640,983	1,640,983	0
Oklahoma	705,105	690,342	690,342	0
Oregon	498,124	487,695	487,695	0
Pennsylvania	0	1,693,422	1,693,422	0
Rhode Island	168,811	165,277	165,277	0
South Carolina	768,041	751,961	751,961	0
South Dakota	139,295	136,379	136,379	0
Tennessee	1,014,610	993,367	993,367	0
Texas	4,880,089	4,777,916	4,777,916	0
Utah	294,318	288,156	288,156	0
Vermont	68,059	66,633	66,633	0
Virginia	859,320	841,329	841,329	0
Washington	832,084	814,663	814,663	0
West Virginia	394,103	385,852	385,852	0
Wisconsin	615,852	602,958	602,958	0
Wyoming	74,702	73,138	73,138	0
Subtotal	39,239,754	47,166,862	47,166,862	0
American Samoa	0	67,637	67,637	0
Guam	69,495	62,835	62,835	0
Northern Marianas Islands	0	26,408	26,408	0
Puerto Rico	1,449,018	2,537,208	2,537,208	0
Marshall Islands	0	13,501	13,501	0
Micronesia	0	47,492	47,492	0
Palau	0	21,000	21,000	0
Virgin Islands	136,509	57,057	57,057	0
Subtotal	1,655,022	2,833,138	2,833,138	0
Total States/Territories	40,894,776	50,000,000	50,000,000	0
Undistributed	9,105,224	0	0	0
Subtotal Adjustments	9,105,224	0	0	0
TOTAL RESOURCES	\$50,000,000	\$50,000,000	\$50,000,000	\$0

MENTORING CHILDREN OF PRISONERS

Authorizing Legislation – Section 439(h) of the Social Security Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$49,701,000	\$49,598,000	\$49,993,000	+\$395,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request for the Mentoring Children of Prisoners program of \$49,993,000 will provide funding to continue mentoring relationships with over 72,000 children of arrested and/or incarcerated parents each year.

Program Description

Legislation was enacted in 2001 to amend title IV-B subpart 2 of the Social Security Act to create a discretionary program to provide competitive grants to state and local governments, Indian tribes and consortia, and faith and community-based organizations to mentor children of prisoners. Applicants can apply for grants up to \$5 million. Grantees are required to become gradually more self-sufficient through public-private partnerships. A grant for a program shall be available to pay a share of program costs equal up to 75 percent in the first two fiscal years of funding and up to 50 percent in the subsequent fiscal years.

This program supports the creation and maintenance of one-to-one mentoring relationships between children, ages 4 through 15, of parents who are incarcerated with caring, supportive adult mentors. The arrest and incarceration of a parent often results in traumatic separations for children, followed frequently by erratic shifts from one caregiver to another. Research indicates that these children are likely to display a variety of behavioral, emotional, health, and educational problems and are seven times more likely to become involved in the juvenile and adult criminal justice systems. Children of incarcerated mothers are particularly vulnerable, as these children typically come from households where the mother was the sole provider, making placement in foster care more likely when the mother is in prison. Mentoring has been proven to be a powerful tool in reducing first time drug and alcohol use and improving academic performance and self-confidence. A mentoring relationship provides stability to children of incarcerated parents during a time of instability and has a profound life-changing effect on the child.

Funding for the Mentoring Children of Prisoners program during the last three years has been as follows:

2003 \$ 9,935,000

2004	\$49,701,000
2005	\$49,598,000

Performance Analysis

A congressionally mandated national evaluation of the mentoring program is underway with a report to Congress due by April 15, 2005.

In addition, the following developmental performance measures have been identified to be used in assessing the Mentoring Children of Prisoners' program: (1) Increase the percentage of children receiving mentoring services, in which the mentoring relationships last at least one year; and (2) Achieve relationships that result in measurable child outcome improvements, including school attendance, academic achievement, behavior, conduct, family relationships, in at least 80 percent of children.

Rationale for the Budget Request

The FY 2006 budget request is the same as the FY 2005 enacted level. These funds will be used to establish approximately 33,000 new mentoring relationships.

Resource and Program Data
Mentoring Children of Prisoners

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	45,567,000	45,518,000	45,774,000
Research/Evaluation	237,000	237,000	237,000
Demonstration/Development	100,000	100,000	100,000
Training/Technical Assistance	915,000	917,000	927,000
Program Support ¹	2,873,000	2,826,000	2,955,000
Total, Resources	\$49,692,000	\$49,598,000	\$49,993,000
<u>Program Data:</u>			
Number of Grants	221	233	233
New Starts:			
#	169	12	52
\$	\$36,712,083	\$2,339,000	\$9,227,000
Continuations:			
#	52	221	181
\$	\$8,855,855	\$43,179,000	\$36,547,000
Contracts:			
#	5	5	5
\$	\$3,954,062	\$3,851,000	\$3,981,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for information technology support, printing, grants/panel review costs, and staff and associated overhead costs.

CAPTA STATE GRANTS

Authorizing Legislation – Section 112(a)(1) of the Child Abuse Prevention and Treatment Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$21,883,000	\$27,280,000	\$27,280,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$27,280,000 to enable states to reach more children and families with prevention services rather than screening out all but the most at risk.

Program Description

The CAPTA State Grant program provides grants to states to improve child protective service systems. Grants are based on a flat rate of \$50,000 per state with additional funds distributed in proportion to the state's population of children under the age of 18. This program assists states in improving: intake, assessment, screening and investigation of child abuse and neglect reports; risk and safety assessment protocols; training for child protective services workers and mandated reporters; programs and procedures for the identification, prevention and treatment of child abuse and neglect; and services to disabled infants with life-threatening conditions and their families. The Keeping Children and Families Safe Act of 2003 reauthorized this program and requires states to perform a range of prevention activities including addressing the needs of infants born with prenatal drug exposure, referring children not at risk of imminent harm to community services, implementing criminal record checks for prospective foster and adoptive parents and other adults in their homes, training child protective services workers, protecting the legal rights of families and alleged perpetrators, and expanding the role of Citizen Review Panels.

Funding for the CAPTA State Grant program during the last five years has been as follows:

2001	\$21,026,000
2002	\$22,013,000
2003	\$21,870,000
2004.....	\$21,883,000
2005.....	\$27,280,000

Performance Analysis

ACF's Child Abuse Prevention and Treatment Act (CAPTA) State Grants are provided to improve states' child protective services (CPS). One long-term measure is to have no fewer than 90% of all jurisdictions penalty-free on Safety Outcome 1 of the Child and Family Services Review (CFSR) by FY 2008. The new annual outcome measure for CAPTA is to achieve a 5% reduction in the median response time in hours of CPS investigations of child maltreatment reports. ACF will obtain actual CPS response time performance for 2004 in Fall of 2005 which then will be measured against the FY 2003 baseline of the median response time of 67 hours.

Another measure, which evaluates whether the program has been successful in decreasing the percent of children with substantiated reports of repeat maltreatment, has fallen short of targets to date. From FY 1998 to FY 2002, repeat maltreatment rates have been steady in the 8 to 9 percent range; the rate for FY 2003 fell to 8 percent from 9 percent for FY 2002. The target is to get repeat maltreatment rates down to 7 percent. However, progress is being made with many states that are undergoing Child and Family Services Reviews. Many more states are meeting the CFSR 6.1 percent repeat maltreatment standard. In FY 2000, 29 percent of states met the standard, 33 percent in FY 2001, and 38 percent in FY 2002. All states not meeting this standard have or will soon put into place a Program Improvement Plan (PIP) which must include specific activities aimed at reducing maltreatment recurrence. Early indications from the FY 2003 state data submissions suggest that the few states now completing their PIPs are reporting maltreatment recurrent rates at or below the 6.1 percent standard.

A PART review was conducted as part of the FY 2006 budget process, and the PART Summary and PART Recommendation charts follow the Rationale for the Budget Request.

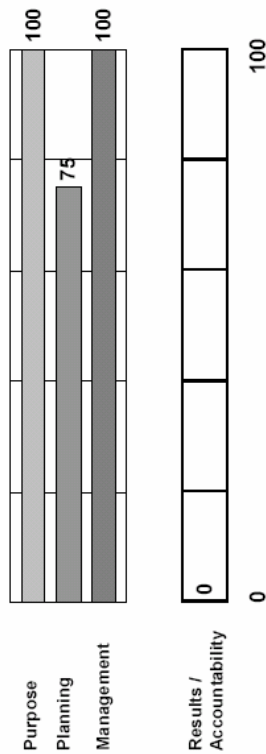
Rationale for the Budget Request

The 2006 request for the CAPTA State Grant program is \$27,280,000, the same as the FY 2005 enacted level. Child abuse and neglect continues to be a significant problem in the United States. One of the most important tools we have to use in eliminating the tragedy of child abuse and neglect is prevention.

Program: *Child Welfare- CAPTA State Grant*

Agency: *Department of Health and Human Services*

Bureau: *Administration for Children and Families*



Key Performance Measures from Latest PART	Year	Target	Actual
Long-term Measure: Rate of repeat maltreatment	2001		9%
	2008	7%	
Long-term Measure: Percent of jurisdictions that are penalty-free on Safety Outcome 1 in the Child and Family Services Review	2008	90%	
Annual Measure: Response time (in hours) of Child Protective Services to reports of child maltreatment	2002	Baseline	TBD
	2003	-5%	
	2004	-10%	
	2005	-15%	

Rating: *Results Not Demonstrated*

Program Type: *Block/Formula Grant*

Program Summary:

Child Abuse Prevention and Treatment Act (CAPTA) State Grants are provided to improve States' child protective services (CPS). CPS agencies handle the intake, screening and investigation of reports of child abuse and neglect.

The assessment found that CAPTA addresses a specific need by supporting CPS activities, but it has not focused enough on holding CPS to high performance standards. Additional findings include:

- Despite CAPTA's ability to enhance CPS' investigative capacity, data show that the program has not met its goal of reducing repeat maltreatment of children.
- The program has not focused sufficient attention on increasing the efficiency of CPS services.
- The program was found to be effectively managed.

In response to these findings, the Administration is:

1. Maintaining funding at the 2005 enacted level until the agency devotes more attention to improving results, especially in reducing cases of repeat maltreatment.
2. Implementing a newly developed performance measure for CPS to respond more quickly to reported cases of child abuse and neglect.
3. Planning to report results for the revised measures in FY 2005.

Program Funding Level (in millions of dollars)

2004 Actual	2005 Estimate	2006 Estimate
22	27	27

	Child Welfare: CAPTA State Grants			
1.	Recommendation	Completion Date	On Track? (Y/N)	Comments on Status
	Produce designs for preliminary program evaluations.		Y	N/A
	Next Milestone	Next Milestone Date	Lead Organization	Lead Official
	Preliminary draft of pre-program evaluation design	05/29/2005	Children's Bureau	Susan Orr

Resource and Program Data
CAPTA State Grants

	2004 Enacted	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$21,883,000	\$27,280,000	\$27,280,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$21,883,000	\$27,280,000	\$27,280,000
<u>Program Data:</u>			
Number of Grants	57	57	57
New Starts:			
#	57	57	57
\$	\$21,883,000	\$27,280,000	\$27,280,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: CAPTA State Grants (CFDA #93.669)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$354,874	\$440,170	\$440,170	\$0
Alaska	102,991	116,658	116,658	0
Arizona	456,696	585,022	585,022	0
Arkansas	236,576	290,169	290,169	0
California	2,652,994	3,367,220	3,367,220	0
Colorado	366,994	455,939	455,939	0
Connecticut	290,366	344,175	344,175	0
Delaware	102,239	120,022	120,022	0
District of Columbia	80,878	88,174	88,174	0
Florida	1,119,098	1,431,871	1,431,871	0
Georgia	674,692	858,798	858,798	0
Hawaii	131,378	154,638	154,638	0
Idaho	152,011	181,008	181,008	0
Illinois	946,229	1,187,650	1,187,650	0
Indiana	0	0	0	0
Iowa	242,227	294,189	294,189	0
Kansas	241,807	294,771	294,771	0
Kentucky	306,540	400,099	400,099	0
Louisiana	376,510	464,673	464,673	0
Maine	126,847	150,977	150,977	0
Maryland	430,003	535,292	535,292	0
Massachusetts	452,974	573,685	573,685	0
Michigan	757,798	944,075	944,075	0
Minnesota	394,810	489,751	489,751	0
Mississippi	259,494	318,079	318,079	0
Missouri	434,832	545,592	545,592	0
Montana	109,570	125,984	125,984	0
Nebraska	171,000	205,241	205,241	0
Nevada	207,680	254,738	254,738	0
New Hampshire	134,919	157,839	157,839	0
New Jersey	635,840	800,644	800,644	0
New Mexico	187,829	226,790	226,790	0
New York	1,320,395	1,646,197	1,646,197	0
North Carolina	619,716	785,088	785,088	0
North Dakota	90,429	101,705	101,705	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	843,073	1,041,397	1,041,397	0
Oklahoma	290,560	359,271	359,271	0
Oregon	285,479	349,034	349,034	0
Pennsylvania	0	0	0	0
Rhode Island	115,884	135,941	135,941	0
South Carolina	319,641	410,425	410,425	0
South Dakota	103,871	118,819	118,819	0
Tennessee	436,815	541,063	541,063	0
Texas	1,730,453	2,247,458	2,247,458	0
Utah	246,349	311,620	311,620	0
Vermont	88,460	98,401	98,401	0
Virginia	540,012	683,432	683,432	0
Washington	466,748	577,018	577,018	0
West Virginia	157,170	187,655	187,655	0
Wisconsin	418,475	519,376	519,376	0
Wyoming	83,691	92,636	92,636	0
Subtotal	21,295,917	26,610,469	26,610,469	0
American Samoa	57,033	58,993	58,993	0
Guam	65,106	69,317	69,317	0
Northern Mariana Islands	54,883	56,245	56,245	0
Puerto Rico	350,742	422,901	422,901	0
Virgin Islands	59,442	62,075	62,075	0
Subtotal	587,206	669,531	669,531	0
Total States/Territories	21,883,123	27,280,000	27,280,000	0
TOTAL RESOURCES	\$21,883,123	\$27,280,000	\$27,280,000	\$0

CHILD ABUSE DISCRETIONARY ACTIVITIES

Authorizing Legislation – Section 112(a)(2) of the Child Abuse Prevention and Treatment Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$34,386,000	\$31,640,000	\$31,645,000	+\$5,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$31,645,000 to support activities designed to assist and enhance national, state and local efforts to prevent, identify and treat child abuse and neglect.

Program Description

The Child Abuse Discretionary Activities account funds a number of research and demonstration grants and contracts. The program funds research on the causes, prevention, identification and treatment of child abuse and neglect; investigative, administrative and judicial procedures; and the national incidence study of child abuse and neglect. The program also funds projects to: compile, publish and disseminate training materials; provide technical assistance; and demonstrate and evaluate improved methods and procedures to prevent and treat child abuse and neglect. In addition, the program funds a resource center on issues relating to child maltreatment and the National Clearinghouse on Child Abuse and Neglect Information. The Clearinghouse gathers and disseminates information on promising programs of prevention and treatment and on the incidence of child abuse and neglect.

Research and demonstration grants are awarded competitively to public and private agencies, including state and local government agencies, universities, voluntary and faith-based organizations. Contracts may be awarded to public, nonprofit or proprietary organizations. Projects supported by grants and contracts awarded under this program may run up to five years, depending upon the availability of funds.

Funding for the Child Abuse Discretionary Activities during the last five years has been as follows:

2001	\$33,204,000
2002	\$26,081,000
2003	\$33,845,000
2004.....	\$34,386,000
2005.....	\$31,640,000

Performance Analysis

Currently, no performance specific measures exist for the Child Abuse Discretionary activities. Performance measurement for the Child Abuse Discretionary activities has historically been part of a broader Child Welfare performance program area. Performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request for Child Abuse Discretionary Activities is the same as the FY 2005 enacted level. However, approximately \$5 million in the FY 2005 budget was designated for Congressional earmarks. The FY 2006 request would use these funds to support evaluations of the CAPTA State Grant and Community-Based Child Abuse Prevention programs in response to deficiencies identified through the Program Assessment Rating Tool process, as well as other research activities. In addition, we would continue to support approximately 50 grants designed to assist and enhance national, state and local efforts to prevent, identify and treat child abuse and neglect.

Resource and Program Data
Child Abuse Discretionary Activities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary ¹	8,273,000	5,466,000	
Research/Evaluation	10,438,000	10,682,000	15,419,000
Demonstration/Development	10,805,000	6,930,000	6,937,000
Training/Technical Assistance	4,420,000	8,220,000	8,939,000
Program Support ²	450,000	342,000	350,000
Total, Resources	\$34,386,000	\$31,640,000	\$31,645,000
<u>Program Data:</u>			
Number of Grants	69	50	50
New Starts:			
#	24	27	7
\$	\$9,673,000	\$9,374,000	\$1,600,000
Continuations:			
#	45	33	43
\$	\$15,253,000	\$12,338,000	\$13,541,000
Contracts:			
#	9	8	10
\$	\$6,978,000	\$7,278,000	\$13,854,000
Interagency Agreements:			
#	5	6	6
\$	\$2,482,000	\$2,650,000	\$2,650,000

¹ This amount represents Congressional earmarks.

² This includes funding for information technology support, grant/paneling review, contract fees and printing.

COMMUNITY-BASED CHILD ABUSE PREVENTION

Authorizing Legislation – Section 210 of the Child Abuse Prevention and Treatment Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$33,205,000	\$42,858,000	\$42,859,000	+\$1,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$42,859,000 to maintain funding for community based child abuse and neglect prevention activities.

Program Description

The Community-Based Child Abuse Prevention (CBCAP) grants are provided to a lead state agency to disburse funds for community child abuse and neglect prevention activities. Funds are used to develop, operate, expand and enhance community-based efforts to strengthen and support families to prevent child abuse and neglect; foster the development of a continuum of preventive services through state and community-based public and private partnerships; and finance public information activities focusing on the healthy and positive development of families and child abuse and neglect prevention activities. The Keeping Children and Families Safe Act of 2003 reauthorized and amended the program, and requires that CBCAP programs add voluntary home visiting programs as a core local service. The Act also requires programs to focus on prevention services to families that include children or parents with disabilities.

Seventy percent of a state's grant amount is calculated on the basis of the number of children under 18 in the state, with a minimum award of \$175,000 per state. The remaining part of the grant award is allotted among the states based on the amount leveraged by the state from private, state, or other non-federal sources and directed through the state lead agency in the preceding fiscal year for community-based child abuse prevention services.

Funding for the Community-based Child Abuse Prevention program during the last five years has been as follows:

2001	\$32,834,000
2002	\$33,412,000
2003	\$33,199,000
2004	\$33,205,000
2005	\$42,858,000

Performance Analysis

ACF's Community-Based Child Abuse Prevention (CBCAP) grants program has one new outcome measure; an additional outcome measure is currently under development. This measure is part of the overall performance information for Child Welfare programs found in the Detail of Performance Analysis exhibit. The current CBCAP outcome measure is to decrease the rate of first-time maltreatment victims per 1,000 children by a specific targeted amount each year from the baseline established in 2003. Historical trend data on this measure is promising. From FY 2000 to FY 2002, the rate of first-time victimization per 1,000 children has decreased two-tenths of a point from 7.13 to 6.94. This represents almost 1,852 fewer first-time victimized children in the 30 states reporting data.

A PART review was conducted as part of the FY 2006 budget process, and the PART Summary and PART Recommendation charts follow the Rationale for the Budget Request.

Rationale for the Budget Request

The 2006 request for the Community-Based Child Abuse Prevention program is \$42,859,000, the same as the FY 2005 enacted level. This funding level will maintain child abuse and neglect prevention activities, including the strong prevention aspects of the responsible fatherhood and healthy marriage initiatives and expanded use of community and faith-based organizations. This funding also will assist states as they adjust prevention services to meet the requirements of the Keeping Children and Families Safe Act of 2003.

Program: *Child Welfare - Community-Based Child Abuse Prevention (CBCAP)*

Agency: *Department of Health and Human Services*
Bureau: *Administration for Children and Families*



Key Performance Measures from Latest PART				Year	Target	Actual
Annual Measure: Reduce the number of first-time maltreatment victims per 1,000 children				2003	Baseline	TBD
				2004	-0.20	TBD
				2005	-0.40	TBD
Annual Measure: Measure Under Development						

Rating: *Results Not Demonstrated*
Program Type: *Block/Formula Grant*

Program Summary:

Community-Based Child Abuse Prevention (CBCAP) makes grants available for initiatives aimed at preventing child abuse and neglect. These grants also provide services and resources to strengthen parenting skills and increase family stability in order to make child abuse less likely.

The assessment found that CBCAP targets communities and families with a high risk of child abuse and neglect; however, the program does not track how its activities affect outcomes in child welfare. Additional findings include:

- The program has no performance or efficiency measures in place to determine results.
- There are no independent studies to evaluate program effectiveness, nor are any such studies in development.
- The program was found to be managed effectively.

In response to these findings, the Administration is:

1. Maintaining funding at the 2005 enacted level until the agency can show how it will use additional funds to improve performance.
2. Implementing a newly developed performance measure for an annual decrease in the rate of first-time child maltreatment.
3. Developing an additional measure to track the rate of first-time perpetrators of child abuse.

Program Funding Level (in millions of dollars)

<u>2004 Actual</u>	<u>2005 Estimate</u>	<u>2006 Estimate</u>
33	43	43

	Child Welfare: CBCAP			
1.	Recommendation	Completion Date	On Track? (Y/N)	Comments on Status
	Produce designs for preliminary program evaluations.		Y	N/A
	Next Milestone	Next Milestone Date	Lead Organization	Lead Official
	Preliminary draft of pre-program evaluation design	05/29/2005	Children's Bureau	Susan Orr

Resource and Program Data
Community-Based Child Abuse Prevention

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$31,423,000	\$41,004,000	\$41,005,000
Discretionary	332,000	\$429,000	\$429,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	1,132,000	\$1,275,000	\$1,275,000
Program Support ¹	318,000	\$150,000	\$150,000
Total, Resources	\$33,205,000	\$42,858,000	\$42,859,000
<u>Program Data:</u>			
Number of Grants	61	70	70
New Starts:			
#	58	69	66
\$	\$32,494,000	\$41,433,000	\$41,005,000
Continuations:			
#	3	1	4
\$	\$332,000	\$1,075,000	\$1,504,000
Contracts:			
#	4	4	4
\$	\$229,000	\$325,000	\$325,000
Interagency Agreements:			
#	2	1	1
\$	\$150,000	\$25,000	\$25,000

¹ This includes funding for contract fees and information technology support.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN & FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Community-Based Child Abuse Prevention (CFDA #93.590)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$312,349	\$424,193	\$424,200	\$7
Alaska	194,252	200,257	200,257	0
Arizona	568,706	765,322	765,334	12
Arkansas	192,748	261,691	261,696	5
California	2,945,298	3,987,067	3,987,134	67
Colorado	522,919	701,008	701,020	12
Connecticut	426,660	571,103	571,112	9
Delaware	175,000	175,000	175,000	0
District of Columbia	192,124	197,465	197,465	0
Florida	1,048,705	1,426,370	1,426,395	25
Georgia	870,324	1,171,324	1,171,344	20
Hawaii	874,729	1,092,962	1,092,977	15
Idaho	182,739	185,152	185,152	0
Illinois	901,361	1,224,891	1,224,912	21
Indiana	551,985	744,923	744,935	12
Iowa	463,050	616,564	616,573	9
Kansas	725,237	960,502	960,518	16
Kentucky	2,031,826	2,677,656	2,677,699	43
Louisiana	337,776	458,574	458,582	8
Maine	180,559	182,292	182,292	0
Maryland	632,971	848,366	848,380	14
Massachusetts	443,158	600,442	600,452	10
Michigan	980,016	1,319,160	1,319,182	22
Minnesota	1,389,130	1,838,692	1,838,722	30
Mississippi	210,985	286,701	286,706	5
Missouri	459,415	620,910	620,921	11
Montana	179,787	181,279	181,280	1
Nebraska	184,133	186,981	186,982	1
Nevada	198,804	238,937	238,941	4
New Hampshire	178,594	179,714	179,714	0
New Jersey	650,052	880,516	880,531	15
New Mexico	493,166	598,955	598,965	10
New York	1,250,701	1,700,892	1,700,920	28
North Carolina	899,658	1,207,205	1,207,225	20
North Dakota	179,263	180,592	180,592	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	886,218	1,200,145	1,200,164	19
Oklahoma	839,062	1,112,134	1,112,152	18
Oregon	229,675	312,451	312,456	5
Pennsylvania	948,426	1,281,539	1,281,561	22
Rhode Island	200,501	208,454	208,454	0
South Carolina	269,890	366,825	366,831	6
South Dakota	182,775	185,199	185,200	1
Tennessee	666,280	892,386	892,401	15
Texas	1,670,972	2,271,643	2,271,680	37
Utah	297,897	400,096	400,104	8
Vermont	249,766	273,084	273,085	1
Virginia	491,570	668,071	668,082	11
Washington	422,810	574,398	574,408	10
West Virginia	241,363	262,060	262,061	1
Wisconsin	415,339	562,313	562,323	10
Wyoming	176,017	176,335	176,335	0
Subtotal	30,216,741	39,640,791	39,641,407	616
Indian Tribes	332,049	428,584	428,590	6
American Samoa	175,000	175,000	175,000	0
Guam	175,000	175,000	175,000	0
Northern Mariana Islands	175,000	175,000	175,000	0
Puerto Rico	506,138	663,993	664,003	10
Virgin Islands	175,000	175,000	175,000	0
Subtotal	1,538,187	1,792,577	1,792,593	16
Total States/Territories	31,754,928	41,433,368	41,434,000	632
Other	375,000	150,000	150,000	0
Technical Assistance	1,075,000	1,275,000	1,275,000	0
Subtotal Adjustments	1,450,000	1,425,000	1,425,000	0
TOTAL RESOURCES	\$33,204,928	\$42,858,368	\$42,859,000	\$632

CHILD WELFARE SERVICES

Authorizing Legislation – Section 420 of the Social Security Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$289,320,000	\$289,650,000	\$289,650,000	\$0

2006 Authorization....\$325,000,000.

Statement of the Budget Request

The 2006 budget request provides \$289,650,000 to maintain support for grants for child welfare services with the goal of keeping families together.

Program Description

The Child Welfare Services program helps state public welfare agencies improve their child welfare services with the goal of keeping families together. State services include: preventive intervention so that, if possible, children will not have to be removed from their homes; services to develop alternative placements like foster care or adoption if children cannot remain at home; and, reunification services so that children can return home, if appropriate. Services are available to children and their families without regard to income.

Funds are distributed to states in the form of grants. Each state receives a base amount of \$70,000. Additional funds are distributed in proportion to the state's population of children under age 21 multiplied by the complement of the state's average per capita income. The state match requirement is 25 percent.

The 1980 amendments to the Social Security Act link this program (title IV-B) to the title IV-E Foster Care and Adoption Assistance Programs. In 1993, Congress amended the Social Security Act and created the Family Preservation and Support Services Program (renamed Promoting Safe and Stable Families in 1997) as subpart 2 of the title IV-B Program and joined it to this program (now subpart 1 of Title IV-B) and to the title IV-E programs. The same state agency must administer, or supervise the administration of all the programs. The broad goal of all the programs is to strengthen the families of at risk children. Taken together, these programs provide a continuum of services to help children and their families.

Effective October 1, 1996, protections which states previously had to implement in order to receive the incentive funds under the Child Welfare Services Program, were required to be listed as assurances under the state plan. These include: (1) conducting an inventory of all children in foster care for at least six months; (2) establishing an information system for all children in foster

care; (3) conducting periodic case reviews of all foster children; and, (4) conducting in-home and permanent placement service programs, including preventive and reunification services.

Since 1983, grants have been awarded directly to eligible Indian tribal organizations. Indian tribes must meet plan requirements specified in regulation.

Funding for the Child Welfare Services program during the last five years has been as follows:

2001	\$291,986,000
2002	\$291,986,000
2003	\$290,088,000
2004	\$289,320,000
2005	\$289,650,000

Performance Analysis

Performance measurement for the Child Welfare Services program is part of a broader Child Welfare performance program area. Overall performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will continue to support grants to help improve state child welfare services with the goal of keeping families together when appropriate.

Resource and Program Data
Child Welfare Services

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$289,320,000	\$289,650,000	\$289,650,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$289,320,000	\$289,650,000	\$289,650,000
<u>Program Data:</u>			
Number of Grants	194	194	194
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	194	194	194
\$	\$289,320,000	\$289,650,000	\$289,650,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Child Welfare Services (CFDA #93.645)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$5,138,624	\$5,130,322	\$5,130,320	-\$2
Alaska	289,669	290,750	290,750	0
Arizona	5,461,611	5,593,386	5,593,384	-2
Arkansas	3,321,453	3,334,473	3,334,472	-1
California	33,237,415	33,061,365	33,061,352	-13
Colorado	4,019,549	4,010,593	4,010,591	-2
Connecticut	2,071,325	2,005,606	2,005,605	-1
Delaware	757,465	782,267	782,267	0
District of Columbia	371,398	357,757	357,757	0
Florida	15,444,611	15,655,726	15,655,720	-6
Georgia	9,134,573	9,220,796	9,220,793	-3
Hawaii	1,266,921	1,273,864	1,273,863	-1
Idaho	1,755,420	1,756,629	1,756,628	-1
Illinois	11,412,733	11,327,464	11,327,459	-5
Indiana	6,661,012	6,675,394	6,675,392	-2
Iowa	3,075,592	3,046,517	3,046,516	-1
Kansas	2,942,347	2,930,265	2,930,264	-1
Kentucky	4,365,997	4,579,267	4,579,265	-2
Louisiana	5,599,087	5,544,935	5,544,933	-2
Maine	1,276,214	1,304,274	1,304,273	-1
Maryland	4,539,914	4,545,481	4,545,479	-2
Massachusetts	4,197,724	4,236,318	4,236,316	-2
Michigan	9,843,278	9,735,735	9,735,731	-4
Minnesota	4,424,313	4,409,187	4,409,185	-2
Mississippi	3,788,816	3,769,621	3,769,619	-2
Missouri	5,853,449	5,877,782	5,877,780	-2
Montana	842,138	797,406	797,405	-1
Nebraska	1,835,087	1,838,064	1,838,063	-1
Nevada	2,201,407	2,240,854	2,240,853	-1
New Hampshire	1,111,830	1,108,351	1,108,351	0
New Jersey	6,015,808	6,047,011	6,047,008	-3
New Mexico	1,837,169	1,843,591	1,843,590	-1
New York	14,576,548	14,329,314	14,329,309	-5
North Carolina	8,577,519	8,632,751	8,632,748	-3
North Dakota	634,845	613,500	613,500	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	11,582,277	11,351,089	11,351,085	-4
Oklahoma	2,181,543	2,188,607	2,188,606	-1
Oregon	3,504,939	3,469,636	3,469,634	-2
Pennsylvania	11,041,278	10,919,064	10,919,060	-4
Rhode Island	997,933	1,006,059	1,006,058	-1
South Carolina	4,544,859	4,687,548	4,687,546	-2
South Dakota	642,714	640,797	640,797	0
Tennessee	6,018,982	5,986,309	5,986,307	-2
Texas	24,680,935	25,121,728	25,121,718	-10
Utah	3,344,639	3,429,484	3,429,483	-1
Vermont	654,871	647,047	647,046	-1
Virginia	6,517,053	6,576,674	6,576,672	-2
Washington	5,418,460	5,367,342	5,367,340	-2
West Virginia	1,969,404	1,969,062	1,969,061	-1
Wisconsin	5,396,395	5,311,702	5,311,700	-2
Wyoming	520,325	515,125	515,125	0
Subtotal	276,899,468	277,093,889	277,093,779	-110
Indian Tribes	5,506,582	5,654,691	5,654,691	0
American Samoa	199,736	199,493	199,493	0
Guam	353,830	353,298	353,298	0
Northern Mariana Islands	165,092	164,914	164,914	0
Puerto Rico	5,949,565	5,938,547	5,938,545	-2
Virgin Islands	245,609	245,280	245,280	0
Subtotal	12,420,414	12,556,223	12,556,221	-2
Total States/Territories	289,319,882	289,650,112	289,650,000	-112
TOTAL RESOURCES	\$289,319,882	\$289,650,112	\$289,650,000	-\$112

CHILD WELFARE TRAINING

Authorizing Legislation – Section 426 of the Social Security Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$7,411,000	\$7,409,000	\$7,409,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$7,409,000 to continue to support grants to maintain training resources and opportunities in the field of child welfare.

Program Description

The Child Welfare Training program provides discretionary grants to public and private non-profit institutions of higher education to develop and improve education and training programs and resources for child welfare service providers. Applications for funding are selected through a competitive review process. These grants upgrade the skills and qualifications of child welfare workers through their participation, full-time or part-time, in programs focused specifically on child welfare service activities. Child Welfare Training grants also provide support to full-time students seeking undergraduate and advanced degrees in social work.

Funding for the Child Welfare Training program during the last five years has been as follows:

2001	\$6,998,000
2002	\$7,487,000
2003	\$7,449,000
2004	\$7,411,000
2005	\$7,409,000

Performance Analysis

Performance measurement for the Child Welfare Training program is part of a broader Child Welfare performance program area. Overall performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will continue to support training for child welfare professionals and students and will support grants to maintain training resources and opportunities in the field of child welfare.

Resource and Program Data
Child Welfare Training

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	7,138,000	7,150,000	7,089,000
Program Support ¹	273,000	259,000	320,000
Total, Resources	\$7,411,000	\$7,409,000	\$7,409,000
<u>Program Data:</u>			
Number of Grants	42	47	47
New Starts:			
#	10	0	0
\$	\$1,700,000	\$0	\$0
Continuations:			
#	32	47	47
\$	\$5,126,000	\$6,860,000	\$6,789,000
Contracts:			
#	4	2	2
\$	\$362,000	\$290,000	\$300,000
Interagency Agreements:			
#	2	2	3
\$	\$223,000	\$259,000	\$320,000

¹ This includes cost for information technology support, grant/paneling review, and contract fees.

ADOPTION OPPORTUNITIES

Authorizing Legislation – Section 205 of the Child Abuse Prevention and Treatment and Adoption Reform Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$27,103,000	\$27,116,000	\$27,119,000	+\$3,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$27,119,000 to continue to support grants to facilitate the adoption process and provide technical assistance to enable states to increase the number of children adopted, especially children with special needs.

Program Description

The Adoption Opportunities program funds grants and contracts to public and private organizations to facilitate the elimination of barriers to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs. There are approximately 129,000 children in the public foster care system that cannot return safely to their own homes and parents. About 79,000 of these children are legally free and immediately available for adoption. Such children are typically school-aged, in sibling groups, have experienced neglect or abuse, or have a physical, mental, or emotional disability.

Major activities are:

1. Developing and implementing a national adoption and foster care data gathering and analysis system;
2. Developing and implementing a national adoption information exchange system;
3. Developing and implementing an adoption training and technical assistance program;
4. Increasing the placements in adoptive families of minority children who are in foster care and have the goal of adoption with a special emphasis on recruitment of minority families;
5. Increasing post-adoption legal services for families who have adopted children with special needs;
6. Studying the nature, scope, and effects of placement of children in kinship care arrangements, pre-adoptive, or adoptive homes;
7. Studying the efficacy of states contracting with public or private non-profit agencies (including community-based and other organizations); and
8. Promoting programs to increase the number of older children adopted from foster care.

Demonstration grants are awarded through a competitive process to public and private agencies including state and local governments, universities, private non-profit, and for-profit agencies. These demonstration grants test new models of service delivery to address and eliminate barriers to adoption, including inter-jurisdictional adoptions, and help find permanent families for children who would benefit by adoption, particularly children with special needs.

Funding for the Adoption Opportunities program during the last five years has been as follows:

2001	\$27,379,000
2002	\$27,335,000
2003	\$27,227,000
2004	\$27,103,000
2005	\$27,116,000

Performance Analysis

Performance measurement for the Adoption Opportunities program has historically been part of a broader Child Welfare performance program area. Performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will continue to provide loving parents and safe and stable homes for the maximum number of children available for adoption, and will support approximately 62 grants to facilitate the adoption process and provide technical assistance to enable states to increase the number of children adopted, especially children with special needs.

Resource and Program Data
Adoption Opportunities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation			
Demonstration/Development	20,283,000	20,765,000	20,324,000
Training/Technical Assistance	6,000,000	5,456,000	6,000,000
Program Support ¹	820,000	895,000	795,000
Total, Resources	\$27,103,000	\$27,116,000	\$27,119,000
<u>Program Data:</u>			
Number of Grants	57	54	54
New Starts:			
#	15	14	0
\$	\$6,500,000	\$5,400,000	\$0
Continuations:			
#	42	40	54
\$	\$16,367,000	\$16,823,000	\$22,270,000
Contracts:			
#	10	10	10
\$	\$3,892,000	\$4,773,000	\$4,734,000
Interagency Agreements:			
#	1	0	0
\$	\$176,000	\$0	\$0

¹ This includes funding for information technology support, grant/paneling review, contract fees, and printing costs.

ABANDONED INFANTS ASSISTANCE PROGRAM

Authorizing Legislation – Section 104(a)(1) of the Abandoned Infants Assistance Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$12,052,000	\$11,955,000	\$11,955,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 budget request provides \$11,955,000 to continue to support service demonstration grants for activities authorized under this program.

Program Description

Consistent with the strategic goal of improving the healthy development, safety and well-being of children and youth and with the objective of providing children with permanency and stability in their living situations, the Abandoned Infants Assistance program provides grants to public and private community and faith-based entities for development, implementation and operation of projects that:

1. Prevent abandonment of infants and young children exposed to HIV/AIDS and drugs, including the provision of services to family members with any condition that increases the probability of abandonment of an infant or young child;
2. Identify and address the needs of abandoned infants, especially those born with AIDS, exposed to drugs, and infants and young children who have a life-threatening illness or other special medical need;
3. Assist these children to reside with their natural families, if possible, or in foster care;
4. Recruit, train and retain foster parents;
5. Carry out residential care programs for abandoned children and children with AIDS;
6. Establish programs of respite care for families and foster families;
7. Recruit and train health and social services personnel to work with families, foster families and residential care staff; and
8. Prevent the abandonment of infants and young children by providing needed resources through model programs.

This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects.

Funding for the Abandoned Infants Assistance program during the last five years has been as follows:

2001	\$12,182,000
2002	\$12,194,000
2003	\$12,126,000
2004	\$12,052,000
2005	\$11,955,000

Performance Analysis

Performance measurement for the Abandoned Infants Assistance program is part of a broader Child Welfare performance program area. Performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. These funds will support service demonstration grants to prevent the abandonment of infants and young children with AIDS, drug-exposed infants and young children, and infants and young children who have a life-threatening illness or other special medical need and to reunify and strengthen families impacted by substance abuse by providing supportive services to family caregivers and to children and adolescents in a recreational or camp setting.

Resource and Program Data
Abandoned Infants Assistance Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation		650,000	
Demonstration/Development	10,750,000	9,980,000	10,775,000
Training/Technical Assistance	975,000	900,000	900,000
Program Support ¹	327,000	425,000	280,000
Total, Resources	\$12,052,000	\$11,955,000	\$11,955,000
<u>Program Data:</u>			
Number of Grants	36	29	30
New Starts:			
#	18	9	1
\$	\$5,847,000	\$3,350,000	\$700,000
Continuations:			
#	18	20	29
\$	\$5,597,000	\$6,550,000	\$9,900,000
Contracts:			
#	5	4	3
\$	\$483,000	\$1,879,000	\$1,179,000
Interagency Agreements:			
#	1	1	1
\$	\$125,000	\$176,000	\$176,000

¹ This includes funding for information technology support and grant/paneling review.

INDEPENDENT LIVING EDUCATION AND TRAINING VOUCHERS

Authorizing Legislation – Section 477(i) of the Social Security Act.

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$44,734,000	\$46,623,000	\$59,999,000	+\$13,376,000

2006 Authorization....\$60,000,000.

Statement of the Budget Request

The 2006 budget request provides \$59,999,000 to continue to provide older foster care youth with an additional resource to prepare for independent living.

Program Description

The Independent Living Education and Training Voucher program provides vouchers of up to \$5,000 for expenses related to post secondary educational assistance such as tuition, books, fees, supplies and vocational training to foster care children from 16 to 21 years of age. Participants who turn 21 while working toward the completion of a degree or training program may remain eligible for the voucher program until they are 23 years of age. Funding for these vouchers is distributed to the states based on the state's proportion of children in foster care compared to the national total of all children in foster care. In order not to serve as a disincentive to the adoption of older children, the vouchers also would be available to individuals adopted from foster care after reaching age 16.

Preliminary information from a small group of states (over 1700 youth) shows that those states are providing vouchers primarily to youth 18 and over for vocational institutions and colleges for the study of wide range of topics. Data from this initial small group of states indicates that while many of the students are receiving vouchers for between \$4,000 and the maximum level of \$5,000, there is also a significant group of students receiving small vouchers for less than \$1000.

Appropriations for Independent Living Education and Training Vouchers has been:

2003	\$41,727,000
2004	\$44,734,000
2005	\$46,623,000

Performance Analysis

Performance measurement for this program is part of a broader Child Welfare performance program area. Overall performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 budget request of \$59,999,000 is an increase of \$13,376,000 above the 2005 enacted level. These vouchers provide youth an additional resource to prepare for independent living, increasing the prospect that they will be able to secure work and become contributing members of society. This increase will allow more than 2600 additional youth to receive vouchers through this program.

Resource and Program Data
Independent Living Education and Training Voucher Program

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$44,063,000	\$45,924,000	\$59,099,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	442,000	464,000	658,000
Program Support ¹	225,000	235,000	242,000
Total, Resources	\$44,730,000	\$46,623,000	\$59,999,000
<u>Program Data:</u>			
Number of Grants	53	53	53
New Starts:			
#	53	53	53
\$	\$44,463,000	\$45,924,000	\$59,099,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	1	2	2
\$	\$42,000	\$464,000	\$658,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ This includes funding for salaries and benefits and associated overhead costs.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Independent Living Education and Training Vouchers

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$501,312	\$534,236	\$687,507	\$153,271
Alaska	179,694	179,280	230,714	51,434
Arizona	524,273	680,384	875,585	195,201
Arkansas	249,575	263,647	339,286	75,639
California	8,519,233	8,547,523	10,999,771	2,452,248
Colorado	712,785	769,321	990,037	220,716
Connecticut	495,822	592,502	762,489	169,987
Delaware	73,625	71,536	92,060	20,524
District of Columbia	270,123	271,732	349,691	77,959
Florida	2,696,572	2,695,963	3,469,427	773,464
Georgia	1,018,431	1,198,362	1,542,169	343,807
Hawaii	229,526	260,747	335,554	74,807
Idaho	103,074	123,123	158,447	35,324
Illinois	2,060,822	1,898,959	2,443,765	544,806
Indiana	712,952	782,064	1,006,436	224,372
Iowa	436,007	440,378	566,721	126,343
Kansas	505,472	508,047	653,804	145,757
Kentucky	568,115	605,948	779,793	173,845
Louisiana	400,401	399,073	513,566	114,493
Maine	251,655	263,559	339,173	75,614
Maryland	994,722	1,012,491	1,302,972	290,481
Massachusetts	1,057,781	1,108,019	1,425,907	317,888
Michigan	1,708,505	1,878,571	2,417,527	538,956
Minnesota	673,186	644,880	829,894	185,014
Mississippi	247,412	247,125	318,024	70,899
Missouri	1,077,913	1,056,257	1,359,294	303,037
Montana	157,066	163,988	211,036	47,048
Nebraska	517,535	530,721	682,983	152,262
Nevada	138,764	200,811	258,423	57,612
New Hampshire	103,241	106,953	137,637	30,684
New Jersey	928,002	1,127,353	1,450,788	323,435
New Mexico	159,478	184,553	237,500	52,947
New York	3,454,364	3,362,374	4,327,030	964,656
North Carolina	785,079	837,869	1,078,251	240,382
North Dakota	100,579	108,798	140,012	31,214

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,741,616	1,698,148	2,185,342	487,194
Oklahoma	727,760	807,989	1,039,799	231,810
Oregon	723,184	824,423	1,060,948	236,525
Pennsylvania	1,742,780	1,913,021	2,461,860	548,839
Rhode Island	199,577	205,117	263,965	58,848
South Carolina	404,061	430,096	553,489	123,393
South Dakota	115,969	138,854	178,691	39,837
Tennessee	767,858	833,739	1,072,936	239,197
Texas	1,766,074	1,950,195	2,509,700	559,505
Utah	150,993	178,665	229,923	51,258
Vermont	120,794	123,826	159,351	35,525
Virginia	558,132	619,218	796,870	177,652
Washington	761,037	738,738	950,680	211,942
West Virginia	250,989	357,593	460,185	102,592
Wisconsin	637,913	687,591	884,858	197,267
Wyoming	87,518	92,716	119,316	26,600
Subtotal	43,369,351	45,257,076	58,241,186	12,984,110
Puerto Rico	693,152	666,587	857,829	191,242
Subtotal	693,152	666,587	857,829	191,242
Total States/Territories	44,062,503	45,923,663	59,099,015	13,175,352
Set-Aside	667,623	699,345	899,985	200,640
Subtotal Adjustments	667,623	699,345	899,985	200,640
TOTAL RESOURCES	\$44,730,126	\$46,623,008	\$59,999,000	\$13,375,992

ADOPTION INCENTIVES

Authorizing Legislation – Section 473A(h) of the Social Security Act

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$7,456,000	\$31,846,000	\$31,846,000	\$0

2006 Authorization....\$43,000,000

Statement of the Budget Request

The 2006 budget request provides \$31,846,000 to reward states that increase adoptions from the public child welfare system.

Program Description

The Adoption Incentive Program was created as part of the Adoption and Safe Families Act of 1997. The original program authorized the payment of adoption incentive funds to states that were successful in increasing the number of children adopted from the public foster care system. The amount of the payments to states was based on increases in the number of children adopted from the foster care system in a year, relative to a baseline number. For each child adopted over the baseline number, a state was eligible to receive \$4,000. For each adopted child with special needs, the state was eligible to receive an additional \$2,000, contingent on funding.

The Adoption Incentive Program has been successful in contributing to the substantial increase in adoptions since the mid-1990s. However, some groups of children needing a permanent home remain less likely to be adopted. For instance, analysis of data from the Adoption and Foster Care Analysis and Reporting System (AFCARS) shows that once a child waiting for adoption reaches 8 or 9 years old, the probability that the child will continue to wait in foster care exceeds the probability that the child will be adopted. Furthermore, based on more recent analyses of AFCARS data, older children now constitute almost half of the pool of children waiting for adoptive families, but constitute less than a third of the children adopted.

Therefore, the recent reauthorization included an amendment to target incentives specifically to older children. Under this revised framework, ACF awards incentives using three baselines: one for the total number of children adopted; one for children with special needs under age nine; and one for children age nine and older. Awarding the incentive funds in this way maintains the incentive for achieving increased adoptions for older children for all states, regardless of how high the baseline for the total number of adoptions is for any fiscal year. Once a state has reached the baseline for the total number of adoptions for the year, it will receive a \$4,000 bonus for each child over the baseline. Once a state has reached the baseline for the number of adoptions for children age 9 and older for that year, that state will receive a \$4,000 bonus for

each child over the baseline. Once the state reaches the baseline for either of the two aforementioned populations and reaches its baseline for the number of adoptions for special needs children under the age of 9 for that year, it will receive a \$2,000 bonus for each child over the baseline.

Funding for the Adoption Incentives program for the last five years is as follows:

2001	\$42,994,000
2002	\$43,000,000
2003	\$42,721,000 ¹
2004	\$7,456,000 ²
2005	\$31,846,000

Performance Analysis

ACF has one adoption measure for which data is available. In FY 2003, preliminary data indicate there were 49,000 adoptions. This number is expected to increase as additional adoptions for that year are reported. This represents a significant growth in the number of adoptions over the years, up from 47,000 adoptions in FY 1999 and 31,000 adoptions in 1997. However, the FY 2003 target of 58,500 adoptions was not met, in part, because the decline in the total number of children in foster care during the period was not anticipated. The number of children in care declined from 567,000 in FY 1999 to 523,000 in FY 2003. In addition, targets did not take into account that the average age of the children waiting for adoption would increase by almost one year during this same period, making it more challenging to find adoptive homes for the children. As a result, adoption targets for future years have been adjusted to reflect this new information.

Rationale for the Budget Request

The 2006 budget request is \$31,846,000, the same as the FY 2005 appropriation. These funds should cover all incentives earned by states in FY 2005.

¹ Of this amount, \$27.8 million was carried forward into FY 2004 and was available to pay incentives in FY 2004.

² All of these funds were carried forward into FY 2005 and are available to pay incentives in FY 2005.

Resource and Program Data
Adoption Incentives

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$17,986,000	\$39,302,000	\$31,846,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$17,986,000 ¹	\$39,302,000 ²	\$31,846,000
<u>Program Data:</u>			
Number of Grants	32	N/A	N/A
New Starts:			
#	32	N/A	N/A
\$	\$17,986,000	N/A	N/A
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ This amount was paid out of \$27.8 million which was carried forward from FY 2003 and were available to pay incentives in FY 2004.

² Of this amount, \$7.5 million are funds appropriated in FY 2004 which carried forward and are available to pay incentives in FY 2005.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Adoption Incentives (CFDA #93.603)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$376,000	n/a	n/a	
Alaska	116,000	n/a	n/a	
Arizona	280,000	n/a	n/a	
Arkansas	468,000	n/a	n/a	
California	0	n/a	n/a	
Colorado	546,000	n/a	n/a	
Connecticut	0	n/a	n/a	
Delaware	0	n/a	n/a	
District of Columbia	0	n/a	n/a	
Florida	2,544,000	n/a	n/a	
Georgia	0	n/a	n/a	
Hawaii	0	n/a	n/a	
Idaho	196,000	n/a	n/a	
Illinois	0	n/a	n/a	
Indiana	0	n/a	n/a	
Iowa	1,048,000	n/a	n/a	
Kansas	440,000	n/a	n/a	
Kentucky	452,000	n/a	n/a	
Louisiana	172,000	n/a	n/a	
Maine	424,000	n/a	n/a	
Maryland	0	n/a	n/a	
Massachusetts	0	n/a	n/a	
Michigan	0	n/a	n/a	
Minnesota	74,000	n/a	n/a	
Mississippi	140,000	n/a	n/a	
Missouri	494,000	n/a	n/a	
Montana	0	n/a	n/a	
Nebraska	0	n/a	n/a	
Nevada	260,000	n/a	n/a	
New Hampshire	88,000	n/a	n/a	
New Jersey	0	n/a	n/a	
New Mexico	0	n/a	n/a	
New York	3,492,000	n/a	n/a	
North Carolina	16,000	n/a	n/a	
North Dakota	84,000	n/a	n/a	

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	376,000	n/a	n/a	
Oklahoma	1,062,000	n/a	n/a	
Oregon	0	n/a	n/a	
Pennsylvania	0	n/a	n/a	
Rhode Island	40,000	n/a	n/a	
South Carolina	0	n/a	n/a	
South Dakota	20,000	n/a	n/a	
Tennessee	264,000	n/a	n/a	
Texas	908,000	n/a	n/a	
Utah	0	n/a	n/a	
Vermont	150,000	n/a	n/a	
Virginia	386,000	n/a	n/a	
Washington	1,560,000	n/a	n/a	
West Virginia	0	n/a	n/a	
Wisconsin	1,232,000	n/a	n/a	
Wyoming	48,000	n/a	n/a	
Subtotal	17,756,000			
Puerto Rico	140,000	n/a	n/a	
Subtotal	140,000	0	0	
Total States/Territories	17,896,000	39,301,926	31,846,000	
TOTAL RESOURCES	\$17,896,000	\$39,301,926¹	\$31,846,000	

¹ Of this amount, \$7.5 million are funds appropriated in FY 2004 which carried forward and are available to pay incentives in FY 2005.

CHILDREN'S HEALTH ACT – INFANT ADOPTION AWARENESS (PART A)

Authorizing Legislation – Section 330(F) of title III of the Public Health Service Act

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$9,814,000	\$9,826,000	\$9,826,000	\$0

2006 Authorization....Such sums as may be appropriated (as requested in the straight line reauthorization request).

Statement of the Budget Request

The 2006 budget request provides \$9,826,000 to maintain funding for training of staff for advising young pregnant women of adoption as an option, and the development of best practice guidelines in adoption counseling.

Program Description

The Infant Adoption Awareness program awards grants to support adoption organizations in the training of designated staff in eligible public and private, non-profit health centers which provide health services to pregnant women to inform them about adoption and make referrals on request to adoption agencies on an equal basis with all other courses of action. The program also supports development of best practice guidelines on adoption counseling to be used by the grantees and an evaluation of the extent to which the training is effective.

Funding for the Infant Adoption Awareness program has been as follows:

2001.....	\$9,900,000
2002.....	\$9,906,000
2003.....	\$9,842,000
2004	\$9,814,000
2005	\$9,826,000

Performance Analysis

Performance measurement for the Infant Adoption Awareness program is part of a broader Child Welfare performance program area. Performance information for Child Welfare is included in the Detail of Performance Analysis exhibit.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will ensure that staff is sufficiently trained to inform pregnant women about adoption as one of their options, as well as refer women upon request to adoption agencies.

Resource and Program Data
Children's Health Act – Infant Adoption Awareness (Part A)

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	9,776,000	9,826,000	9,826,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	37,000		
Total, Resources	\$9,813,000	\$9,826,000	\$9,826,000
<u>Program Data:</u>			
Number of Grants	6	6	6
New Starts:			
#	6	0	0
\$	\$9,776,000	\$0	\$0
Continuations:			
#	0	6	6
\$	\$0	\$9,826,000	\$9,826,000
Contracts:			
#	1	0	0
\$	\$37,000	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ This includes funding for grant/paneling review.

CHILDREN'S HEALTH ACT – SPECIAL NEEDS ADOPTION AWARENESS PROGRAM
(PART B)

Authorizing Legislation – Section 330G of title III of the Public Health Service Act

FY 2004 Enacted	FY 2005 Enacted	FY 2006 Estimate	Increase or Decrease
\$2,971,000	\$2,976,000	\$2,976,000	\$0

2006 Authorization....Such sums as may be appropriated (as requested in the straight line reauthorization request).

Statement of the Budget Request

The 2006 budget request provides \$2,976,000 to maintain grants for publicizing the adoption of special needs children.

Program Description

The Special Needs Adoption Program provides for grants to be made to non-profit, private entities for the planning, development and carrying out of a national campaign informing the public about the adoption of children with special needs. This campaign can include public service announcements on television, radio or billboards, as well as other means determined to be effective in reaching people likely to adopt children with special needs.

Funding for the Special Needs Adoption Program has been as follows:

2002.....	\$3,000,000
2003.....	\$2,980,000
2004	\$2,971,000
2005	\$2,976,000

Performance Analysis

ACF has one adoption measure for which data is available. In FY 2003, preliminary data indicate there were 49,000 adoptions. This number is expected to increase as additional adoptions for that year are reported. This represents a significant growth in the number of adoptions over the years, up from 47,000 adoptions in FY 1999 and 31,000 adoptions in 1997. However, the FY 2003 target of 58,500 adoptions was not met, in part, because the decline in the total number of children in foster care during the period was not anticipated. The number of children in care declined from 567,000 in FY 1999 to 523,000 in FY 2003. In addition, targets did not take into account that the average age of the children waiting for adoption would increase by almost one year during this same period, making it more challenging to find adoptive homes

for the children. As a result, adoption targets for future years have been adjusted to reflect this new information.

Rationale for the Budget Request

The 2006 budget request is \$2,976,000, the same as the FY 2005 appropriation. It is critically important to publicize the need for adoption of children with special needs so that permanent, loving home environments can be found for these vulnerable children and to support families who are willing to provide homes for children with special needs.

Resource and Program Data
Children's Health Act – Special Needs Adoption Awareness Program (Part B)

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	2,701,000	2,706,000	2,706,000
Program Support ¹	270,000	270,000	270,000
Total, Resources	\$2,971,000	\$2,976,000	\$2,976,000
<u>Program Data:</u>			
Number of Grants	1	1	1
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	1	1	1
\$	\$2,701,000	\$2,706,000	\$2,706,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	1	1	1
\$	\$270,000	\$270,000	\$270,000

¹ This includes Departmental funding for the PHS evaluation set-aside.

STATE COUNCILS ON DEVELOPMENTAL DISABILITIES (FORMERLY BASIC STATE GRANTS)

Authorizing Legislation – Section 129(a) of the Developmental Disabilities Assistance and Bill of Rights Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$73,081,000	\$72,496,000	\$72,496,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 request of \$72,496,000 for the State Councils on Developmental Disabilities program will provide funding to improve state services and supports for people with developmental disabilities and their families.

Program Description

The State Councils on Developmental Disabilities program assists each state in promoting the development of a comprehensive, statewide, consumer and family-centered system that provides a coordinated array of culturally-competent services and other assistance for individuals with developmental disabilities. The goals of a council are to: (a) enhance the ability of persons with developmental disabilities to live, work, and engage in leisure activities in their communities; (b) support state and other programs that develop, coordinate and/or stimulate permanent improvement in service systems; and (c) give priority to people whose needs are not otherwise met under other health, education and human services programs.

In order to qualify for funds, states must submit a plan and establish a State Council on Developmental Disabilities to advocate for services and activities for all people with developmental disabilities. There are 55 councils. Up to forty percent of the council's membership includes representatives of major state agencies, non-governmental agencies and other concerned groups. Not less than sixty percent of the membership must include persons with developmental disabilities, their parents or guardians. Councils engage in a range of activities including, but not limited to, program and policy analysis, demonstration of new approaches, training, outreach, community support, interagency collaboration and coordination, public education, and prevention in the following areas of emphasis as required in the law: (1) quality assurance, (2) education and early intervention, (3) child care, (4) health, (5) employment (6) housing (7) transportation; (8) recreation, and (9) other services available or offered to individuals in a community, including formal and informal community supports, that affect their quality of life.

Funding for State Councils on Developmental Disabilities is allotted among the states on

the basis of population, and the extent of need for services for persons with developmental disabilities, weighted by the relative per capita income for each state. The grants are made to designated state agencies or councils to support the councils in implementing the approved state plan. The aggregate federal share of projects under such grants may not exceed seventy-five percent except in the case of projects in poverty areas, where the federal share may not exceed ninety percent. In the case of projects conducted by council members or staff to implement state plan priority activities the federal share may be up to one hundred percent of the aggregate necessary cost of such activities.

Funding for the State Councils on Developmental Disabilities Program during the last five years has been as follows:

2001	\$67,800,000
2002	\$69,800,000
2003	\$71,134,000
2004	\$73,081,000
2005	\$72,496,000

Performance Analysis

The Developmental Disabilities program was assessed by OMB under the Program Assessment Rating Tool (PART), which resulted in the following measures: By the end of FY 2007, percentage of individuals with developmental disabilities who are independent, self-sufficient, and integrated into the community will increase to 14 percent. By the end of FY 2007, the percentage of trained individuals who are actively working to improve access of individuals with developmental disabilities to services and supports will increase to 94 percent. Long-term outcome goal: By the end of FY 2007, the percentage of individuals who have their complaint of abuse, neglect, discrimination, or other human or civil rights corrected will increase to 93 percent. The first opportunity to measure progress will be April, 2005, using FY 2004 data as the basis for comparison.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. This request will continue to support advocacy, systems change and capacity building activities that improve state services and supports for people with developmental disabilities and their families.

Resource and Program Data
State Councils on Developmental Disabilities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	\$73,081,000	\$72,496,000	\$72,496,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$73,081,000	\$72,496,000	\$72,496,000
<u>Program Data:</u>			
Number of Grants	56	56	56
New Starts:			
#	0	0	0
\$	0	0	0
Continuations:			
#	56	56	56
\$	\$73,081,000	\$72,496,000	\$72,496,000
Contracts:			
#	0	0	0
\$	0	0	0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: State Councils on Developmental Disabilities (CFDA #93.630)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$1,315,925	1,305,392	1,305,386	-\$6
Alaska	462,315	458,614	458,612	-2
Arizona	1,285,145	1,274,859	1,274,853	-6
Arkansas	805,462	799,015	799,011	-4
California	6,795,666	6,741,276	6,741,243	-33
Colorado	836,106	829,414	829,410	-4
Connecticut	690,715	685,216	685,212	-4
Delaware	462,315	458,614	458,612	-2
District of Columbia	462,315	458,614	458,612	-2
Florida	3,641,185	3,612,042	3,612,025	-17
Georgia	1,904,329	1,889,087	1,889,078	-9
Hawaii	462,315	458,614	458,612	-2
Idaho	462,315	458,614	458,612	-2
Illinois	2,669,813	2,648,445	2,648,432	-13
Indiana	1,514,002	1,501,884	1,501,877	-7
Iowa	774,177	767,980	767,977	-3
Kansas	621,286	616,313	616,310	-3
Kentucky	1,225,694	1,215,884	1,215,878	-6
Louisiana	1,385,313	1,374,225	1,374,218	-7
Maine	462,315	458,614	458,612	-2
Maryland	1,026,488	1,018,272	1,018,267	-5
Massachusetts	1,367,725	1,356,778	1,356,771	-7
Michigan	2,540,965	2,520,628	2,520,616	-12
Minnesota	1,041,526	1,033,190	1,033,185	-5
Mississippi	948,925	941,330	941,325	-5
Missouri	1,385,181	1,374,094	1,374,087	-7
Montana	462,315	458,614	458,612	-2
Nebraska	462,315	458,614	458,612	-2
Nevada	462,315	458,614	458,612	-2
New Hampshire	462,315	458,614	458,612	-2
New Jersey	1,589,253	1,576,533	1,576,525	-8
New Mexico	521,855	517,678	517,675	-3
New York	4,263,616	4,229,491	4,229,471	-20
North Carolina	1,989,293	1,973,371	1,973,361	-10
North Dakota	462,315	458,614	458,612	-2

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	2,891,529	2,868,386	2,868,372	-14
Oklahoma	914,772	907,450	907,446	-4
Oregon	785,280	778,994	778,991	-3
Pennsylvania	3,113,657	3,088,736	3,088,721	-15
Rhode Island	462,315	458,614	458,612	-2
South Carolina	1,132,839	1,123,772	1,123,766	-6
South Dakota	462,315	458,614	458,612	-2
Tennessee	1,517,325	1,505,181	1,505,173	-8
Texas	4,775,777	4,737,553	4,737,530	-23
Utah	602,828	598,003	598,000	-3
Vermont	462,315	458,614	458,612	-2
Virginia	1,524,134	1,511,935	1,511,928	-7
Washington	1,196,582	1,187,005	1,186,999	-6
West Virginia	772,441	766,258	766,255	-3
Wisconsin	1,309,753	1,299,270	1,299,264	-6
Wyoming	462,315	458,614	458,612	-2
Subtotal	69,611,287	69,054,150	69,053,818	-332
American Samoa	240,761	238,834	238,832	-2
Guam	240,761	238,834	238,832	-2
Northern Mariana Islands	240,761	238,834	238,832	-2
Puerto Rico	2,506,931	2,486,866	2,486,854	-12
Virgin Islands	240,761	238,834	238,832	-2
Subtotal	3,469,975	3,442,202	3,442,182	-20
Total States/Territories	73,081,262	72,496,352	72,496,000	-352
TOTAL RESOURCES	\$73,081,262	\$72,496,352	\$72,496,000	-\$352

DEVELOPMENTAL DISABILITIES (PROTECTION AND ADVOCACY)

Authorizing Legislation – Section 145 of the Developmental Disabilities Assistance and Bill of Rights Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$38,416,000	\$38,109,000	\$38,109,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 request of \$38,109,000 for the Protection and Advocacy program will provide continued funding to advocacy services and information and referral services to individuals with disabilities.

Program Description

The Developmental Disabilities Protection and Advocacy Program provides grants to establish and maintain a protection and advocacy system in each state to protect the legal and human rights of all persons with developmental disabilities. There are 57 state protection and advocacy systems. Protection and advocacy funding is allotted among the states based on a formula that takes into account the population, the extent of need for services for persons with developmental disabilities, and the financial need of each state. The protection and advocacy system must have the authority under this program to pursue legal, administrative, and other appropriate remedies or approaches, including the authority to investigate incidents of abuse and neglect and to access client records. The protection and advocacy system must be independent of any agency that provides such services.

Funding for the Protection and Advocacy program during the last five years has been as follows:

2001	\$33,000,000
2002	\$35,000,000
2003	\$36,263,000
2004.....	\$38,416,000
2005.....	\$38,109,000

Performance Analysis

See the Performance Analysis section under the State Councils on Developmental Disabilities program.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. This request will continue to provide advocacy services to individuals with developmental disabilities, provide for the pursuit of class-action advocacy as required, and the provision of information and referral services.

Resource and Program Data
Developmental Disabilities Protection and Advocacy

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$37,648,000	\$37,344,000	\$37,344,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	756,000	756,000	756,000
Program Support ¹	8,000	9,000	9,000
Total, Resources	\$38,412,000	\$38,109,000	\$38,109,000
<u>Program Data:</u>			
Number of Grants	57	57	57
New Starts:			
#	0	0	0
\$	0	0	0
Continuations:			
#	57	57	57
\$	\$37,648,000	\$37,344,000	\$37,344,000
Contracts:			
#	1	1	1
\$	\$756,000	\$756,000	756,000
Interagency Agreements:			
#	1	1	1
\$	\$8,000	\$9,000	\$9,000

¹ Includes funding for contract fees.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Developmental Disabilities Protection and Advocacy (CFDA #93.630)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$627,475	622,778	622,785	\$7
Alaska	365,940	365,940	365,940	0
Arizona	579,111	581,737	581,743	6
Arkansas	387,602	384,321	384,326	5
California	3,181,700	3,162,573	3,162,608	35
Colorado	415,010	411,660	411,663	3
Connecticut	378,592	377,613	377,614	1
Delaware	365,940	365,940	365,940	0
District of Columbia	365,940	365,940	365,940	0
Florida	1,745,277	1,731,237	1,731,255	18
Georgia	933,374	919,045	919,055	10
Hawaii	365,940	365,940	365,940	0
Idaho	365,940	365,940	365,940	0
Illinois	1,284,415	1,268,725	1,268,739	14
Indiana	727,760	722,012	722,020	8
Iowa	371,121	369,484	369,486	2
Kansas	365,940	365,940	365,940	0
Kentucky	577,979	572,605	572,612	7
Louisiana	646,343	644,750	644,757	7
Maine	365,940	365,940	365,940	0
Maryland	498,207	491,083	491,089	6
Massachusetts	621,094	610,440	610,447	7
Michigan	1,190,195	1,170,213	1,170,226	13
Minnesota	502,232	495,058	495,063	5
Mississippi	453,210	445,401	445,405	4
Missouri	665,767	658,178	658,186	8
Montana	365,940	365,940	365,940	0
Nebraska	365,940	365,940	365,940	0
Nevada	365,940	365,940	365,940	0
New Hampshire	365,940	365,940	365,940	0
New Jersey	764,947	758,472	758,481	9
New Mexico	365,940	365,940	365,940	0
New York	1,959,198	1,933,163	1,933,185	22
North Carolina	976,006	966,905	966,915	10
North Dakota	365,940	365,940	365,940	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,369,182	1,352,955	1,352,970	15
Oklahoma	437,177	433,566	433,571	5
Oregon	390,425	388,767	388,770	3
Pennsylvania	1,443,211	1,429,450	1,429,466	16
Rhode Island	365,940	365,940	365,940	0
South Carolina	549,365	541,745	541,750	5
South Dakota	365,940	365,940	365,940	0
Tennessee	732,439	720,876	720,884	8
Texas	2,232,558	2,212,680	2,212,704	24
Utah	365,940	365,940	365,940	0
Vermont	365,940	365,940	365,940	0
Virginia	739,346	734,200	734,209	9
Washington	567,799	561,124	561,131	7
West Virginia	390,425	390,577	390,579	2
Wisconsin	629,285	620,380	620,387	7
Wyoming	365,940	365,940	365,940	0
Subtotal	35,554,747	35,270,693	35,271,001	308
Indian Tribes	195,775	195,775	195,775	0
American Samoa	195,775	195,775	195,775	0
Guam	195,775	195,775	195,775	0
Northern Mariana Islands	195,775	195,775	195,775	0
Puerto Rico	1,114,058	1,096,931	1,096,944	13
Virgin Islands	195,775	195,775	195,775	0
Subtotal	2,092,933	2,075,806	2,075,819	13
Total States/Territories	37,647,680	37,346,499	37,346,820	321
Technical Assistance	764,720	762,173	762,180	7
Subtotal Adjustments	764,720	762,173	762,180	7
TOTAL RESOURCES	\$38,412,400	\$38,108,672	\$38,109,000	\$328

DEVELOPMENTAL DISABILITIES (PROJECTS OF NATIONAL SIGNIFICANCE)

Authorizing Legislation — Section 163 of the Developmental Disabilities Assistance and Bill of Rights Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$11,562,000	\$11,542,000	\$11,529,000	-\$13,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 request of \$11,529,000 for Projects of National Significance will provide funding for family support activities and to evaluate the effectiveness of all ADD activities.

Program Description

Projects of National Significance is a discretionary program for grants, contracts, or cooperative agreements to public or private nonprofit entities that create opportunities for individuals with developmental disabilities to contribute to, and participate in, all facets of community life. These projects also support the development of national and state policies, including federal interagency initiatives.

The projects focus on the most pressing issues affecting people with developmental disabilities and their families. They allow for local implementation of practical solutions and provide results and information for possible national replication. The Projects of National Significance budget supports technical assistance, research regarding emerging disability issues, and conferences and special meetings. It provides the Administration on Developmental Disabilities with the funds to expand or otherwise improve the opportunities for individuals with developmental disabilities to achieve full independence, productivity and inclusion in American society. Recently, grants were awarded in such areas as childcare, education/early intervention, employment, health, housing, quality assurance and recreation and family support. These projects enhance the lives of individuals with developmental disabilities through the promotion of activities and models designed to enhance their ability to live, work and play in their communities.

Funding for the Projects of National Significance program during the last five years has been as follows:

2001	\$10,915,000
2002	\$11,642,000
2003	\$12,403,000
2004	\$11,562,000

2005 \$11,542,000

Performance Analysis

See the Performance Analysis section under the State Councils on Developmental Disabilities program.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. This request will continue to provide grants for family support activities as well as funds to evaluate the effectiveness of all ADD programs.

Resource and Program Data
Developmental Disabilities Projects of National Significance

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	8,897,000	8,270,000	8,270,000
Research/Evaluation		500,000	500,000
Demonstration/Development			
Training/Technical Assistance	1,996,000	1,923,000	1,923,000
Program Support ¹	633,000	849,000	836,000
Total, Resources	\$11,526,000	\$11,542,000	\$11,529,000
<u>Program Data:</u>			
Number of Grants	53	53	53
New Starts:			
#	29	32	32
\$	\$6,598,000	\$6,841,000	\$6,841,000
Continuations:			
#	24	21	21
\$	\$2,299,000	1,429,000	\$1,429,000
Contracts:			
#	7	7	7
\$	\$1,999,000	\$2,425,000	\$2,425,000
Interagency Agreements:			
#	2	1	1
\$	\$537,000	\$757,000	\$738,000

¹ Includes funding for information technology support, contract fees, overhead costs, printing costs, and interagency agreements.

UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES

Authorizing Legislation — Section 156 of the Developmental Disabilities Assistance and Bill of Rights Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$26,803,000	\$31,549,000	\$31,548,000	-\$1,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 request of \$31,548,000 for the University Centers for Excellence (UCEDDs) program will provide continued operational and administrative support to establish a national network of UCEDD's.

Program Description

University Centers for Excellence in Developmental Disabilities (UCEDDs) are interdisciplinary education, research and public service units of a university system or are public or not-for-profit entities associated with universities. Grants to establish these UCEDDs were initially made on a competitive basis and the national network now consists of 61 UCEDDs. Awards are made for 5 years. These UCEDDs provide for interdisciplinary training, community services, research, and technical assistance and information/dissemination activities. UCEDDs support activities that address the individual needs of persons with developmental disabilities from birth through old age and address a variety of service issues from prevention to early intervention to supported employment. UCEDDs must provide community services on behalf of persons with developmental disabilities through a variety of mechanisms including clinical services programs, community-based services programs, technical assistance to community services personnel and state agencies, and dissemination of information.

Grant funds are distributed in accordance with the Act in the following order of funding priorities: (1) continuation of existing UCEDDs in an amount up to \$500,000 (NOTE: The level of funding under the FY 2005 budget for each UCEDD would be \$500,000); (2) grants for national training initiatives on critical and emerging needs for individuals with developmental disabilities and their families; and (3) grants to additional UCEDDs or additional grants to UCEDDs for states or populations that are unserved or under served by UCEDDs due to such factors as population, a high concentration of rural or urban areas, or a high concentration of unserved or under served populations.

Funding for the UCEDDs program during the last five years has been as follows:

2001	\$21,800,000
2002	\$24,000,000
2003	\$24,962,000
2004	\$26,803,000
2005	\$31,549,000

Performance Analysis

See the Performance Analysis section under the State Councils on Developmental Disabilities program.

Rationale for the Budget Request

The 2006 request is the same as the FY 2005 enacted level. This request will continue to provide operational and administrative support to maintain a national network of UCEDDs and build upon current activities.

Resource and Program Data
University Centers for Excellence in Developmental Disabilities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	\$26,803,000	\$31,000,000	\$31,000,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	367,000	479,000	500,000
Program Support ¹	300,000	70,000	48,000
Total, Resources	\$26,803,000	\$31,549,000	\$31,548,000
<u>Program Data:</u>			
Number of Grants	61	62	62
New Starts:			
#	2	1	0
\$	\$857,000	\$500,000	0
Continuations:			
#	59	61	62
\$	\$25,279,000	\$30,500,000	\$31,000,000
Contracts:			
#	2	2	2
\$	\$666,661	\$549,000	\$548,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for grant/panel review costs.

VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES

Authorizing Legislation – Sections 264 and 292 of the Help America Vote Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$14,912,000	\$14,879,000	\$14,879,000	\$0

2006 Authorization....\$15,000,000 (as requested in the proposed reauthorization of the program).

Statement of the Budget Request

The 2006 request of \$14,879,000 will provide funding to make payments available to eligible states and territories for carrying out the Voting Access for Individuals with Disabilities grant program.

Program Description

The Voting Access for Individuals with Disabilities grant program was authorized by the Help America Vote Act (HAVA), P.L. 107-252. HAVA contains three grant programs that will enable a grantee to establish, expand, and improve access to and participation in the election process by individuals with the full range of disabilities. Two of these are formula grants, one to states and territories to improve accessibility in the voting process, the other to state Protection and Advocacy Systems (P&A's) to assist individuals with disabilities in the voting process. Of the funds for P&A's, seven percent was set aside for the third grant program, a discretionary grant program for the provision of training and technical assistance to assist P&A's in FY 2005. Any applicant who meets the eligibility requirements for either formula grant program must receive a payment.

Funding for the Voting Access for Individuals with Disabilities program has been as follows:

2003	\$15,000,000
2004	\$14,912,000
2005	\$14,879,008

Performance Analysis

No performance measures have been established for the Voting Access for Individuals with Disabilities grant program.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. These funds will continue assisting 113 grantees in: (1) making polling places, including the path of travel, entrances, exits, and voting areas of each polling facility accessible to individuals with disabilities, including the blind and visually impaired, in a manner that provides the same opportunity for access and participation (including privacy and independence) as for other voters; and (2) providing individuals with disabilities and the other individuals described in (1) with information about the accessibility of polling places, including outreach programs to inform the individuals about the availability of accessible polling places and training election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with disabilities in elections for federal office. The Administration's proposal to reauthorize the program would allow the American Indian Consortium Protection and Advocacy (P&A) System to be eligible for funding on the same basis as state P&A's.

Resource and Program Data
Voting Access for Individuals with Disabilities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$14,911,000	\$14,879,000	\$14,879,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$14,911,000	\$14,879,000	\$14,879,000
<u>Program Data:</u>			
Number of Grants	113	113	114
New Starts:			
#	113	113	114
\$	\$14,911,000	\$14,879,000	\$14,879,000
Continuations:			
#	0	0	0
\$	\$0	\$0	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Voting Access for Individuals with Disabilities - States (CFDA #93.617)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$130,082	\$128,596	\$128,596	\$0
Alaska	100,000	100,000	100,000	0
Arizona	153,186	153,942	153,942	0
Arkansas	100,000	100,000	100,000	0
California	987,864	987,918	987,917	-1
Colorado	129,160	128,791	128,791	0
Connecticut	99,606	100,367	100,367	0
Delaware	100,000	100,000	100,000	0
District of Columbia	100,000	100,000	100,000	0
Florida	493,896	496,335	496,335	0
Georgia	242,190	242,122	242,122	0
Hawaii	100,000	100,000	100,000	0
Idaho	100,000	100,000	100,000	0
Illinois	359,757	357,156	357,156	0
Indiana	175,689	174,040	174,040	0
Iowa	86,174	100,000	100,000	0
Kansas	100,000	100,000	100,000	0
Kentucky	121,687	118,395	118,395	0
Louisiana	126,910	125,791	125,791	0
Maine	100,000	100,000	100,000	0
Maryland	156,982	156,570	156,570	0
Massachusetts	191,096	187,479	187,479	0
Michigan	287,933	285,828	285,828	0
Minnesota	145,025	144,433	144,433	0
Mississippi	100,000	100,000	100,000	0
Missouri	164,561	162,874	162,874	0
Montana	100,000	100,000	100,000	0
Nebraska	100,000	100,000	100,000	0
Nevada	100,000	100,000	100,000	0
New Hampshire	100,000	100,000	100,000	0
New Jersey	248,775	246,625	246,625	0
New Mexico	100,000	100,000	100,000	0
New York	559,850	555,556	555,556	0
North Carolina	240,630	239,539	239,538	-1
North Dakota	100,000	100,000	100,000	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	328,780	326,742	326,742	0
Oklahoma	100,857	100,000	100,000	0
Oregon	102,637	102,733	102,733	0
Pennsylvania	364,590	361,395	361,394	-1
Rhode Island	100,000	100,000	100,000	0
South Carolina	120,406	118,395	118,395	0
South Dakota	100,000	100,000	100,000	0
Tennessee	169,084	168,564	168,564	0
Texas	603,473	601,834	601,834	0
Utah	100,000	100,000	100,000	0
Vermont	100,000	100,000	100,000	0
Virginia	212,254	211,785	211,784	-1
Washington	175,359	175,675	175,674	-1
West Virginia	100,000	100,000	100,000	0
Wisconsin	157,941	156,895	156,895	0
Wyoming	100,000	100,000	100,000	0
Subtotal	9,536,434	9,516,375	9,516,370	-5
American Samoa	100,000	100,000	100,000	0
Guam	100,000	100,000	100,000	0
Puerto Rico	104,566	102,963	102,963	0
Virgin Islands	100,000	100,000	100,000	0
Subtotal	404,566	402,963	402,963	0
Total States/Territories	9,941,000	9,919,338	9,919,333	-5
TOTAL RESOURCES	\$9,941,000	\$9,919,338	\$9,919,333	-\$5

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Voting Access for Individuals with Disabilities - P & A (CFDA #93.618)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$70,000	\$70,000	\$70,000	\$0
Alaska	70,000	70,000	70,000	0
Arizona	70,000	70,000	70,000	0
Arkansas	70,000	70,000	70,000	0
California	350,789	349,292	349,292	0
Colorado	70,000	70,000	70,000	0
Connecticut	70,000	70,000	70,000	0
Delaware	70,000	70,000	70,000	0
District of Columbia	70,000	70,000	70,000	0
Florida	166,954	167,528	167,528	0
Georgia	85,510	85,488	85,488	0
Hawaii	70,000	70,000	70,000	0
Idaho	70,000	70,000	70,000	0
Illinois	125,877	124,555	124,555	0
Indiana	70,000	70,000	70,000	0
Iowa	70,000	70,000	70,000	0
Kansas	70,000	70,000	70,000	0
Kentucky	70,000	70,000	70,000	0
Louisiana	70,000	70,000	70,000	0
Maine	70,000	70,000	70,000	0
Maryland	70,000	70,000	70,000	0
Massachusetts	70,000	70,000	70,000	0
Michigan	100,394	99,223	99,222	-1
Minnesota	70,000	70,000	70,000	0
Mississippi	70,000	70,000	70,000	0
Missouri	70,000	70,000	70,000	0
Montana	70,000	70,000	70,000	0
Nebraska	70,000	70,000	70,000	0
Nevada	70,000	70,000	70,000	0
New Hampshire	70,000	70,000	70,000	0
New Jersey	85,809	85,032	85,032	0
New Mexico	70,000	70,000	70,000	0
New York	191,378	188,898	188,898	0
North Carolina	83,112	82,757	82,757	0
North Dakota	70,000	70,000	70,000	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	114,089	112,568	112,568	0
Oklahoma	70,000	70,000	70,000	0
Oregon	70,000	70,000	70,000	0
Pennsylvania	123,220	121,720	121,719	-1
Rhode Island	70,000	70,000	70,000	0
South Carolina	70,000	70,000	70,000	0
South Dakota	70,000	70,000	70,000	0
Tennessee	70,000	70,000	70,000	0
Texas	217,570	217,724	217,724	0
Utah	70,000	70,000	70,000	0
Vermont	70,000	70,000	70,000	0
Virginia	72,863	72,708	72,707	-1
Washington	70,000	70,000	70,000	0
West Virginia	70,000	70,000	70,000	0
Wisconsin	70,000	70,000	70,000	0
Wyoming	70,000	70,000	70,000	0
Subtotal	4,447,565	4,437,493	4,437,490	-3
Indian Tribes	0	0	0	0
American Samoa	35,000	35,000	35,000	0
Guam	35,000	35,000	35,000	0
Puerto Rico	70,000	70,000	70,000	0
Virgin Islands	35,000	35,000	35,000	0
Subtotal	175,000	175,000	175,000	0
Total States/Territories	4,622,565	4,612,493	4,612,490	-3
Technical Assistance	347,935	347,177	347,177	0
Subtotal Adjustments	347,935	347,177	347,177	0
TOTAL RESOURCES	\$4,970,500	\$4,959,670	\$4,959,667	-\$3

NATIVE AMERICAN PROGRAMS

Authorizing Legislation – Legislation to reauthorize section 816 of the Native American Programs Act of 1974 is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$45,157,000	\$44,786,000	\$44,780,000	-\$6,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The 2006 request of \$44,780,000 for Native American Programs will meet the critical needs of Native American children and families by providing competitive community-based project funding for social and economic development activities.

Program Description

The programs authorized under the Native American Programs Act, promote the goal of social and economic self-sufficiency by serving all Native Americans, including over 556 federally recognized Tribes, 60 State recognized Tribes and all Indian and Alaska Native organizations, Native Hawaiian communities, and Native populations throughout the Pacific basin. The Native American Program assists Tribal and Village governments, Native American institutions and organizations in their efforts to support and develop stable, diversified local economies. Competitive grant project funding is leverage by Tribes and non-profit organizations to develop and implement sustainable community-based social and economic programs and services that will reduce future generational dependency on public funds. The Native American Programs Act provides project funding for:

- training and services that support and assist Native Americans with disabilities, the elderly, at risk youth, and that promote healthy family relationships and lifestyles;
- promoting infrastructure and business activities, professional capacity building skills, training, entrepreneurship, and financial literacy that will support the development of a private sector economy to create employment and educational options and opportunities for native people;
- encouraging, promoting and implementing the development of environmental laws, codes and ordinances, training and enforcement programs that will support native communities in the management of natural resources; and
- preserving native languages.

Funding for the Native American Programs during the last five years has been as follows:

2001	\$45,989,000
2002	\$45,826,000
2003	\$45,457,000
2004	\$45,157,000
2005	\$44,786,000

Performance Analysis

Until recently ANA did not have the capacity or systems in place to track performance-based indicators, such as jobs, project outcomes and community impacts. In FY 2003 ANA began to automate its data collection processes, and develop an Impact Evaluation tool. The Native American program now has a data collection system in place that provides information on the effectiveness of training and technical assistance and the community impact of funded grant projects. In FY 2004, the Native American Program streamlined its training and technical assistance, added project development components, standardized and implemented project impact indicators for all funded grantees, and began to assess its grant portfolio using the Impact Evaluation tool.

In FY 2004 the Native American program conducted an impact evaluation pilot and reviewed twenty active projects in their second and third year of performance. From these twenty projects, the Native American Program documented 133 partnerships and collaborations, the creation of 334 additional jobs, the generation of business and program revenue of over \$12 million, and the leveraging of funds over the required non-federal share of approximately \$5 million. There is one performance measure, to increase the number of jobs created or retained in Native communities through the delivery of capacity building resources, partnerships, and the leveraging of limited resources. Baseline data are being developed.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. These funds will be used to continue to support activities that cover a wide range of community-based social and economic development projects that emphasize self-sufficiency; to ensure the preservation and enhancement of Native American languages; and to enable tribes to plan, develop and implement environmental programs and laws.

Resource and Program Data
Native American Programs

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	38,442,660	38,135,000	38,135,000
Research/Evaluation	183,000	185,000	185,000
Demonstration/Development			
Training/Technical Assistance	4,197,917	4,164,000	4,164,000
Program Support ¹	2,330,423	2,302,000	2,296,000
Total, Resources	\$45,154,000	\$44,786,000	\$44,780,000
<u>Program Data:</u>			
Number of Grants	212	202	204
New Starts:			
#	130	128	129
\$	\$23,976,349	\$24,527,000	\$24,327,000
Continuations:			
#	82	74	75
\$	\$13,960,651	\$13,930,000	\$13,960,000
Contracts:			
#	6	5	5
\$	\$5,814,000	\$5,005,000	\$5,153,000
Interagency Agreements:			
#	2	3	3
\$	\$1,403,000	\$1,324,000	\$1,340,000

¹ Includes funding for application processing, grant/panel reviews, and contractor fees.

SOCIAL SERVICES RESEARCH AND DEMONSTRATION

Authorizing Legislation – Section 1110 of the Social Security Act and Section 241 of the Public Health Service Act.

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Budget Authority	\$13,168,000	\$26,012,000	\$0	-\$26,012,000
PHS Evaluation Funds	6,000,000	6,000,000	6,000,000	0
Total Program Level	\$19,168,000	\$32,012,000	\$6,000,000	-\$26,012,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$6,000,000 for Social Services Research and Demonstration to be funded through PHS Evaluation Funds will provide funding to support cutting-edge research and evaluation projects in areas of critical national interest.

Program Description

Social Services Research and Demonstration funds support research and evaluation efforts that address the goals of: 1) increased stability and economic independence for American families; 2) improved healthy development of children and youth; and 3) services that are more effective, cost less, and respond better to customer needs.

Projects are conducted through grants, contracts, and cooperative agreements. Evaluation results, policy implications, and data from projects are disseminated to other Federal agencies, states, Congress, researchers and others through publications (including final reports and information memoranda), the Internet, conferences, and workshops. For example, over the last several years, evaluations and projects were funded exploring topics such as: welfare-to-work strategies for the hard-to-employ; programs to strengthen family relationships and promote healthy marriage related to the family formation goals of PRWORA; and continuing state welfare reform efforts.

Funding for Social Services Research and Demonstration during the past five years is as follows:

2001	\$38,096,000
2002	\$30,918,000
2003	\$34,749,000
2004	\$19,168,000

2005 \$32,012,000

Performance Analysis

No performance measures have been established for Social Services Research and Demonstration.

Rationale for the Budget Request

The FY 2006 budget request for Social Services Research and Demonstration is \$6,000,000, to be funded entirely with PHS Evaluation Funds as authorized in section 241 of the Public Health Service Act. This request assumes separate full funding for research and evaluation activities specified and pre-appropriated in the proposed reauthorization of the Temporary Assistance for Needy Families (TANF) program and related provisions of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA).

Resource and Program Data
Social Services Research and Demonstration

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary			
Research/Evaluation ¹	\$13,104,560	\$25,174,000	\$5,175,000
Demonstration/Development	3,148,500	3,496,000	500,000
Training/Technical Assistance	1,165,995	1,771,000	200,000
Program Support ²	1,250,945	1,571,000	125,000
Total, Resources	\$18,670,000	\$32,012,000	\$6,000,000
<u>Program Data:</u>			
Number of Grants	17	120	3
New Starts:			
#	10	120	2
\$	\$5,344,000	\$21,000,000	\$300,000
Continuations:			
#	7	0	1
\$	\$1,500,000	\$0	\$200,000
Contracts:			
#	12	10	3
\$	\$11,036,000	\$10,669,000	\$5,375,000
Interagency Agreements:			
#	7	4	3
\$	\$790,000	\$343,000	\$125,000

¹ Congressional earmarks account for \$12,670,000 of the FY 2004 appropriated funds and for \$26,012,000 in FY 2005.

² Includes funding for information technology support, contract processing fees, conference fees and printing costs.

COMPASSION CAPITAL FUND

Authorizing Legislation – Section 1110 of the Social Security Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$47,702,000	\$54,549,000	\$100,000,000	+\$45,451,000

2006 Authorization....\$100,000,000.

Statement of the Budget Request

The FY 2006 budget request of \$100,000,000 for the Compassion Capital Fund will help faith-based and community organizations increase their effectiveness and enhance their ability to provide social services to those most in need.

Program Description

The Compassion Capital Fund, created in 2002 is a key component of the President's faith-based initiative. The goal of the Compassion Capital Fund (CCF) is to help grassroots faith-based and community organizations maximize their social impact as they provide services to those most in need. The CCF currently administers two discretionary grant programs:

- The CCF Demonstration program provides funding for intermediary organizations in well-defined geographic locations with experience in providing training and technical assistance to smaller faith and community-based organizations in their communities. These intermediary organizations serve as a bridge between the Federal government and the grassroots faith-based and community organizations that the CCF Demonstration program is designed to assist. Intermediary organizations provide two services within their communities: (1) capacity-building training and technical assistance to faith-based and community organizations; and (2) financial support--through sub-awards--to some subset of the organizations receiving training and technical assistance. These capacity-building activities are designed to increase an organization's sustainability and effectiveness, enhance its ability to provide social services, diversify its funding sources, and create collaborations to better serve those most in need.
- The CCF Targeted Capacity Building program provides funding for grassroots faith-based and community organizations that address the needs of distressed communities. CCF Targeted Capacity Building program funds capacity-building activities that produce measurable impact resulting in more sustainable organizations. By addressing issues that are critical to the long-term viability of

the organization, non-profits are better prepared and positioned to understand and meet the needs of the communities they serve.

Funding for the Compassion Capital Fund during the past four years has been as follows:

2002	\$30,000,000
2003	\$34,773,000
2004	\$47,702,000
2005	\$54,549,000

Performance Analysis

A two-year evaluation of the Compassion Capital Fund to be completed by September 2006, will examine the services provided by intermediaries and provide an assessment of the effects of these services on the improvement of organizational capacity of faith-based and community organizations. Findings from this evaluation will allow the Administration for Children and Families to refine or enhance administration of the Compassion Capital Fund in order to better serve faith-based and community organizations and, ultimately, the families, individuals, and communities they serve.

Rationale for the Budget Request

The FY 2006 budget request for the Compassion Capital Fund is \$100,000,000, an increase of \$45,451,000 over the FY 2005 enacted level. This request fulfills the President's commitment to provide sufficient funding for the faith-based and community organizations. The additional funds will support 40 more intermediary organizations serving grassroots faith-based and community organizations nationwide under the Demonstration program and 100 more faith and community-based organizations under the Targeted Capacity Building program.

Resource and Program Data
Compassion Capital Fund

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	0	0	0
Research/Evaluation	506,000	1,518,000	0
Demonstration/Development	43,157,595	49,275,958	96,151,551
Training/Technical Assistance	122,096	920,281	1,062,600
Program Support ¹	3,916,309	2,834,761	2,785,849
Total, Resources	\$47,702,000	\$54,549,000	\$100,000,000
<u>Program Data:</u>			
Number of Grants	148	164	304
New Starts:			
#	117	140	250
\$	11,975,564	33,000,000	59,323,140
Continuations:			
#	31	24	54
\$	31,182,031	16,275,958	36,828,411
Contracts:			
#	7	3	3
\$	4,234,460	4,729,413	3,322,534
Interagency Agreements:			
#	2	2	2
\$	307,448	543,629	525,915

¹ This includes funding for information technology support, grant/panel reviews and printing costs.

COMMUNITY SERVICES BLOCK GRANT

Authorizing Legislation – Authority expired on September 30, 2003. The Administration is no longer seeking reauthorization.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$641,935,000	\$636,793,000	\$0	-\$636,793,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The Administration is proposing to consolidate the Community Services Block Grant program into the Strengthening America's Communities Grant program in the Commerce Department.

Program Description

The Community Services Block Grant program provides grants to states, territories and Indian Tribes to provide services and activities to reduce poverty, including services to address employment, education, better use of available income, housing assistance, nutrition, energy, emergency services, health, and substance abuse needs. Each state submits an annual application and certifies that the state agrees to provide: (1) a range of services and activities having a measurable and potentially major impact on causes of poverty in communities where poverty is an acute problem; and (2) activities designed to assist low-income participants, including the elderly, to become self-sufficient.

Allocations are based on relative percentages of 1981 funding levels under Section 221 of the Economic Opportunity Act of 1964 as amended. The Community Services Block Grant Act requires states to pass through 90 percent of the Federal funds allocated to eligible entities, which in most cases are Community Action Agencies.

Funding for the Community Services Block Grant during the past five years has been as follows:

2001	\$599,991,000
2002	\$649,967,000
2003	\$645,762,000
2004	\$641,935,000
2005	\$636,793,000

Performance Analysis

The Community Services Block Grant program received a PART rating in 2005 of Results Not Demonstrated. The Administration is proposing to consolidate this program into a flexible grant program.

Rationale for the Budget Request

The FY 2006 budget does not request funds for the Community Services Block Grant program. The CSBG program has been unable to demonstrate results as noted in its previous PART assessment. The Administration proposes to focus economic and community development activities through a more targeted and unified program to be administered by the Department of Commerce.

Resource and Program Data
Community Services Block Grant

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$631,794,718	\$626,723,065	\$0
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	8,278,621	7,911,845	
Program Support ¹	1,837,661	2,158,090	
Total, Resources	\$641,911,000	\$636,793,000	\$0
<u>Program Data:</u>			
Number of Grants	154	157	
New Starts:			
#	135	123	
\$	637,435,716	626,873,064	
Continuations:			
#	19	34	
\$	1,574,270	6,190,270	
Contracts:			
#	8	7	
\$	1,432,105	2,060,366	
Interagency Agreements:			
#	6	3	
\$	309,745	166,300	

¹ This includes funding for information technology support, grant/panel reviews, salaries and benefits and associated overhead, printing costs and travel.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Community Services Block Grant (CFDA #93.569)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$11,715,356	\$11,620,281	n/a	n/a
Alaska	2,471,636	2,454,467	n/a	n/a
Arizona	5,216,303	5,173,970	n/a	n/a
Arkansas	8,687,015	8,616,516	n/a	n/a
California	56,962,090	56,499,724	n/a	n/a
Colorado	5,549,013	5,503,980	n/a	n/a
Connecticut	7,706,223	7,643,684	n/a	n/a
Delaware	3,380,125	3,356,645	n/a	n/a
District of Columbia	10,496,303	10,411,120	n/a	n/a
Florida	18,568,039	18,417,350	n/a	n/a
Georgia	17,185,183	17,045,717	n/a	n/a
Hawaii	3,380,125	3,356,645	n/a	n/a
Idaho	3,321,263	3,298,193	n/a	n/a
Illinois	30,179,155	29,934,237	n/a	n/a
Indiana	9,302,295	9,226,803	n/a	n/a
Iowa	6,914,279	6,858,167	n/a	n/a
Kansas	5,214,646	5,172,327	n/a	n/a
Kentucky	10,770,437	10,683,030	n/a	n/a
Louisiana	14,998,199	14,876,482	n/a	n/a
Maine	3,377,090	3,353,631	n/a	n/a
Maryland	8,766,210	8,695,068	n/a	n/a
Massachusetts	15,924,164	15,794,932	n/a	n/a
Michigan	23,600,956	23,409,423	n/a	n/a
Minnesota	7,687,948	7,625,557	n/a	n/a
Mississippi	10,161,249	10,078,786	n/a	n/a
Missouri	17,678,625	17,535,155	n/a	n/a
Montana	3,094,488	3,072,991	n/a	n/a
Nebraska	4,453,161	4,417,022	n/a	n/a
Nevada	3,380,125	3,356,645	n/a	n/a
New Hampshire	3,380,125	3,356,645	n/a	n/a
New Jersey	17,480,520	17,338,658	n/a	n/a
New Mexico	3,586,083	3,556,981	n/a	n/a
New York	55,435,913	54,986,026	n/a	n/a
North Carolina	16,751,621	16,615,675	n/a	n/a
North Dakota	3,166,465	3,144,469	n/a	n/a

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	24,903,590	24,701,486	n/a	n/a
Oklahoma	7,972,917	7,908,213	n/a	n/a
Oregon	5,091,406	5,050,087	n/a	n/a
Pennsylvania	27,047,930	26,828,424	n/a	n/a
Rhode Island	3,531,635	3,502,974	n/a	n/a
South Carolina	9,826,196	9,746,452	n/a	n/a
South Dakota	3,007,696	2,959,842	n/a	n/a
Tennessee	12,585,816	12,483,676	n/a	n/a
Texas	30,763,975	30,514,311	n/a	n/a
Utah	3,303,193	3,280,248	n/a	n/a
Vermont	3,380,125	3,356,645	n/a	n/a
Virginia	10,228,260	10,145,253	n/a	n/a
Washington	7,493,972	7,433,155	n/a	n/a
West Virginia	7,151,863	7,093,822	n/a	n/a
Wisconsin	7,773,234	7,710,151	n/a	n/a
Wyoming	3,380,125	3,356,645	n/a	n/a
Subtotal	597,384,361	592,558,386	0	0
Tribes	4,116,323	4,112,120	n/a	n/a
American Samoa	878,722	872,618	n/a	n/a
Guam	831,642	825,865	n/a	n/a
Northern Mariana Islands	520,984	517,365	n/a	n/a
Puerto Rico	26,913,909	26,695,490	n/a	n/a
Virgin Islands	1,148,777	1,140,797	n/a	n/a
Subtotal	34,410,357	34,164,255	0	0
Total States/Territories	631,794,718	626,722,641	0	0
Discretionary Funds	1,837,908	2,158,090	n/a	n/a
Training/Technical Assistance	8,278,621	7,911,845	n/a	n/a
Subtotal adjustments	10,116,529	10,069,935	0	0
TOTAL RESOURCES	\$641,911,247	\$636,792,576	\$0	\$0

COMMUNITY SERVICES DISCRETIONARY ACTIVITIES

Authorizing Legislation – Authority expired on September 30, 2003. The Administration is no longer seeking reauthorization.

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Community Economic Development	\$26,906,000	\$27,295,000	\$0	-\$27,295,000
Rural Community Facilities	7,184,000	7,241,000	0	-\$7,241,000
Total, B.A.	\$34,090,000	\$34,536,000	\$0	-\$34,536,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The Administration is proposing to consolidate the Community Economic Development and the Rural Community Facilities programs into the Strengthening America's Communities Grant program in the Commerce Department.

Program Description

Community Services discretionary grants are provided to private, locally-initiated community development corporations which sponsor enterprises providing employment, training, and business development opportunities for low-income residents; to public and private non-profit agencies for activities benefiting migrant and seasonal farm workers; and to public and private organizations to carry out programs in rural housing and community facilities development.

Funding for the Community Services Discretionary Activities during the past five years has been as follows:

2001	\$29,855,000
2002	\$33,976,000
2003	\$34,825,000
2004	\$34,090,000
2005	\$34,536,000

Performance Analysis

No performance measures have been established for the Community Services Discretionary programs.

Rationale for the Budget Request

The FY 2006 budget does not request funds for the Community Services Discretionary Activities. The Administration proposes to focus economic and community development activities through a more targeted and unified program to be administered by the Department of Commerce.

Resource and Program Data
Community Economic Development

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	25,529,831	24,786,478	
Research/Evaluation	230,598	193,000	
Demonstration/Development	116,496	157,000	
Training/Technical Assistance	269,060	272,949	
Program Support ¹	760,015	1,885,573	
Total, Resources	\$26,906,000	\$27,295,000	\$ 0
<u>Program Data:</u>			
Number of Grants	60	39	
New Starts:			
#	48	24	
\$	20,488,900	16,035,478	
Continuations:			
#	12	15	
\$	5,309,991	9,023,949	
Contracts:			
#	5	7	
\$	806,909	1,740,573	
Interagency Agreements:			
#	1	2	
\$	300,200	495,000	

¹ This includes funding for information technology support, grant/panel reviews, IAAs and printing costs.

Resource and Program Data
Rural Community Facilities

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	7,151,161	7,014,000	
Research/Evaluation		140,000	
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	32,839	87,000	
Total, Resources	\$7,184,000	\$7,241,000	\$0
<u>Program Data:</u>			
Number of Grants	8	8	
New Starts:			
#	0	8	
\$	0	7,014,000	
Continuations:			
#	8	0	
\$	7,151,161	0	
Contracts:			
#	1	2	
\$	9,639	204,500	
Interagency Agreements:			
#	2	2	
\$	23,200	22,500	

¹ This includes funding for information technology support, grant/panel reviews and printing costs.

JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS (JOLI)

Authorizing Legislation – Section 505 of the Family Support Act of 1998 and Section 112 of the Personal Responsibility and Work Opportunity Reconciliation Act 1996.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$5,432,000	\$5,436,000	\$0	-\$5,436,000

2006 Authorization....\$25,000,000.

Statement of the Budget Request

The Administration is not requesting funding for the Job Opportunities for Low-Income Individuals program.

Program Description

The Job Opportunities for Low-Income Individuals (JOLI) program provides grants on a competitive basis to non-profit organizations to create new employment and business opportunities for TANF recipients, and other low-income individuals through projects that include self-employment and micro-enterprise, expansion of existing businesses, new business ventures and strategies of developing or creating new jobs or employment opportunities.

Funding for the Job Opportunities for Low-Income Individuals program during the past five years has been as follows:

2001	\$5,500,000
2002	\$5,500,000
2003	\$5,464,000
2004	\$5,432,000
2005	\$5,436,000

Performance Analysis

No performance measures have been established for the Job Opportunities for Low-Income Individuals program.

Rationale for the Budget Request

The FY 2006 request for the Job Opportunities for Low-Income Individuals (JOLI) program is zero. This program duplicates activities that can be funded by states under the TANF program and the Strengthening America's Communities Grant program in the Commerce Department.

Resource and Program Data
Job Opportunities for Low-Income Individuals (JOLI)

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	4,976,168	4,936,160	
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	148,755	149,001	
Program Support ¹	307,077	350,839	
Total, Resources	\$5,432,000	\$5,436,000	\$0
<u>Program Data:</u>			
Number of Grants	12	10	
New Starts:			
#	12	10	
\$	4,976,168	4,936,160	
Continuations:			
#	0	0	
\$	0	0	
Contracts:			
#	3	2	
\$	405,832	477,340	
Interagency Agreements:			
#	1	2	
\$	50,000	22,500	

¹ This includes funding for information technology support, grant/panel reviews and printing costs.

NATIONAL YOUTH SPORTS

Authorizing Legislation – Authority expired on September 30, 2003. The Administration is no longer seeking reauthorization.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$17,894,000	\$17,856,000	\$0	-\$17,856,000

2006 Authorization.... Such sums as may be appropriated.

Statement of the Budget Request

The Administration is not requesting funding for the National Youth Sports program.

Program Description

The National Youth Sports program issues a grant to an eligible service provider to administer national or regional programs to provide instructional activities for low-income youth.

Funding for the National Youth Sports program during the last five years has been as follows:

2001	\$16,000,000
2002	\$17,000,000
2003	\$16,890,000
2004	\$17,894,000
2005	\$17,856,000

Performance Analysis

No performance measures have been established for the National Youth Sports program.

Rationale for the Budget Request

The 2006 request for the National Youth Sports program is zero. Similar programs can be funded under the Social Services Block Grant.

Resource and Program Data
National Youth Sports

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	17,894,000	17,856,000	
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$17,894,000	\$17,856,000	\$0
<u>Program Data:</u>			
Number of Grants	1	1	
New Starts:			
#	0	0	
\$	0	0	
Continuations:			
#	1	1	
\$	17,894,000	17,856,000	
Contracts:			
#	0	0	
\$	0	0	
Interagency Agreements:			
#	0	0	
\$	0	0	

COMMUNITY FOOD AND NUTRITION

Authorizing Legislation – Authority expired on September 30, 2003. The Administration is no longer seeking reauthorization.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$7,238,000	\$7,180,000	\$0	-\$7,180,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The Administration is not requesting funding for the Community Food and Nutrition program.

Program Description

The Community Food and Nutrition program provides grants to public and private agencies at the local and State level to: (1) coordinate existing food assistance resources; (2) assist in identifying sponsors of child nutrition programs and initiate new programs in under-served and unserved areas; and (3) develop innovative approaches at the State and local level to meet the nutrition needs of low-income people.

The authorizing legislation mandates that 60 percent of the amount appropriated, up to \$6,000,000, is to be allocated to States for statewide programs and 40 percent is to be awarded on a competitive basis. Under current law, amounts appropriated in excess of \$6,000,000 are allotted as followed: (1) 40 percent of such excess is to be allotted to eligible agencies for statewide grants; (2) 40 percent of such excess is to be awarded on a competitive basis for local and statewide programs; and (3) 20 percent of such excess is to be awarded on a competitive basis for nationwide programs, including programs benefiting Native Americans and migrant farm workers.

Funding for the Community Food and Nutrition program during the past five years has been as follows:

2001	\$6,314,000
2002	\$7,312,000
2003	\$7,281,000
2004	\$7,238,000
2005	\$7,180,000

Performance Analysis

No performance measures have been established for the Community Food and Nutrition program.

Rationale for the Budget Request

The 2006 request for the Community Food and Nutrition program is zero. States currently receive similar assistance through programs administered by the Department of Agriculture's Food and Nutrition Service.

Resource and Program Data
Community Food and Nutrition

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	4,095,217	\$4,072,000	\$0
Discretionary	2,539,773	2,556,203	
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	553,010	551,797	
Total, Resources	\$7,188,000	\$7,180,000	\$0
<u>Program Data:</u>			
Number of Grants	106	104	
New Starts:			
#	105	104	
\$	6,387,382	6,628,203	
Continuations:			
#	1	0	
\$	247,608	0	
Contracts:			
#	3	1	
\$	513,010	381,797	
Interagency Agreements:			
#	1	2	
\$	40,000	170,000	

¹ This includes funding for information technology support, grant/panel reviews and printing costs.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Community Food and Nutrition (CFDA #93.571)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$72,381	\$68,956	n/a	n/a
Alaska	15,000	15,000	n/a	n/a
Arizona	80,858	77,032	n/a	n/a
Arkansas	56,624	53,944	n/a	n/a
California	541,801	516,161	n/a	n/a
Colorado	54,119	51,558	n/a	n/a
Connecticut	33,878	32,275	n/a	n/a
Delaware	15,000	15,000	n/a	n/a
District of Columbia	15,000	15,000	n/a	n/a
Florida	230,896	219,968	n/a	n/a
Georgia	105,290	100,307	n/a	n/a
Hawaii	15,399	15,000	n/a	n/a
Idaho	16,953	16,151	n/a	n/a
Illinois	189,407	180,443	n/a	n/a
Indiana	66,363	63,222	n/a	n/a
Iowa	31,185	29,709	n/a	n/a
Kansas	31,967	30,454	n/a	n/a
Kentucky	63,566	60,557	n/a	n/a
Louisiana	83,608	79,652	n/a	n/a
Maine	19,233	18,322	n/a	n/a
Maryland	49,033	46,712	n/a	n/a
Massachusetts	77,759	74,079	n/a	n/a
Michigan	140,091	133,462	n/a	n/a
Minnesota	42,242	40,243	n/a	n/a
Mississippi	54,062	51,504	n/a	n/a
Missouri	65,308	62,217	n/a	n/a
Montana	15,000	15,000	n/a	n/a
Nebraska	20,109	19,157	n/a	n/a
Nevada	22,331	21,275	n/a	n/a
New Hampshire	15,000	15,000	n/a	n/a
New Jersey	86,660	82,559	n/a	n/a
New Mexico	35,677	33,989	n/a	n/a
New York	307,318	292,774	n/a	n/a
North Carolina	134,261	127,907	n/a	n/a
North Dakota	15,000	15,000	n/a	n/a

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	132,839	126,552	n/a	n/a
Oklahoma	54,223	51,656	n/a	n/a
Oregon	47,714	45,456	n/a	n/a
Pennsylvania	137,511	131,003	n/a	n/a
Rhode Island	15,000	15,000	n/a	n/a
South Carolina	65,892	62,774	n/a	n/a
South Dakota	15,000	15,000	n/a	n/a
Tennessee	94,751	90,266	n/a	n/a
Texas	380,170	362,178	n/a	n/a
Utah	26,645	25,384	n/a	n/a
Vermont	15,000	15,000	n/a	n/a
Virginia	78,136	74,438	n/a	n/a
Washington	81,970	78,090	n/a	n/a
West Virginia	31,411	29,924	n/a	n/a
Wisconsin	56,916	54,223	n/a	n/a
Wyoming	15,000	15,000	n/a	n/a
Subtotal	4,066,557	3,881,533	0	0
American Samoa	3,319	3,166	n/a	n/a
Guam	3,323	3,170	n/a	n/a
Northern Mariana Islands	3,025	2,885	n/a	n/a
Puerto Rico	15,656	178,102	n/a	n/a
Virgin Islands	3,337	3,183	n/a	n/a
Subtotal	28,660	190,506	0	0
Total States/Territories	4,095,217	4,072,039	0	0
Discretionary Funds	3,092,824	3,108,057	n/a	n/a
Subtotal adjustments	3,092,824	3,108,057	0	0
TOTAL RESOURCES	\$7,188,041	\$7,180,096	\$0	\$0

INDIVIDUAL DEVELOPMENT ACCOUNTS

Authorizing Legislation – Legislation to reauthorize Section 416 of the Assets for Independence Act is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$24,695,000	\$24,704,000	\$24,699,000	-\$5,000

2006 Authorization....\$25,000,000.

Statement of the Budget Request

The 2006 budget request of \$24,699,000 for the Individual Development Accounts (IDA) program will provide on-going support for the IDA program, thereby allowing low-income individuals and families to save money and increase economic self-sufficiency.

Program Description

The Individual Development Accounts demonstration program will help determine: (1) the social, civic, psychological and economic effects of providing to individuals and families with limited means an incentive to accumulate assets by saving a portion of their earned income; (2) the extent to which an asset-based policy that promotes saving for post-secondary education, home ownership, and micro-enterprise development may be used to enable individuals and families with limited means to increase their economic self-sufficiency; and (3) the extent to which an asset-based policy stabilizes and improves families. This demonstration program supports the work that states and community-based organizations are doing in support of IDAs and other asset-based development strategies.

Non-profit 501(c)(3) organizations are eligible to apply for the funds as individual organizations or jointly. In addition, a state or local government agency, or a tribal government may submit an application jointly with one or more non-profit organizations. Collaboration with a financial institution or for-profit community development corporation is permitted. Those states that have made at least a one million dollar commitment (with non-Federal funds) to a statewide IDA program as of the date of enactment are eligible for direct funding from the Department of Health and Human Services. “Grandfathered” states do not have to comply with certain sections of the Act.

Applicants must raise private and public (non-Federal) funds to receive a Federal grant. The leveraging requirement is effectively a one-to-one ratio in that the Federal grant cannot exceed the non-Federal funds raised for the project, nor can Federal matches into IDAs exceed the non-Federal matches. The maximum federal grant is two million dollars per year.

Funding for the Individual Development Accounts program during the past five years has been as follows:

2001	\$24,891,000
2002	\$24,943,000
2003	\$24,827,000
2004	\$24,695,000
2005	\$24,704,000

Performance Analysis

The Assets for Independence Program has a multi-part developmental outcome measure as follows: Increase in the degree of economic self-sufficiency and stability among project participants as indicated by: (1) Increase in the percentage of project participants whose annual reported household income is greater than 200% of federal poverty level; (2) Increase in the average balance of project participant individual development accounts during the saving period (including qualified withdrawals during the period); and (3) Increase in the percentage of project participants who acquire an eligible asset within 6 months of the end of the saving period (first home, higher education, micro-business or transfer). Data for this measure will be reported in FY 2006.

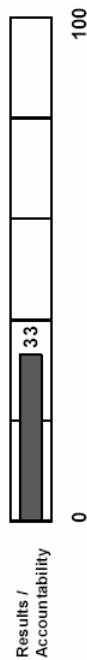
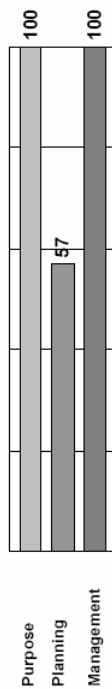
A PART review was conducted as part of the FY 2006 budget process, and the PART Summary and PART Recommendation charts follow the Rationale for the Budget Request.

Rationale for the Budget Request

The 2006 request for the Individual Development Accounts program is \$24,699,000, a decrease of \$5,000 from the FY 2005 enacted level. This request will fund an estimated 62 grants to provide on-going support for the IDA program, thereby allowing additional low-income individuals and families to save money and increase economic self-sufficiency.

Program: Assets for Independence

Agency: Department of Health and Human Services
Bureau: Administration for Children and Families



Key Performance Measures from Latest PART			
	Year	Target	Actual
Long-term Measure: Measure Under Development			
Annual Measure: Measure Under Development			
Annual Efficiency Measure: Measure Under Development			

Rating: Adequate

Program Type: Competitive Grant

Program Summary:

The Assets for Independence (AFI) Program supports more than 250 projects across the country that are demonstrating the federal asset-based policy of encouraging low-income families to save earnings in Individual Development Accounts (IDAs). IDAs are matched savings accounts designed to help low-income and low-wealth families accumulate savings for high return investments in long-term assets such as a house, higher education or a small business.

The assessment found that the program addresses a specific problem and supports a national impact evaluation to determine whether the policy helps families become economically self-sufficient; however it lacks partner-supported performance measures with baselines and ambitious targets. Additional findings include:

- Recent research indicates that a quarter of American households are "asset poor," meaning the individuals and families have insufficient financial resources to support them at the poverty level for three months (during a suspension of income).
- Since its inception, the AFI Program has opened over 12,000 IDA accounts and deposited over \$7 million, thus helping to address the problem of asset poverty.
- While AFI grantees must report on individual goals and measures, the federal program has not established annual and long-term program-wide performance measures for grantees to commit to and work towards.

In response to these findings, the Administration will:

- Work with the agency to develop grantee-supported performance outcome measures and to demonstrate improved efficiencies or cost effectiveness.
- Support the Reauthorization of the Assets for Independence Act and work with the agency and the Congress to make legislative improvements in the program.

Program Funding Level (in millions of dollars)

2004 Actual	2005 Estimate	2006 Estimate
25	25	25

Assets for Independence				
1.	Recommendation	Completion Date	On Track? (Y/N)	Comments on Status
	Begin to produce tracking data for its outcome measure.		Y	Meetings/consultations with grantees on outcome measure and data sources held September 2004
	Next Milestone	Next Milestone Date	Lead Organization	Lead Official
	Produce first round of data for the 3-part outcome measure.	09/30/2005	OCS/AFI	Robert Mott

Resource and Program Data
Individual Development Accounts

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary			
Research/Evaluation	506,000	506,000	506,000
Demonstration/Development	20,625,694	20,494,768	20,480,231
Training/Technical Assistance	1,676,506	1,800,000	1,800,000
Program Support ¹	1,866,800	1,903,232	1,912,769
Total, Resources	\$24,675,000	\$24,704,000	\$24,699,000
<u>Program Data:</u>			
Number of Grants	62	62	62
New Starts:			
#	62	62	62
\$	19,525,694	19,994,768	19,980,231
Continuations:			
#	0	0	0
\$	0	0	0
Contracts:			
#	6	6	6
\$	4,333,847	3,647,032	3,643,569
Interagency Agreements:			
#	3	3	3
\$	315,315	501,200	501,200

¹ This includes funding for information technology support, grant/panel reviews, salaries and benefits and associated overhead and printing costs.

FAMILY VIOLENCE PREVENTION AND SERVICES/BATTERED WOMEN'S SHELTERS

Authorizing Legislation — Section 310 of the Family Violence Prevention and Services Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$125,648,000	\$125,630,000	\$125,991,000	+\$361,000

2006 Authorization....\$175,000,000

Statement of the Budget Request

The FY 2006 budget request includes \$125,991,000 to provide on-going support for the critical core services to individuals and families impacted by domestic violence.

Program Description

The Family Violence Prevention and Services program provides grants to states and Indian Tribes to support programs and projects that work to prevent incidents of family violence, provide immediate shelter and related assistance for victims of family violence and their dependents, and provide resources to programs that provide prevention services for perpetrators. These grants are made in support of the strategic goal of healthy, safe and supportive communities and tribes and the performance goal of building these healthy, safe and supportive communities to increase the ability of family violence victims to plan for safety.

By statute, 70 percent of Family Violence funds are awarded in grants to states and territories. State grants are allocated based on the state's population. Grants to territories and insular areas are up to one-eighth of one percent of the amounts available for grants for that fiscal year.

The Act specifies that a state may keep five percent of its allotment for administrative costs and must distribute the remaining funds to local public agencies and non-profit private organizations, including religious and charitable organizations and voluntary associations. Seventy percent of a state's funds must be used to provide immediate shelter and related assistance to victims of family violence and their dependents. Most states exceed the 70 percent requirement. States may use the remaining funds to: establish new shelters in under-served areas; expand counseling, self-help, and substance abuse referral services; set up demonstrations programs, e.g., elder abuse shelters; or, provide training for staff and volunteers.

By statute, 10 percent of Family Violence funds are allocated for grants to Indian Tribes and tribal organizations. The amount of the Indian grants is based on the population of the tribe. Tribes use these funds primarily for emergency shelter and related assistance. These grants have assisted tribes in focusing on and improving services to victims and their families. Some tribes also have used these funds for public education efforts to break the patterns of family violence.

State Domestic Violence Coalitions receive 10 percent of the appropriation to further the purposes of domestic violence intervention and prevention. State Domestic Violence Coalitions are membership organizations for all shelters in the state. The grants to the coalitions support technical assistance efforts with their membership and other related service providers. The grants also support training and related collaborative efforts with other social services sectors, e.g. law enforcement, health, education and welfare.

The network of Information and Technical Assistance Centers (the National Resource Center and the Special Issue Resource Centers) receives five percent of the appropriation to provide information, technical assistance and training to federal, state, Indian and local domestic violence agencies and other professionals and individuals in the field. The purpose of this network (a network of five domestic violence resource centers: National Resource Center on Domestic Violence; Resource Center on Civil and Criminal Law a.k.a. Battered Women's Justice Project; Health Resource Center on Domestic Violence; Resource Center on Child Protection and Custody; and Resource Center for Indian Tribes and Tribal Organizations) is to strengthen the existing support systems serving battered women, their children and other victims of domestic violence. The network also provides comprehensive information and resources, policy development, and technical assistance designed to enhance prevention of and community response to domestic violence.

The statute also authorizes funds for activities relating to the issue of family violence through grants, contracts or interagency agreements. Under this authority, grants were awarded to:

- Support collaborative efforts between faith-based community organizations and the domestic violence community;
- Historical black colleges and universities, Hispanic-serving colleges and universities, and tribal colleges and universities to support social work graduate student practicum's in domestic violence;
- Projects demonstrating protocols and training approaches that are useful to organizations and agencies providing services to immigrant and battered women and projects between child protective service agencies and domestic violence advocacy organizations to develop effective strategies for domestic violence services integration; and
- Domestic violence prevention service providers and advocacy organizations for public information and community awareness activities.

The Stamp Out Domestic Violence Act of 2001 created a domestic violence semi-postal stamp to increase public awareness about domestic violence and its impact on families. Proceeds from the sale of the Stop Family Violence Stamp are transferred from the United States Postal Service to the Department of Health and Human Services to augment funding in this program. The proceeds from the semi-postal stamp will fund grants to domestic violence service providers throughout the nation. This funding will enable domestic violence service programs to provide additional shelter space and enhanced emergency services to children who have been exposed to

domestic violence. These services would include a range of programs designed to address the needs of these children.

Funding for the Family Violence Prevention and Services program during the last five years has been as follows:

2001	\$116,899,000
2002	\$124,459,000
2003	\$126,403,000
2004	\$125,648,000
2005	\$125,630,000

Performance Analysis

In FY 2004, the Family Violence Prevention and Services program did not meet the performance target to increase the number of Indian Tribes with family violence programs to 200. In FY 2004, there were 184 tribes with family violence programs. As in FY 2003, turnover in staff of the Tribal grantees and the subsequent failure to submit grant award applications in a timely manner had a negative impact on performance for FY 2004. Although we did not meet the target of 200 Indian Tribes with family violence grant awards for FY 2004, we did find that the grant awards made to several Alaskan Native Associations have promoted family violence programs in their associated communities.

To meet the FY 2005 and 2006 goal of 205 federally recognized Indian Tribes with family violence prevention programs, we plan to work with the national resource center network and selected state domestic violence coalitions that will provide technical assistance activities for the Tribes. These activities will focus on capacity building and maintenance of the family violence programs.

A PART review was conducted as part of the FY 2006 budget process, and the PART Summary and PART Recommendation charts follow the Rationale for the Budget Request. The Violent Crime Reduction programs received a PART rating of Results not Demonstrated.

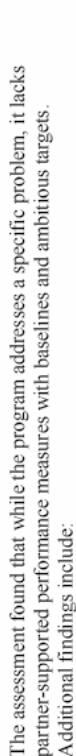
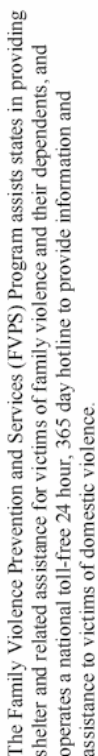
Rationale for the Budget Request

The FY 2006 budget request for the Family Violence Prevention and Services Program is \$125,991,000, an increase of \$361,000 over the 2005 enacted level. Domestic violence disrupts communities, destroys relationships, and harms hundreds of thousands of Americans each year. The requested level of funding will be used to maintain the range of services, residential and non-residential, provided by shelters, as well as \$200,000 for the national data collection efforts related to the PART recommendation discussed below.

Rating: *Results Not Demonstrated*

Program Type: Block/Formula Grant

Program Summary:



- while FVPS grantees must report on individual goals and measures, the federal program has not established annual and long-term program-wide performance measures for grantees to commit to and work towards.
- Evaluations of the shelter programs are done locally by State partners and are often met with reluctance from previous shelter clients and privacy advocates.

1. In response to these findings, the Administration will:
Provide \$200,000 in the FY06 budget to support the agency's work with the "Documenting Our Work" group to develop appropriate national grantee-supported performance outcome measures and to demonstrate improved efficiencies or cost effectiveness.
2. Work with the agency to coordinate efforts with other federal agencies to improve violent-crime reducing services.

<i>Program Funding Level (in millions of dollars)</i>		
<u>2004 Actual</u>	<u>2005 Estimate</u>	<u>2006 Estimate</u>
129	129	129

	Violent Crime Reduction: Shelters and Hotline			
1.	Recommendation	Completion Date	On Track? (Y/N)	Comments on Status
	The program will work with its partners to develop more outcome-oriented performance measures and develop a preliminary proposal for a program evaluation design.		Y	Met in September 2004. Further discussions with the DOW group and grantees will be needed
	Next Milestone	Next Milestone Date	Lead Organization	Lead Official
	Meet with "Documenting our Work" partners to develop options for intermediate outcome measures for shelters and hotline, while preserving confidentiality in these programs	Next meeting by 5/2005.	Family and Youth Services Bureau	Harry Wilson

Resource and Program Data
Family Violence Prevention and Services/Battered Women's Shelters

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$113,083,446	\$113,067,000	\$113,391,900
Resource Centers	6,282,414	6,281,500	6,299,550
Discretionary ¹	3,797,424	4,944,000	3,621,000
Research/Evaluation			
Demonstration/Development	500,000	500,000	500,000
Training/Technical Assistance	800,000	800,000	800,000
Program Support ²	1,180,716	1,370,500	1,378,550
Total, Resources ³	\$125,644,000	\$126,963,000	\$125,991,000
<u>Program Data:</u>			
Number of Grants	332	327	322
New Starts:			
#	322	322	317
\$	\$115,566,195	\$118,011,000	\$116,813,000
Continuations:			
#	10	5	5
\$	\$8,112,735	\$6,836,000	\$6,833,000
Contracts:			
#	1	1	2
\$	\$902,070	\$929,000	\$1,142,000
Interagency Agreements:			
#	3	3	3
\$	\$800,000	\$800,000	\$800,000

¹ Includes proceeds from the sale of the Stop Family Violence Stamp of \$1,333,000 in the FY 2005 enacted column.

² Includes funding for information technology support, printing, grants/panel review costs, and staff and associated overhead costs.

³ This total includes \$1,333,000 from the Stop Family Violence Stamp sales which is not shown in the header table.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Family Violence Prevention and Services/Battered Women's Shelters (CFDA #93.592)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$1,459,120	\$1,458,926	\$1,462,763	\$3,837
Alaska	723,849	723,821	724,374	553
Arizona	1,665,286	1,665,045	1,669,803	4,758
Arkansas	1,120,294	1,120,177	1,122,501	2,324
California	7,373,403	7,371,873	7,402,126	30,253
Colorado	1,468,652	1,468,456	1,472,336	3,880
Connecticut	1,264,919	1,264,769	1,267,739	2,970
Delaware	756,046	756,010	756,707	697
District of Columbia	707,541	707,517	707,997	480
Florida	3,848,663	3,847,928	3,862,439	14,511
Georgia	2,257,771	2,257,396	2,264,801	7,405
Hawaii	840,057	840,003	841,075	1,072
Idaho	860,811	860,752	861,917	1,165
Illinois	3,015,355	3,014,809	3,025,598	10,789
Indiana	1,782,647	1,782,380	1,787,662	5,282
Iowa	1,161,973	1,161,846	1,164,357	2,511
Kansas	1,119,873	1,119,756	1,122,078	2,322
Kentucky	1,386,026	1,385,848	1,389,359	3,511
Louisiana	1,458,277	1,458,083	1,461,917	3,834
Maine	849,242	849,186	850,299	1,113
Maryland	1,651,561	1,651,323	1,656,020	4,697
Massachusetts	1,828,035	1,827,758	1,833,243	5,485
Michigan	2,524,105	2,523,670	2,532,264	8,594
Minnesota	1,565,752	1,565,534	1,569,848	4,314
Mississippi	1,149,990	1,149,865	1,152,322	2,457
Missouri	1,688,893	1,688,647	1,693,511	4,864
Montana	775,159	775,119	775,902	783
Nebraska	932,002	931,927	933,410	1,483
Nevada	1,027,800	1,027,703	1,029,614	1,911
New Hampshire	845,798	845,743	846,841	1,098
New Jersey	2,248,929	2,248,556	2,255,922	7,366
New Mexico	957,833	957,752	959,351	1,599
New York	4,263,080	4,262,252	4,278,614	16,362
North Carolina	2,204,807	2,204,444	2,211,612	7,168
North Dakota	720,989	720,962	721,502	540

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	2,782,908	2,782,414	2,792,164	9,750
Oklahoma	1,270,294	1,270,143	1,273,137	2,994
Oregon	1,279,469	1,279,315	1,282,350	3,035
Pennsylvania	2,960,364	2,959,830	2,970,373	10,543
Rhode Island	805,422	805,376	806,293	917
South Carolina	1,391,624	1,391,445	1,394,981	3,536
South Dakota	745,894	745,861	746,513	652
Tennessee	1,715,095	1,714,842	1,719,823	4,981
Texas	4,822,063	4,821,109	4,839,967	18,858
Utah	1,048,857	1,048,755	1,050,760	2,005
Vermont	718,177	718,151	718,679	528
Virginia	2,009,930	2,009,611	2,015,909	6,298
Washington	1,770,393	1,770,128	1,775,356	5,228
West Virginia	945,567	945,489	947,032	1,543
Wisconsin	1,644,573	1,644,337	1,649,002	4,665
Wyoming	695,679	695,657	696,085	428
Subtotal	86,110,847	86,098,299	86,346,248	247,949
Tribes	12,564,827	12,563,000	12,599,100	36,100
American Samoa	125,649	125,630	125,991	361
Guam	125,649	125,630	125,991	361
Northern Mariana Islands	125,649	125,630	125,991	361
Puerto Rico	1,340,349	1,340,181	1,343,488	3,307
Virgin Islands	125,649	125,630	125,991	361
Subtotal	14,407,772	14,405,701	14,446,552	40,851
Total States/Territories	100,518,619	100,504,000	100,792,800	288,800
Coalitions	12,564,827	12,563,000	12,599,100	36,100
Resource Centers	6,282,414	6,281,500	6,299,550	18,050
Discretionary Activities	6,278,420	6,281,356	6,299,550	18,194
Subtotal adjustments	25,125,661	25,125,856	25,198,200	72,344
TOTAL RESOURCES	\$125,644,280	\$125,629,856	\$125,991,000	\$361,144

DOMESTIC VIOLENCE HOTLINE

Authorizing Legislation — Section 316(g) of the Family Violence Prevention and Services Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$2,982,000	\$3,224,000	\$3,000,000	-\$224,000

2006 Authorization....\$3,500,000

Statement of the Budget Request

The FY 2006 budget request provides \$3,000,000 to staff the National Domestic Violence Hotline 24 hours per day, 7 days a week.

Purpose and Method of Operation

The National Domestic Violence Hotline is a cooperative agreement which funds the operation of a national, toll-free telephone hotline to provide information and assistance to victims of domestic violence in an effort to build healthy, safe and supportive communities and tribes. Counseling and referral services are provided 24 hours a day, 365 days a year. The hotline also publicizes its telephone number and the services it provides to potential users throughout the United States.

The hotline serves as a critical partner in the prevention and resource assistance efforts of the Domestic Violence Resource Network. It provides assistance in the following areas:

- crisis intervention by helping the caller identify problems and possible solutions, including making plans for safety in an emergency;
- information about sources of assistance for individuals and their friends, families, and employers wanting to learn more about domestic violence, child abuse, sexual assault, intervention programs for batterers, working through the criminal justice system, and related issues; and
- referrals to battered women's shelters and programs, social service agencies, legal programs, and other groups and organizations willing to help.

The Hotline maintains a database, which collects information on services for victims of domestic violence, including the availability of shelters to which callers may be referred throughout the United States. Trained hotline counselors are available for non-English speakers and the hotline is accessible to persons who are hearing-impaired.

Funding for the Domestic Violence Hotline during the last five years has been as follows:

2001	\$2,157,000
2002	\$2,157,000
2003	\$2,562,000
2004	\$2,982,000
2005	\$3,224,000

Performance Analysis

The program target to increase the capacity of the National Domestic Violence Hotline (NDVH) to respond to an increased average-calls-per-month was met in FY 2004. In FY 2004, the domestic violence program handled 14,000 calls, exceeding its target of 12,500 by 12 percent. As a part of the capacity building efforts and to increase the advocate's ability to handle sexual assault and crisis calls, the total training hours provided during FY 2004 exceeded the target of 384 hours by 11 percent or a total of 432 hours of training.

Surpassing the FY 2004 targets was accomplished primarily through technological assistance and internal management improvements. Opportunities to benefit from the expertise of technology and telecommunications corporations was provided through the Connections Campaign, a public-private partnership implemented in FY 2004 and continues in FY 2005. In anticipation of a continuing increase in capacity and to take advantage of available technological expertise, the NDVH will conduct a review of its policies, practices and operations.

Rationale for the Budget Request

The FY 2006 request for the Domestic Violence Hotline is \$3,000,000, a decrease of \$224,000 from the FY 2005 enacted level. The authorizing language requires the Secretary to make a portion of funds available for internet grants to the extent the appropriated amount exceeds \$3 million. We will use the funds provided in FY 2005 to analyze the potential costs of completing the type of network required by the statute. Until better data is available about the scope of these efforts, the FY 2006 budget proposes to use the additional dollars under the shelter program.

Resource and Program Data
Domestic Violence Hotline

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$0	\$0	\$0
Discretionary	2,982,000	3,000,000	3,000,000
Research/Evaluation			
Demonstration/Development		224,000	
Training/Technical Assistance			
Program Support			
Total, Resources	\$2,982,000	\$3,224,000	\$3,000,000
<u>Program Data:</u>			
Number of Grants	1	1	1
New Starts:			
#	0	0	0
\$	\$0	\$0	\$0
Continuations:			
#	1	1	1
\$	\$2,982,000	\$3,000,000	\$3,000,000
Contracts:			
#	0	1	0
\$	\$0	\$224,000	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

FEDERAL ADMINISTRATION

	2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
Federal Administration	\$177,894,000	\$185,210,000	\$185,217,000	+\$7,000
Center for Faith-Based and Community Initiatives	<u>1,386,000</u>	<u>1,375,000</u>	<u>1,400,000</u>	<u>+25,000</u>
Total, BA	\$179,280,000	\$186,585,000	\$186,617,000	+\$32,000
FTE	1,346 ¹	1,382	1,313	-69

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$186,617,000 for Federal Administration supports 1,313 FTE, key Departmental management initiatives, and erroneous payment activities.

Program Description

The Federal Administration account includes funding for salaries and benefits and associated expenses of the Administration for Children and Families, including the Center for Faith-Based and Community Initiatives. ACF conducts operations at ACF headquarters in Washington, D.C., in the ten regional offices of the Department of Health and Human Services, and at the thirteen audit offices of the Office of Child Support Enforcement in various locations throughout the country.

Funding for Federal Administration during the last five years has been as follows:

<u>Year</u>	<u>\$</u>	<u>FTE</u>
2001	\$163,846,000	1,430
2002	\$172,963,000	1,465
2003	\$173,362,000	1,390
2004	\$179,280,000	1,346
2005	\$186,585,000	1,382

ACF's request includes funding to support the President's Management Agenda Expanding E-Gov initiatives and Departmental enterprise information technology initiatives. Agency funds

¹ ACF staffing is partially funded from programs that have statutory authority to pay for program support costs as well as Federal Administration funds.

will be combined with resources in the Information Technology Security and Innovation Fund to finance specific information technology initiatives identified through the HHS strategic planning process and approved by the HHS IT Investment Review Board. These enterprise information technology initiatives promote collaboration in planning and project management and achieve common goals such as secure and reliable communications and lower costs for the purchase and maintenance of hardware and software. Examples of HHS enterprise initiatives currently being funded are Enterprise Architecture, Enterprise E-mail, Network Modernization, and Public Key Infrastructure.

Performance Analysis

This activity is not subject to the PART process. However, ACF has obtained “green” ratings from HHS in all five of the PMA reform initiatives for all six rating periods to date.

Rationale for the Budget Request

The FY 2006 budget request for Federal Administration is \$186,617,000, the same as the FY 2005 enacted level. This request would support 1,313 FTE – a reduction of 69 FTE from FY 2005. In addition to absorbing mandatory cost of living and inflationary increases, this budget reflects a reduction in staffing support for community services programs and FTE savings resulting from increased productivity.

The Unified Financial Management System (UFMS) is being implemented to replace five legacy accounting systems currently used across the Operating Divisions (OPDIV)). The UFMS will integrate the Department’s financial management structure and provide HHS leaders with a more timely and coordinated view of critical financial management information. The system will also facilitate shared services among the Agencies and thereby, help management reduce substantially the cost of providing accounting service throughout HHS. Similarly, UFMS, by generating timely, reliable and consistent financial information, will enable the component agencies and program administrators to make more timely and informed decisions regarding their operations. This budget includes \$2,535,060² to support these efforts in FY 2006.

The Program Management Office (PMO) and the Program Support Center (PSC) have commenced Operations and Maintenance (O&M) activities for UFMS in FY 2004. The PMO and the PSC will provide the O & M activities to support UFMS. The scope of proposed O & M services includes post deployment support and ongoing business and technical operations services. Post-deployment services include supplemental functional support, training, change management and technical help-desk services. On-going business operation services involve core functional support, training and communications, and help desk services. On-going technical services include the operations and maintenance of the UFMS production and development environments, on-going development support, and backup and disaster recovery services. This budget includes \$1,023,733² to support these efforts in FY 2006.

² This effort is funded from programs that have statutory authority to pay for program support costs as well as Federal Administration funds.

Detail of Full-Time Equivalent Employment (FTE)¹

	2004 <u>Actual</u> ²	2005 <u>Estimate</u>	2006 <u>Estimate</u>
Administration for Children, Youth and Families	165	174	174
Office of Administration	113	113	104
Office of Child Support Enforcement	163	163	150
Office of Family Assistance	46	46	46
Office of Community Services	53	52	37
Office of Regional Operations	8	7	7
Office of Refugee Resettlement	39	50	52
Administration for Developmental Disabilities	25	24	24
Administration for Native Americans	7	10	10
Office of Public Affairs	7	10	10
Office of Planning, Research and Evaluation	22	27	26
Immediate Office of the Assistant Secretary	27	24	24
Office of Legislative Affairs and Budget	22	25	24
President's Committee for People with Intellectual Disabilities	5	5	5
Regional Offices	<u>644</u>	<u>652</u>	<u>620</u>
Total, ACF	1,346	1,382	1,313

Average GS Grade

2001	12.5
2002	12.5
2003	12.5
2004	12.4
2005	12.4

¹ The FTE shown in this chart reflects the levels for all of ACF including FTE paid from other budgetary accounts. In FY 2006 there are 1,213 FTE in Children and Family Services, 70 FTE in Children's Research and Technical Assistance, 28 FTE in Refugee and Entrant Assistance, and 2 FTE in Foster Care and Adoption Assistance.

² FY 2004 is shown comparably to include 8 FTE for the Abstinence Education program. The non-comparable FTE for FY 2004 was 1,338 FTE.

Program Administration
Detail of Positions

	2004 <u>Actual</u>	2005 <u>Estimate</u>	2006 <u>Estimate</u>
Executive Level	3	3	3
Executive Salary	20	20	20
GS-15	119	119	113
GS-14	249	250	237
GS-13	444	457	434
GS-12	275	291	277
GS-11	90	92	87
GS-10	0	0	0
GS-9	58	60	57
GS-8	13	13	12
GS-7	33	34	32
GS-6	20	21	20
GS-5	12	12	11
GS-4	4	4	4
GS-3	3	3	3
GS-2	3	3	3
GS-1	0	0	0
Subtotal	1,323	1,359	1,290
Average GS grade	12.4	12.4	12.4
Average GS salary	\$65,817	\$68,452	\$70,382

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DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

REFUGEE AND ENTRANT ASSISTANCE

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ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

For necessary expenses for refugee and entrant assistance activities and for costs associated with the care and placement of unaccompanied alien children authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), for carrying out section 462 of the Homeland Security Act of 2002 (Public Law 107-296), and for carrying out the Torture Victims Relief Act of 2003 (Public Law 108-179) [\$491,336,000]\$552,040,000 of which up to [\$10,000,000] \$9,915,000 shall be available to carry out the Trafficking Victims Protection Act of (Public Law 108-193):

Provided, That funds appropriated under this heading pursuant to section 414(a) of the Immigration and Nationality Act and section 462 of the Homeland Security Act of 2002 for fiscal year [2005] 2006 shall be available for the costs of assistance provided and other activities to remain available through September 30, [2007] 2008.

*Departments of Labor, Health and Human Services, and Education, and Related Agencies
Appropriations Bill, 2005*

ADMINISTRATION FOR CHILDREN AND FAMILIES

Refugee and Entrant Assistance

Amounts Available for Obligation

	<u>2004 Actual</u>	<u>2005 Appropriation</u>	<u>2006 Estimate</u>
Appropriation, Annual	\$450,276,000	\$488,336,000	\$552,096,000
Enacted Rescission	-2,656,000	-3,906,000	0
Administrative Reductions	-22,000	-34,000	-56,000
Subtotal Adjusted Appropriation	477,598,000	484,396,000	552,040,000
Unobligated balance, start of year	27,743,000	7,149,000 ¹	0
Recovery of prior year obligations	5,408,000	30,467,000 ¹	0
Unobligated balance, end of year	-7,149,000	0	0
Total, obligations	\$473,600,000	\$522,012,000	\$552,040,000

¹ This represents the FY 2003 unobligated balance which is available for the costs of assistance provided and other activities through September 2005.

¹ This represents the FY 2003 recovered funds which are available for the costs of assistance provided and other activities through September 2005.

Refugee and Entrant Assistance

SUMMARY OF CHANGES

FY 2005 Enacted	
Total estimated budget authority	\$484,396,000
(Obligations)	
FY 2006 Estimate	\$552,040,000
(Obligations)	
Net change	+\$67,644,000
(Obligations)	

	2005 Current Budget Base (FTE)	Budget Authority	Change from Base (FTE)	Budget Authority
Increases:				
A. <u>Program</u> :				
1. Grants for transitional and medical assistance to refugee arrivals/eligibles, including victims of trafficking.....		\$192,029,000		+\$72,100,000
2. Care and placement of unaccompanied alien children.....		53,771,000		+9,312,000
Total Increases		\$265,736,000		+\$81,412,000
Decreases:				
A. <u>Program</u> :				
1. Grants for social adjustment and employment services to refugee arrivals/eligibles, including victims of trafficking.....		\$164,889,000		-\$13,768,000
Total decreases		\$164,889,000		-\$13,768,000
Net Change.....				+\$67,644,000

Refugee and Entrant Assistance

Budget Authority by Activity

	<u>2004 Actual</u>	<u>2005 Appropriation</u>	<u>2006 Estimate</u>
Transitional and Medical Services.....	\$168,975,000	\$192,029,000	\$264,129,000
Victims of Trafficking..	9,909,000	9,915,000	9,915,000
Social Services.....	152,218,000	164,889,000	151,121,000
Victims of Torture.....	9,909,000	9,915,000	9,915,000
Preventive Health.....	4,792,000	4,796,000	4,796,000
Targeted Assistance.....	49,025,000	49,081,000	49,081,000
Unaccompanied Alien Children.....	52,770,000	53,771,000	63,083,000
Subtotal, Budget Authority.....	\$447,598,000	\$484,396,000	\$552,040,000
Unobligated balances, Start of year.....	27,743,000	7,149,000	0
Recovery of prior year obligations	5,408,000	30,467,000	0
Unobligated balances End of year.....	-7,149,000	0	0
Total Budget Authority	\$473,600,000	\$522,012,000	\$552,040,000

Refugee and Entrant Assistance

Budget Authority by Object

	2005 Appropriation	2006 Estimate	Increase or <u>Decrease</u>
Salaries and benefits (11.1 & 12.1).....	\$1,960,000	\$3,233,000	+\$1,273,000
Travel and transportation of persons (21.0)	193,000	196,000	+3,000
Rental Payments to GSA (23.1).....	533,000	859,000	+326,000
Advisory and assistance services (25.1).....	10,532,000	12,026,000	+1,494,000
Other services (25.2).....	500,000	502,000	+2,000
Purchase of goods and services from government accounts (25.3)	4,852,000	5,408,000	+556,000
Supplies and Materials (26.0).....	73,000	73,000	0
Grants, subsidies, and Contributions (41.0)	465,753,000	529,743,000	+63,990,000
Total, budget authority by object	\$484,396,000	\$552,040,000	+\$67,644,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

SIGNIFICANT ITEMS IN HOUSE AND SENATE
APPROPRIATIONS COMMITTEE REPORTS

FY 2005 House Appropriations Committee Report Language (H. Rpt 108-636)

Item

[Transition of unaccompanied alien children] – The Committee is concerned about some aspects of the transition of unaccompanied alien children functions from the INS to ORR, especially reports that not all unaccompanied alien children are making their way into the custody of ORR as was intended when Congress enacted the Homeland Security Act of 2002. The Committee directs ORR, in consultation with all appropriate Federal agencies, to report to the Committee within 90 days of the date of enactment of this Act, instances since March 1, 2003, in which Federal agencies have not promptly notified ORR that it has unaccompanied alien children in their custody and have not made arrangements for the prompt transfer of such children to ORR's care. (p. 130)

Action taken or to be taken

Since the transfer of the Unaccompanied Alien Children (UAC) program from INS to ORR in March 2003, ORR has worked closely with the former Immigration and Naturalization Service and more recently, with the Department of Homeland Security (DHS) to establish a process for the timely transfer of UAC in DHS custody to ORR. These transfers routinely take place within the same day the UAC is apprehended. Though seldom, there are occasions where a UAC may be held by DHS for more than one day before being placed in an ORR-funded facility.

Transportation Difficulties: DHS officials have informed ORR that resources are limited, especially in remote parts of the country, and that they do not always have staff to provide initial transportation of UAC on weekends and holidays in situations where an ORR facility is not within reasonable proximity (more than 8 to 10 hours away by ground transportation) to the point of the UAC's apprehension. Other transportation issues facing the DHS include flight availability, weather conditions, and available DHS escorts. In such instances, both agencies have attempted to coordinate transportation. However, there have been a few instances where a child may have had to stay in a border patrol station, hotel, or other DHS office until DHS can secure transportation.

In addition, in the Spring of 2004 ORR experienced an unprecedented 30% surge in UAC referrals from DHS. As a result, ORR did not have available beds close to the major apprehension areas where DHS apprehended the UAC and DHS could not arrange transportation to the available bed space the same day. ORR has since added many more beds in Arizona and Texas, two areas where apprehensions of UAC are high, thus resolving some of the transportation difficulties for DHS.

Age Determinations: On occasion, DHS, upon apprehension of a UAC, may determine the individual is an adult, and as a result, place the UAC in an adult facility. Later, the UAC is determined to be a minor and the UAC is referred to ORR for placement

Marshal Service Custody: A child may be held in the custody of the U.S. Marshals Service for weeks or months without ORR being notified of this custody. The Marshals Service has custody of a child when he/she is a witness in smuggling, trafficking, or other criminal cases. If the Marshals Service then determines the child is not needed for the further prosecution of their cases, the child will be referred to ORR for custody and care.

UAC in State or Local Juvenile Detention Facilities: According to DHS, some UAC are in the custody of the state or the local county for delinquent and/or other types of criminal offenses. The state or local authorities should notify DHS when state or local custody has ended and the UAC is to be put into federal immigration proceedings. Sometimes this process takes an extended period of time and the UAC remains in the state or local detention center after his/her court-ordered stay has expired. Once DHS is notified, DHS must investigate further to determine if the minor is an alien. If the minor is a UAC, DHS transports the UAC to an ORR facility.

Item

[Transition of unaccompanied alien children] – The Committee is also aware of reports that the Department of Homeland Security (DHS) is not fully cooperating with ORR on release decisions and directs ORR, in cooperation with DHS, to report to this Committee on its formal arrangements with DHS on release decisions. (p. 130)

Action taken or to be taken

Due to initial transition issues, ORR did not fully assume responsibility for reuniting unaccompanied alien children (UAC) with family or adult sponsors from DHS until August 1, 2004. ORR field staff were trained to review documents and work with shelter case managers and DHS officials in considering appropriate sponsors, ORR contracted with another government agency to perform record checks on sponsors, and ORR designed appropriate forms and authorizations for release and transfer of the UAC's physical custody. This transition caused some initial delays in releasing and reuniting UAC.

In addition, when a UAC has a final order of removal or an order of voluntary departure and is returning to his/her home country, DHS must obtain travel documents and where needed, secure country clearance. At times, DHS experiences significant delays from embassies in obtaining travel documents for any one of a number of reasons. Obtaining clearances usually takes ten days. Both these issues add to the amount of time a UAC may have to wait to return to his or her country.

Item

[Protection and advocacy for minor refugees] – The Committee is encouraged by steps taken by the Office of Refugee Resettlement to improve access to legal representation for children served through this program, as called for by Congress in the statement of the managers accompanying the Department of Health and Human Services Appropriations Act, 2004. The Committee encourages the Office to continue efforts in this area, in particular focusing on developing an infrastructure for identifying, assigning, coordinating and tracking competent pro bono counsel in addition to independent guardians ad litem for all such children. Furthermore, the Committee strongly encourages the Office of Refugee Resettlement to give high priority to the location of immigration courts and services when electing ORR contracted facilities. The Committee requests a full discussion of efforts taken in this area to be included in the 2006 congressional justification. (p. 198)

Action taken or to be taken

Since the transfer of the program to ORR in March 2003, ORR has worked closely with children's immigration attorneys to provide them the necessary access to their clients to effectively represent them in immigration court. Moreover, ORR has participated in roundtable discussions with children's advocates in the Washington, DC area and in Chicago to identify and clarify the role of guardians ad litem and child protection advocates and to promote pro bono representation of unaccompanied alien children. These meetings have also included immigration judges from the Chief Immigration Judge's office, members of the Department of Homeland Security's offices of Immigration and Customs Enforcement and Citizenship and Immigration Services General Counsel, and staff from Executive Office Immigration Review.

ORR has also put together a state-by-state list of pro bono attorneys and guardians who can serve unaccompanied alien children. The list is continuously updated and revised for accuracy and is available to adult sponsors of unaccompanied alien children, to ORR-contracted facilities, and to legal service providers. In addition, ORR has commissioned a pilot program in Chicago through Heartland Alliance to develop a child protection advocate program to ensure that the best interests of unaccompanied alien child are identified and given voice. Through the Unaccompanied Children's Advocate Project, child advocates are assigned to children with special circumstances. Over the past 6 months, the project's staff has been working in tandem with other organizations serving children, individual child welfare experts, and governmental agencies, including ORR, to develop an effective, efficient and balanced model. Assignment of child protection advocates began in July 2004, and to date 21 children have child protection advocates who are ensuring their best interests are served while they are in ORR care.

In addition, ORR has developed a strong relationship with the American Bar Association's Commission on Immigration to find pro bono counsel for special cases where a non-profit legal service provider is not readily available or for certain children transferred to foster care. The ABA has assisted ORR in identifying local non-profit legal service providers in areas where ORR has opened a new children's shelter or group home (e.g., Dover, Delaware, Queens, New York). Moreover, ORR worked closely with the US Division of UNHCR in developing a pro bono outreach program for unaccompanied alien children who leave ORR custody to live with adult family members while they are in immigration proceedings.

ORR will contract with an independent non-profit agency this fiscal year to develop a plan to ensure competent pro bono legal counsel and child protection advocates for unaccompanied alien children. The first phase will take place in FY05 and consists of developing a national pilot program, starting with two or three pilot sites in and expanding to 10 by the third year of the project. The pilot sites will be located where ORR has contracted for shelter care for unaccompanied alien children and where long-standing non-profit legal service providers have represented unaccompanied alien children. During the pilot, the contractor will provide technical assistance, information management, and performance monitoring to the legal service providers so that they may effectively implement pro bono and child protection advocate outreach programs.

In making determinations on where to contract housing facilities for unaccompanied alien children, ORR considers areas of apprehension by DHS, space for outdoor recreation, location of immigration courts, proximity of major means of transportation (e.g., airport), proximity of pro bono attorneys and/or legal service providers, availability of qualified, bilingual child care professionals, and availability of other professional services in the community (e.g., child psychologists). ORR is committed to providing unaccompanied alien children in its care access to a comprehensive range of services, from competent pro bono legal representatives to unique medical care that meets a child's special needs.

Item

[Victims of torture] – The Committee also recommends \$10,000,000 to treat and assist victims of torture. These funds may also be used to provide training to healthcare providers to enable them to treat the physical and psychological effects of torture. The Committee acknowledges that well-established treatment centers, such as the Center for Victims of Torture, have developed the knowledge base that has fostered growth of treatment facilities around the country and strengthened treatment services generally. This positive trend may continue if leading centers are able to expand their staffs to create more trainers and improve evaluation and research needed to guide and develop new programs. The Committee urges the Office of Refugee Resettlement to place a priority on continued and sufficient grant support for services provided by existing centers before using funds to expand communities served through this program. (p. 198)

Action taken or to be taken

The Office of Refugee Resettlement (ORR) will comply with the Committee's request that ORR place a priority on continued and sufficient grant support for services provided by existing centers for victims of torture before using funds to expand communities served through this program. In FY 2005, ORR will not make any new awards in the Victims of Torture program with the funds available. ORR will fund only continuations for existing grantees.

Refugee and Entrant Assistance

Authorizing Legislation

	<u>2005 Amount Authorized</u>	<u>2005 Appropriation</u>	<u>2006 Amount Authorized</u>	<u>2006 Estimate</u>
Section 414(a) of the Immigration and Nationality Act, as amended, Section 501 of the Refugee Education Assistance Act of 1980 (reauthorization legislation pending Congressional action) ¹ :				
1. Transitional and Medical Services.....	Such sums	\$192,029,000	Such sums	\$264,129,000
2. Social Services.....	Such sums	164,889,000	Such sums	151,121,000
3. Preventive Health ...	Such sums	4,796,000	Such sums	4,796,000
4. Targeted Assistance	Such sums	49,081,000	Such sums	49,081,000
Section 113(b) of the Trafficking Victims Protection Act :	15,000,000	9,915,000	10,000,000	9,915,000
Section 5 of the Torture Victims Relief Act:	20,000,000	9,915,000	10,000,000	9,915,000
Section 462 (a) of the Homeland Security Act of 2002:				
Unaccompanied Alien Children.....	Such sums	53,771,000	Such sums	63,083,000
Total, appropriation		\$484,396,000		\$552,040,000
Total appropriations against definite authorizations	\$35,000,000	\$19,830,000	\$20,000,000	\$19,830,000

¹ The existing appropriation authorities for these programs expired on September 30, 2002.

APPROPRIATIONS HISTORY TABLE

Refugee and Entrant Assistance

	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
1996	414,199,000	416,781,000	397,172,000	407,166,000
1997	381,526,000	412,076,000	385,609,000	426,612,460
1998	395,732,000	418,400,000	395,632,000	423,314,319
1999	415,000,000	415,165,000	415,000,000	435,264,000
2000 Rescission	442,676,000	423,500,000	430,500,000	426,505,000 332,000
2001 Rescission	432,569,000	433,109,000	425,586,000	433,109,000 6,000
2002 Rescission	445,224,000	460,224,000	445,224,000	460,203,000 48,000
2003 Rescission	452,724,000	446,724,000	442,924,000	480,903,000 2,904,000
2004 Rescission	461,626,000	461,853,000	428,056,000	447,598,000 2,678,000
2005 Rescission	473,239,000	491,336,000	477,239,000	484,396,000 3,940,000
2006	552,040,000			

Refugee and Entrant Assistance

Justification

	2004 Actual	2005 Appropriation	2006 Estimate	Increase or Decrease
Transitional and Medical Services	\$168,975,000	\$192,029,000	\$264,129,000	+\$72,100,000
Victims of Trafficking	9,909,000	9,915,000	9,915,000	0
Social Services	152,218,000	164,889,000	151,121,000	-13,768,000
Victims of Torture	9,909,000	9,915,000	9,915,000	0
Preventive Health	4,792,000	4,796,000	4,796,000	0
Targeted Assistance	49,025,000	49,081,000	49,081,000	0
Unaccompanied Alien Children	52,770,000	53,771,000	63,083,000	+9,312,000
Total, BA	\$447,598,000	\$484,396,000	\$552,040,000	+\$67,644,000

General Statement

The Refugee and Entrant Assistance program is designed to help refugees, asylees, Cuban and Haitian entrants, and trafficking victims to become employed and self-sufficient as quickly as possible. As a result of the Homeland Security Act of 2002, the program is now also responsible for coordinating and implementing the care and placement of unaccompanied alien children who are in federal custody by reason of immigration status. These duties are consistent with the Administration for Children and Families' strategic goals of increasing independence and productivity of families, increasing employment and promoting the social well-being of children. The President's appropriation request of \$552,040,000, represents the amount needed to maintain current assistance levels, and to provide additional support for victims of torture and unaccompanied alien children in federal custody.

Refugee and Entrant Assistance funds support seven programs:

- **Transitional and Medical Services** — This program provides cash and medical assistance to financially needy refugees, asylees, trafficking victims and entrants who are not categorically

eligible for TANF, Medicaid, or SSI, and provides foster care services to unaccompanied minors. States are reimbursed for costs incurred to administer refugee program activities. Programs are monitored and data is collected and evaluated. Wilson/Fish projects provide alternative approaches to the state-administered programs. The Voluntary Agency Program (Matching Grant Program) provides one dollar in matching funds for every two dollars of Federal funds.

- Victims of Trafficking — First funded in FY 2001 under the Victims of Trafficking and Violence Prevention Act of 2000, this program extends eligibility for benefits and services to trafficking victims to the same extent as refugees.
- Social Services — The Social Services activity assists refugees in the areas of social adjustment, employment services, and attainment of self-sufficiency as rapidly as possible. Services include English language training, employment-related services, and a variety of special projects and activities.
- Victims of Torture — First funded in FY 2000 under the Torture Victims Relief Act of 1998, this program provides medical and psychological treatment, social and legal services, and rehabilitation for victims of torture.
- Preventive Health — This program provides medical screening, outreach, orientation and access to health care for refugees to preserve the public health and ensure that health problems are not a barrier to achieving self-sufficiency.
- Targeted Assistance — Grants are made to States to provide services to counties or other localities with large refugee populations, high refugee concentrations, and high use of public assistance.
- Unaccompanied Alien Children — Transferred to ORR by the Homeland Security Act of 2002, this program involves the care and placement of approximately 8,200 unaccompanied alien children per year who are apprehended by INS agents, Border Patrol Officers, or other law enforcement agencies and placed in federal custody.

History of Refugee Ceilings and Arrivals

Year	Refugee ¹ Ceiling	Refugee Arrivals	Cuban/Haitian Arrivals	Trafficking Victims	Total ² Arrivals
1987	70,000	58,885			58,885
1988	87,500	76,733			76,733
1989	116,500	106,538			106,538
1990	125,000	122,263			122,263
1991	131,000	113,734			113,734
1992	132,000	131,611			131,611
1993	120,000	119,099	4,457		123,556
1994	120,000	112,084	14,359		126,443
1995	110,000	99,532	31,782		131,314
1996	90,000	75,721	9,494		85,215
1997	78,000	76,434	7,345		83,779
1998	83,000	76,742	16,984		93,726
1999	91,000	85,019	21,079		106,098
2000	90,000	72,519	19,441		91,960
2001	80,000	68,393	15,605	200	84,198
2002	70,000	26,383	16,694	99	43,176
2003	70,000	28,234	8,687	151	37,072
2004	70,000	52,868	22,585	500	75,953
2005	70,000				
2006	90,000				

Since 1995, Congress has provided bill language permitting the reappropriation of carryover balances to support current year activities. However, FY 2006 is the first year in which no prior year funds are available and in addition, the refugee ceiling will be 20,000 higher in FY 2006. The FY 2006 request of \$552,040,000 is necessary to effectively administer this program, to continue to provide eight months of cash and medical assistance to eligible refugees, and to provide a variety of social and educational services, including services to victims of torture, victims of trafficking and unaccompanied alien children in Federal custody.

The President's appropriation request of \$552,040,000 in new budget authority represents current law requirements. No proposed law amounts are included.

¹ Refugee ceilings are established through Presidential Determination. Not reflected in the ceiling are (1) Cuban/Haitian entrants, most of whom are paroled into the U.S. under a bilateral agreement reached with Cuba in FY 1995, (2) asylees, who are eligible refugee benefits since May 2000, and (3) victims of trafficking who have been eligible for refugee benefits since July 2001.

² Total arrivals do not include asylees, who beginning in FY 2000 became eligible for refugee benefits. In FY 2004 approximately 21,000 asylees received refugee benefits.

TRANSITIONAL AND MEDICAL SERVICES

Authorizing Legislation – Legislation to reauthorize Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980 is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$168,975,000	\$192,029,000	\$264,129,000	+\$72,100,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$264,129,000 for Transitional and Medical Services will provide cash and medical assistance to eligible refugees, entrants, asylees, and trafficking victims as well as foster care services to unaccompanied refugee minors and unaccompanied minor victims of a severe form of trafficking until emancipation.

Program Description

Historically, assistance to unaccompanied refugee, asylee, or entrant minors has been the top priority for the Transitional and Medical Services program. This activity reimburses states for providing foster care to an unaccompanied minor until the child reaches the age of eighteen. If a state has established a later age for emancipation from foster care, reimbursements will be provided until that date. Cash and medical assistance also is provided to adult refugees, asylees, entrants and certified trafficking victims who are not categorically eligible for TANF, Medicaid, or SSI during their first five months in the United States. State refugee program offices operate these activities. They are reimbursed for costs incurred to administer the refugee programs and to provide assistance and services to unaccompanied refugee minors, asylees, entrants and trafficking victims under the age of eighteen.

Under the Voluntary Agency Program participating national voluntary refugee resettlement agencies, many of which are faith-based organizations, provide a match (in cash or in-kind services) of one dollar for every two dollars of Federal contribution. The participating agencies provide services such as case management, job development, job placement and follow-up, and interim cash assistance to help refugees become employed and self-sufficient within their first four months in the U.S. Participating refugees may not access public cash assistance.

The Act also authorizes the use of funds to develop and implement alternative projects for refugees that encourage refugee self-sufficiency. Currently, Fish-Wilson projects are funded in nine states and provide interim financial and medical assistance for newly arrived refugees to

increase their prospects for early employment and self-sufficiency and to reduce welfare dependency.

The Act also authorizes the use of funds for monitoring and evaluation activities.

Funding for Transitional and Medical Services during the last five years has been as follows:

2001	\$220,170,000
2002	\$227,243,000
2003	\$219,853,000
2004	\$168,975,000
2005	\$192,029,000

Performance Analysis

The Office of Refugee Resettlement has six performance measures; however the primary measure is the percentage of refugees entering employment. Although ORR did not meet any of the target performance levels for FY 2003, there were significant improvements in many areas of employment. For example, during FY 2003, 44 percent of the caseload became employed (an 11 percentage point shortfall in meeting the target.) In addition, during FY 2003 twenty-seven states improved their job retention rate and twenty-three states reported higher wages at placement than in FY 2002. There were also significant improvements in the quality of jobs found for refugees during FY 2003.

Progress on these performance targets has been impacted by a number of significant events including the terrorist attacks in September, 2001. Many refugees lost their jobs as a result of the economic downturn in the hospitality industry immediately following the terrorist attacks.

Rationale for the Budget Request

The FY 2006 budget request of \$264,129,000 for Transitional and Medical Services (TAMS), a \$72,100,000 increase from the FY 2005 enacted level, will provide the level of funding necessary to continue to provide eight months of cash and medical assistance to the eligible refugees, entrants, asylees, and trafficking victims as well as foster care services to the same population until emancipation. This funding amount is necessary because FY 2006 is the first year in which no prior year funds are available to supplement TAMS appropriations for cash and medical assistance and because the Department of State has set the FY 2006 funded refugee admissions ceiling at 70,000 refugees, an increase of 20,000 from the FY 2005 funded admissions ceiling.

Resource and Program Data
Transitional and Medical Services

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$102,605,000	\$119,424,000	\$190,084,000
Discretionary	62,029,000	68,500,000	70,000,000
Research/Evaluation	3,594,000	3,550,000	3,550,000
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	400,000	555,000	495,000
Total, Resources	\$168,628,000	\$192,029,000	\$264,129,000
<u>Program Data:</u>			
Number of Grants	62	62	62
New Starts:			
#	52	51	42
\$	\$149,335,000	\$134,224,000	\$190,084,000
Continuations:			
#	10	11	20
\$	\$15,299,000	\$53,700,000	\$70,000,000
Contracts:			
#	5	5	5
\$	\$3,944,000	\$4,055,000	\$3,995,000
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

¹ Includes funding for information technology support and monitoring/on-site review costs.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-TMS (CFDA # 93.566)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$0	\$0	\$0	\$0
Alaska	0	0	0	0
Arizona	1,507,490	1,754,598	2,792,747	1,038,149
Arkansas	6,000	6,984	11,116	4,132
California	11,020,063	12,826,471	20,415,552	7,589,081
Colorado	875,000	1,018,430	1,621,008	602,578
Connecticut	49,772	57,931	92,207	34,276
Delaware	41,433	48,225	76,758	28,533
District of Columbia	1,400,225	1,629,750	2,594,030	964,280
Florida	23,239,070	27,047,602	43,051,931	16,004,329
Georgia	2,424,390	2,821,796	4,491,378	1,669,582
Hawaii	132,000	153,637	244,540	90,903
Idaho	198,729	231,305	368,162	136,857
Illinois	1,786,965	2,079,884	3,310,496	1,230,612
Indiana	547,000	636,664	1,013,361	376,697
Iowa	580,019	675,096	1,074,532	399,436
Kansas	153,389	178,533	284,166	105,633
Kentucky	0	0	0	0
Louisiana	207,447	241,452	384,313	142,861
Maine	254,000	295,636	470,556	174,920
Maryland	4,354,147	5,067,878	8,066,406	2,998,528
Massachusetts	3,526,804	4,104,917	6,533,687	2,428,770
Michigan	3,642,603	4,239,698	6,748,214	2,508,516
Minnesota	4,786,331	5,570,906	8,867,063	3,296,157
Mississippi	1,012,793	1,178,810	1,876,280	697,470
Missouri	102,429	119,219	189,758	70,539
Montana	24,476	28,488	45,344	16,856
Nebraska	264,000	307,275	489,081	181,806
Nevada	0	0	0	0
New Hampshire	27,724	32,269	51,362	19,093
New Jersey	1,242,651	1,446,346	2,302,110	855,764
New Mexico	635,592	739,778	1,177,485	437,707
New York	8,917,508	10,379,265	16,520,400	6,141,135
North Carolina	2,223,953	2,588,503	4,120,051	1,531,548
North Dakota	398,133	463,395	737,573	274,178

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,407,580	1,638,310	2,607,654	969,344
Oklahoma	466,575	543,056	864,368	321,312
Oregon	2,386,155	2,777,293	4,420,543	1,643,250
Pennsylvania	5,010,280	5,831,565	9,281,947	3,450,382
Rhode Island	133,659	155,568	247,613	92,045
South Carolina	81,243	94,560	150,509	55,949
South Dakota	240,000	279,341	444,620	165,279
Tennessee	20,000	23,278	37,051	13,773
Texas	9,337,048	10,867,576	17,297,631	6,430,055
Utah	1,098,915	1,279,049	2,035,828	756,779
Vermont	150,000	174,588	277,887	103,299
Virginia	3,226,508	3,755,397	5,977,365	2,221,968
Washington	2,785,764	3,242,406	5,160,851	1,918,445
West Virginia	20,500	23,860	37,977	14,117
Wisconsin	658,805	766,796	1,220,489	453,693
Wyoming	0	0	0	0
Subtotal	102,605,168	119,423,384	190,084,000	70,660,616
Discretionary Fund	62,029,000	\$68,500,000	70,000,000	1,500,000
Other	3,994,000	\$4,105,000	4,045,000	-60,000
Subtotal adjustments	66,023,000	72,605,000	74,045,000	1,440,000
TOTAL RESOURCES	\$168,628,168	\$192,028,384	\$ 264,129,000	\$72,100,616

VICTIMS OF TRAFFICKING

Authorizing Legislation – Section 113(b) of the Trafficking Victims Protection Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$9,909,000	\$9,915,000	\$9,915,000	\$0

2006 Authorization....\$10,000,000 (as requested in the proposed reauthorization of the program.)

Statement of the Budget Request

The FY 2006 budget request of \$9,915,000 for Victims of Trafficking will ensure continued administration of a national network for tracking and certification of trafficking victims, and funding for grant awards for services to victims of a severe form of trafficking.

Program Description

The Trafficking Victims Protection Act focuses on preventing human trafficking overseas, increasing prosecution of human traffickers here in the U.S., protecting the victims, and providing them with Federal and state assistance where necessary. Victims of a severe form of trafficking are defined as people who are sexually exploited or compelled to provide labor through physical force, fraud, or coercion. Estimates indicate that there may be thousands of victims in the U.S.

This program extends eligibility for benefits and services to trafficking victims to the same extent as refugees. The law specifically requires HHS, in consultation with the Attorney General, to certify adult trafficking victims as a pre-condition for their eligibility. Once certified, adult trafficking victims will be eligible to apply for benefits and services under any Federal or state funded program, to the same extent as refugees. Adult trafficking victims may be eligible for refugee cash and medical assistance and social services. Victims under 18 years of age do not need such certification in order to be eligible for benefits and services under the unaccompanied refugee minors program, but rather receive eligibility letters.

Funding for Victims of Trafficking in previous years has been as follows:

2001.....	\$ 5,000,000
2002.....	\$ 10,000,000
2003.....	\$ 9,935,000
2004.....	\$ 9,909,000
2005.....	\$ 9,915,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. These funds will support the national network for tracking and certifying victims and services to such victims.

Resource and Program Data
Victims of Trafficking

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$6,496,000	\$6,190,000	\$6,175,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	796,000	857,000	872,000
Other ²	2,570,000	2,868,000	2,868,000
Total, Resources	\$9,862,000	\$9,915,000	\$9,915,000
<u>Program Data:</u>			
Number of Grants	31	31	31
New Starts:			
#	0	12	19
\$	0	\$2,271,000	\$3,904,000
Continuations:			
#	31	19	12
\$	\$6,496,000	\$3,919,000	\$2,271,000
Contracts:			
#	5	5	5
\$	\$2,932,000	\$3,186,000	\$3,185,000
Interagency Agreements:			
#	0	0	0
\$	0	0	0

¹ Includes funding for information technology support, salaries and benefits and associated overhead costs of certification activities, and monitoring/on-site review costs.

² Includes funding for public outreach efforts.

SOCIAL SERVICES

Authorizing Legislation – Legislation to reauthorize Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980 is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$152,218,000	\$164,889,000	\$151,121,000	-\$13,768,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$151,121,000 for the Social Services program will support state-administered programs emphasizing employment-related activities.

Program Description

This activity supports ACF's goal of increasing economic independence and productivity for families and increasing employment. Priority is given to English language training, case management, employment preparation, and job placement and retention services. A portion of the funding is distributed by formula to States and a portion is distributed through discretionary grants.

Funding for Social Services during the last five years has been as follows:

2001	\$143,621,000
2002	\$158,600,000
2003	\$150,139,000
2004	\$152,218,000
2005	\$164,889,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 budget request of \$151,121,000 for the Social Services program, a \$13,768,000 decrease from the FY 2005 enacted level, will continue to support state-administered programs emphasizing employment-related activities. Congress provided additional funds in FY 2005 for refugee school impact grants and assistance to meet the needs of Hmong and Bantu refugees.

Resource and Program Data
Social Services

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$79,728,000	\$79,137,000	\$79,500,000
Discretionary	66,605,000	81,617,000	67,486,000
Research/Evaluation ¹	2,000,000		
Demonstration/Development			
Training/Technical Assistance	3,124,000	3,000,000	3,000,000
Program Support ²		400,000	400,000
Other ³	735,000	735,000	735,000
Total, Resources	\$152,192,000	\$164,889,000	\$151,121,000
<u>Program Data:</u>			
Number of Grants	299	328	260
New Starts:			
#	89	157	127
\$	\$85,086,000	\$109,837,000	\$114,200,000
Continuations:			
#	210	171	133
\$	\$64,371,000	\$53,917,000	\$35,786,000
Contracts:			
#	1	1	1
\$	\$2,000,000	\$400,000	\$400,000
Interagency Agreements:			
#	2	2	2
\$	\$735,000	\$735,000	\$735,000

¹ Includes \$2.0 million for evaluations. This activity was prompted by a review of refugee employment programs in the PART review.

² Includes funding for a conference contract.

³ Includes funding for inter-agency agreements with the Office of International and Refugee Health and SAMSHA.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-Social Services (CFDA # 93.566)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$99,776	\$96,059	\$96,500	\$441
Alaska	100,000	99,259	99,714	455
Arizona	1,646,682	1,634,476	1,641,973	7,497
Arkansas	75,000	74,444	74,785	341
California	9,360,178	9,290,794	9,333,412	42,618
Colorado	882,950	876,405	880,425	4,020
Connecticut	989,748	982,411	986,917	4,506
Delaware	88,497	87,841	88,244	403
District of Columbia	407,738	404,716	406,572	1,856
Florida	23,494,748	23,320,588	23,427,559	106,971
Georgia	2,004,333	1,989,475	1,998,601	9,126
Hawaii	75,000	74,444	74,785	341
Idaho	430,505	427,314	429,274	1,960
Illinois	1,926,097	1,911,819	1,920,588	8,769
Indiana	353,925	351,301	352,912	1,611
Iowa	785,258	779,437	783,012	3,575
Kansas	137,017	136,001	136,625	624
Kentucky	1,067,570	1,059,656	1,064,517	4,861
Louisiana	194,555	193,113	193,999	886
Maine	349,785	347,192	348,785	1,593
Maryland	1,335,808	1,325,906	1,331,988	6,082
Massachusetts	1,617,706	1,605,714	1,613,079	7,365
Michigan	1,598,251	1,586,404	1,593,681	7,277
Minnesota	2,988,286	2,966,135	2,979,741	13,606
Mississippi	79,804	79,212	79,575	363
Missouri	1,546,921	1,535,454	1,542,497	7,043
Montana	75,000	74,444	74,785	341
Nebraska	403,185	400,196	402,032	1,836
Nevada	537,304	533,321	535,767	2,446
New Hampshire	399,873	396,909	398,730	1,821
New Jersey	1,027,417	1,019,801	1,024,479	4,678
New Mexico	196,211	194,757	195,650	893
New York	5,341,990	5,302,391	5,326,713	24,322
North Carolina	1,280,339	1,270,848	1,276,677	5,829
North Dakota	194,555	193,113	193,999	886

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	1,043,993	1,035,904	1,041,404	4,500
Oklahoma	110,938	110,116	110,621	505
Oregon	1,272,474	1,263,042	1,268,836	5,794
Pennsylvania	2,391,788	2,374,058	2,384,948	10,890
Rhode Island	196,625	195,167	196,062	895
South Carolina	100,000	99,259	99,714	455
South Dakota	389,110	386,226	387,998	1,772
Tennessee	624,647	620,017	622,861	2,844
Texas	3,068,592	3,045,845	3,059,816	13,971
Utah	684,669	679,594	682,711	3,117
Vermont	177,583	176,267	117,076	809
Virginia	1,597,423	1,585,582	1,592,855	7,273
Washington	4,459,455	4,426,398	4,446,702	20,304
West Virginia	75,000	74,444	74,785	341
Wisconsin	446,295	442,987	445,019	2,032
Wyoming	0	0	0	0
Subtotal	79,727,604	79,136,256	79,500,000	362,744
Discretionary Fund	66,605,000	81,617,000	67,486,000	-14,131,000
Other	5,859,000	4,135,000	4,135,000	0
Subtotal adjustments	72,464,000	85,752,000	71,621,000	-14,131,000
TOTAL RESOURCES	\$152,191,604	\$164,888,256	\$151,121,000	-\$13,768,256

VICTIMS OF TORTURE

Authorizing Legislation – Section 5(b)(1) of the Torture Victims Relief Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$9,909,000	\$9,915,000	\$9,915,000	\$0

2006 Authorization....\$10,000,000 (as requested in the proposed reauthorization of the program.)

Statement of the Budget Request

The FY 2006 budget request of \$9,915,000 for the Victims of Torture Program will provide a comprehensive program of support for domestic centers and programs for victims of torture.

Program Description

This program provides services and rehabilitation for victims of torture. Grantees are primarily non-profit organizations and allowable services include treatment, social and legal services, and provision of research and training to health care providers to enable them to treat the physical and psychological effects of torture.

Funding for Victims of Torture during the last five years has been as follows:

2001	\$10,000,000
2002	\$10,000,000
2003.....	\$ 9,935,000
2004	\$ 9,909,000
2005	\$ 9,915,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 request is the same as the FY 2005 enacted level. This funding level will maintain medical and psychological treatment, social and legal services and rehabilitation for victims of torture. The President's reauthorization request would allow funded agencies to expand training to programs that provide social and legal services to torture victims and allow funds to be used to carryout the program.

Resource and Program Data
Victims of Torture

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$9,443,000	\$9,078,000	\$9,151,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	466,000	500,000	500,000
Program Support ¹		337,000	264,000
Total, Resources	\$9,909,000	9,915,000	9,915,000
<u>Program Data:</u>			
Number of Grants	27	27	27
New Starts:			
#	27	0	27
\$	\$9,909,000	\$0	\$9,651,000
Continuations:			
#	0	27	0
\$	\$0	\$9,578,000	\$0
Contracts:			
#	0	3	1
\$	\$0	\$178,000	\$147,000
Interagency Agreements:			
#	0	1	0
\$	\$0	\$48,000	\$0

¹ Includes funding for information technology support, grant reviewer contract, salaries and benefits and associated overhead costs.

PREVENTIVE HEALTH

Authorizing Legislation – Legislation to reauthorize Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980 is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$4,792,000	\$4,796,000	\$4,796,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$4,796,000 for Preventive Health will ensure adequate health assessment activities for refugees.

Program Description

This program supports the Administration for Children and Families' goal to enhance economic independence and productivity for families, and to increase employment. The Office of Refugee Resettlement recognizes that a refugee's medical condition may affect public health as well as prevent a refugee from achieving economic self-sufficiency. Funds for preventive health services are awarded to states through this discretionary grant program to provide health screening/assessment services to refugees.

Funding for Preventive Health during the last five years has been as follows:

2001	\$4,835,000
2002	\$4,835,000
2003	\$4,804,000
2004	\$4,792,000
2005	\$4,796,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 request for Preventive Health is the same as the FY 2005 enacted level. This budget will support continued medical screening, outreach, orientation and access to health care

for refugees to preserve the public health and ensure health problems are not a barrier to achieving self-sufficiency.

Resource and Program Data
Preventive Health

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$4,792,000	\$4,796,000	\$4,796,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$4,792,000	\$4,796,000	\$4,796,000
<u>Program Data:</u>			
Number of Grants	37	37	37
New Starts:			
#	0	0	37
\$	\$0	\$0	\$4,796,000
Continuations:			
#	37	37	0
\$	\$4,792,000	\$4,796,000	\$0
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-Preventive Health (CFDA # 93.566)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$50,000	\$50,042	\$50,042	\$0
Alaska	0	0	0	0
Arizona	125,000	125,104	125,104	0
Arkansas	0	0	0	0
California	486,687	487,093	487,093	0
Colorado	75,000	75,063	75,063	0
Connecticut	94,869	94,948	94,948	0
Delaware	0	0	0	0
District of Columbia	50,000	50,042	50,042	0
Florida	911,740	913,259	913,259	0
Georgia	125,000	125,104	125,104	0
Hawaii	0	0	0	0
Idaho	74,591	74,653	74,653	0
Illinois	125,000	125,104	125,104	0
Indiana	72,116	72,176	72,176	0
Iowa	103,123	103,209	103,209	0
Kansas	54,083	54,128	54,128	0
Kentucky	0	0	0	0
Louisiana	75,000	75,063	75,063	0
Maine	50,000	50,042	50,042	0
Maryland	100,000	100,083	100,083	0
Massachusetts	125,000	125,104	125,104	0
Michigan	125,000	125,104	125,104	0
Minnesota	125,000	125,104	125,104	0
Mississippi	50,000	50,042	50,042	0
Missouri	119,270	119,370	119,370	0
Montana	0	0	0	0
Nebraska	61,558	61,609	61,609	0
Nevada	0	0	0	0
New Hampshire	75,000	75,063	75,063	0
New Jersey	75,000	75,063	75,063	0
New Mexico	0	0	0	0
New York	326,525	326,798	326,798	0
North Carolina	76,000	76,063	76,063	0
North Dakota	50,000	50,042	50,042	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	0	0	0	0
Oklahoma	0	0	0	0
Oregon	135,000	135,113	135,113	0
Pennsylvania	125,000	125,104	125,104	0
Rhode Island	0	0	0	0
South Carolina		0	0	0
South Dakota	50,000	50,042	50,042	0
Tennessee	0	0	0	0
Texas	175,000	175,146	175,146	0
Utah	75,000	75,063	75,063	0
Vermont	50,000	50,042	50,042	0
Virginia	115,000	115,096	115,096	0
Washington	211,000	211,176	211,176	0
West Virginia	0	0	0	0
Wisconsin	75,000	75,063	75,063	0
Wyoming	0	0	0	0
Subtotal	4,791,562	4,796,320	4,796,320	0
TOTAL RESOURCES	\$4,791,562	\$4,796,320	\$4,796,320	\$0

TARGETED ASSISTANCE

Authorizing Legislation – Legislation to reauthorize Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980 is pending Congressional action.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$49,025,000	\$49,081,000	\$49,081,000	\$0

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$49,081,000 for Targeted Assistance will provide needed employment services to help increase the number of refugees entering employment and reduce their need for cash assistance.

Program Description

This program provides grants to states with counties that are impacted by high concentrations of refugees and high dependency rates. States are required by statute to pass on to the designated counties at least 95 percent of the funds awarded. Services provided by this program are generally designed to secure employment for refugees within one year or less.

Funding for Targeted Assistance during the last five years has been as follows:

2001	\$49,477,000
2002	\$49,477,000
2003	\$49,155,000
2004	\$49,025,000
2005	\$49,081,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 request for Targeted Assistance is the same as the FY 2005 enacted level. These funds will be awarded to states to continue to provide services to counties and other localities with high refugee concentrations and high use of public assistance.

Resource and Program Data
Targeted Assistance

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula	\$44,123,000	\$44,173,000	\$44,173,000
Discretionary	4,902,000	4,908,000	4,908,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$49,025,000	\$49,081,000	\$49,081,000
<u>Program Data:</u>			
Number of Grants	49	49	49
New Starts:			
#	0	28	21
\$	\$0	\$44,173,000	\$4,908,000
Continuations:			
#	49	21	28
\$	\$49,025,000	\$4,908,000	\$44,173,000
Contracts:			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements:			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2006 DISCRETIONARY STATE/FORMULA GRANTS

PROGRAM: Refugee and Entrant Assistance-Targeted Assistance (CFDA # 93.566)

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Alabama	\$0	\$0	\$0	\$0
Alaska	0	0	0	0
Arizona	1,301,066	1,302,540	1,302,540	0
Arkansas	0	0	0	0
California	5,188,982	5,194,861	5,194,861	0
Colorado	336,882	337,264	337,264	0
Connecticut	0	0	0	0
Delaware	0	0	0	0
District of Columbia	148,675	148,843	148,843	0
Florida	13,332,458	13,347,718	13,347,718	0
Georgia	1,559,830	1,561,598	1,561,598	0
Hawaii	0	0	0	0
Idaho	298,768	299,107	299,107	0
Illinois	1,366,111	1,367,659	1,367,659	0
Indiana	0	0	0	0
Iowa	570,132	570,778	570,778	0
Kansas	0	0	0	0
Kentucky	857,875	858,847	858,847	0
Louisiana	0	0	0	0
Maine	0	0	0	0
Maryland	0	0	0	0
Massachusetts	742,116	742,957	742,957	0
Michigan	1,227,043	1,228,433	1,228,433	0
Minnesota	1,571,642	1,573,423	1,573,423	0
Mississippi	0	0	0	0
Missouri	1,373,514	1,375,070	1,375,070	0
Montana	0	0	0	0
Nebraska	256,874	257,165	257,165	0
Nevada	426,654	427,137	427,137	0
New Hampshire	0	0	0	0
New Jersey	0	0	0	0
New Mexico	0	0	0	0
New York	4,302,762	4,307,638	4,307,638	0
North Carolina	343,969	344,359	344,359	0
North Dakota	232,463	232,726	232,726	0

STATE/TERRITORY	FY 2004 Actual	FY 2005 Appropriation	FY 2006 Estimate	Difference +/- 2005
Ohio	317,982	318,342	318,342	0
Oklahoma	0	0	0	0
Oregon	1,418,085	1,419,692	1,419,692	0
Pennsylvania	748,731	749,579	749,579	0
Rhode Island	0	0	0	0
South Carolina	0	0	0	0
South Dakota	214,193	214,436	214,436	0
Tennessee	365,546	365,960	365,960	0
Texas	2,225,247	2,227,769	2,227,769	0
Utah	598,638	599,316	599,316	0
Vermont	0	0	0	0
Virginia	839,764	840,716	840,716	0
Washington	1,957,033	1,959,251	1,959,251	0
West Virginia	0	0	0	0
Wisconsin	0	0	0	0
Wyoming	0	0	0	0
Subtotal	44,123,035	44,173,184	44,173,184	0
Discretionary Fund	4,902,000	4,908,000	4,908,000	0
Subtotal adjustments	4,902,000	4,908,000	4,908,000	0
TOTAL RESOURCES	\$49,025,035	\$49,081,184	\$49,081,184	\$0

UNACCOMPANIED ALIEN CHILDREN

Authorizing Legislation — Section 462 of the Homeland Security Act.

2004 Enacted	2005 Enacted	2006 Estimate	Increase or Decrease
\$52,770,000	\$53,771,000	\$63,083,000	+\$9,312,000

2006 Authorization....Such sums as may be appropriated.

Statement of the Budget Request

The FY 2006 budget request of \$63,083,000 for the Unaccompanied Alien Children (UAC) Program will provide sufficient funds to appropriately care for these unaccompanied alien children.

Program Description

The UAC program was transferred from the Immigration and Naturalization Service (INS) to the ACF Office of Refugee Resettlement, effective March 1, 2003, pursuant to section 462 of the Homeland Security Act. The program is designed to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Homeland Security agents, Border Patrol officers or other law enforcement agencies, and taken into care pending resolution of their claims for relief under U.S. immigration law or released to an adult family member or responsible adult guardian. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal. During FY 2004, the number of unaccompanied alien children in care increased from approximately 600 at any time to approximately 800 at any time. This trend is expected to continue during FY 2005 and FY 2006, due to targeted border patrol operations aimed at apprehending undocumented aliens. Types of care currently include foster care, shelter care, and secure detention.

Funding for the Unaccompanied Alien Children Program since its transfer to ACF has been as follows:

2003.....	\$37,082,000
2004.....	\$52,770,000
2005.....	\$53,771,000

Performance Analysis

See Performance Analysis section under Transitional and Medical Services.

Rationale for the Budget Request

The FY 2006 budget request for the Unaccompanied Alien Children Program is \$63,083,000, a \$9,312,000 increase from the FY 2005 enacted level. This increase will provide sufficient funds for care and placement of an increasing number of unaccompanied alien children through foster care, shelter care, or secure detention centers, additional field staff in areas of high apprehensions, and expansion of the pilot pro-bono legal services program to a national level.

Resource and Program Data
Unaccompanied Alien Children

	2004 Actual	2005 Enacted	2006 Estimate
<u>Resource Data:</u>			
Service Grants:			
Formula			
Discretionary	\$41,115,000	\$44,430,000	\$49,970,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support ¹	2,839,000	3,473,000	5,051,000
Other ²	8,816,000	5,868,000	8,062,000
Total, Resources	\$52,770,000	\$53,771,000	\$63,083,000
<u>Program Data:</u>			
Number of Grants	19	19	22
New Starts:			
#	5	0	15
\$	\$5,339,000	\$0	\$37,143,000
Continuations:			
#	14	19	7
\$	\$35,776,000	\$44,430,000	\$12,827,000
Contracts:			
#	23	16	16
\$	\$7,073,000	\$3,113,000	\$4,699,000
Interagency Agreements:			
#	5	5	5
\$	\$3,207,000	\$4,069,000	\$4,673,000

¹ Includes funding for information technology support, salaries and benefits and associated overhead costs, contractor support costs and monitoring/on-site review travel costs.

² Includes funding for medical costs, facility costs and legal system support costs and background checks.